## Informatics 1 - Introduction to Computation <br> Computation and Logic <br> Julian Bradfield

Sequent Calculus, Completeness, and Incompleteness


Gerhard Gentzen 1909-1945


Kurt Gödel 1906-1978

Recall that we been working with $\vDash$, which is semantic - it talks about meaning of formulae in universes. We have also been rather sloppy with notation! To be more precise, we should have said:

- In universe $\mathfrak{X}$,

$$
\Gamma \vDash_{\mathfrak{X}} \Delta \quad \text { iff } \quad \forall x, y, z, \ldots \bigwedge \Gamma(x, y, z, \ldots) \rightarrow \bigvee \Delta(x, y, z, \ldots)
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$-\Gamma \vDash \Delta$ iff $\Gamma \vDash_{\mathfrak{X}} \Delta$ in every universe $\mathfrak{X}$.
As logics became harder, it made sense to separate 'meaning' from 'proof'.
'Proof theory' looks at logical proof just with the syntax - we formulate rules of reasoning we believe to be correct.
Then we use 'model theory' to connect proofs to meaning, and we prove (by mathematics) that if we 'prove' a formula valid, then it is semantically valid too.

We introduce the symbol $\vdash$ for syntactic entailment.
Now the sequent calculus is no longer statements about how $\vDash$

$$
\begin{gathered}
\frac{\Gamma, a \vdash a, \Delta}{} / \\
\frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L \\
\frac{\Gamma, a \vdash \Delta}{\Gamma \vdash \neg a, \Delta} \neg R \\
\frac{\Gamma, a, b \vdash \Delta}{\Gamma, a \wedge b \vdash \Delta} \wedge L \\
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\frac{\Gamma \vdash a, \Delta}{\Gamma, \neg a \vdash \Delta} \neg L
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We will ultimately want to prove that $\Gamma \vdash \Delta$ iff $\Gamma \vDash \Delta$ (but we won't).

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For propositional logic, we have seen soundness $(\Gamma \vdash \Delta \Longrightarrow \Gamma \vDash \Delta)$ as we invented the rules.

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We saw completeness ( $\Gamma \vDash \Delta \Longrightarrow \Gamma \vdash \Delta$ ) intuitively: we can mechanically build a proof of any valid sequent. It is possible to prove it formally.

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Let's think about $\vdash \forall x . \phi$ (where the variable $x$ occurs in $\phi$ ). How can we make a rule that doesn't talk about universes (doesn't know what $x$ means), and yet works for all possible universes?

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If we can prove $\vdash \phi$ whatever $x$ is, knowing nothing about it, then surely we know $\vdash \forall x . \phi$ in all possible universes.

## Rules for quantifiers $(\forall)$

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$$
\frac{\Gamma \vdash \phi[y / x], \Delta}{\Gamma \vdash \forall x \cdot \phi, \Delta} \forall R
$$

where $y$ does not occur in $\Gamma, \phi, \Delta$ and $\phi[y / x]$ means the result of substituting $y$ for $x$ in $\phi$.

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\frac{\Gamma \vdash \phi[t / x], \Delta}{\Gamma \vdash \exists x \cdot \phi, \Delta} \exists R
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where $t$ is a term that contains no variable that is quantified inside

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where $t$ is a term that contains no variable that is quantified inside $\phi$.
A term is a variable or functions applied to variables, such as $x$ or $y+d b l(z)$. We haven't discussed this, but the language of logic usually includes function symbols, as in Haskell, as well as predicate symbols. (Plain logic does not have types, though.)

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Where can this term come from? Are there any formulae such that $\vdash \exists x . \phi$ ?
$t$ will come from elsewhere in the proof, or from an assumption in $\Gamma$.

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We know that swapping sides is negation, and exists is the dual of forall. So the left side rules are just the duals of the right side rules:

$$
\frac{\Gamma, \phi[t / x] \vdash \Delta}{\Gamma, \forall x \cdot \phi \vdash \Delta} \forall L \quad \frac{\Gamma, \phi[y / x] \vdash \Delta}{\Gamma, \exists x \cdot \phi \vdash \Delta} \exists L
$$

with the same restrictions on $y$ and $t$.

We should be able to prove $\exists x \cdot p(x), \forall x \cdot p(x) \rightarrow q(x) \vdash \exists x \cdot q(x)$ (Exercise: rewrite this in syllogism terms.)

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If something is universally true, we can prove it in sequent calculus. The proof of this theorem, even in modern notation, is quite long and detailed, although not difficult in a deep way.

The standard sequent calculus includes the rule:

$$
\frac{\Gamma \vdash \phi, \Delta \quad \Gamma^{\prime}, \phi \vdash, \Delta^{\prime}}{\Gamma, \Gamma^{\prime} \vdash \Delta, \Delta^{\prime}} C u t
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that is, if one sequent needs assumption $\phi$, and another sequent shows $\phi$, then you can 'cut out' $\phi$. Obviously sound (right?), but why do we want it?

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If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for 'main theorem'.

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However, the cut-free proof may be longer.
There are statements which can be proved in one page with Cut, but whose cut-free proof cannot be computed by our fastest computers within the lifetime of the universe.

The standard sequent calculus includes the rule:

$$
\frac{\Gamma \vdash \phi, \Delta \quad \Gamma^{\prime}, \phi \vdash, \Delta^{\prime}}{\Gamma, \Gamma^{\prime} \vdash \Delta, \Delta^{\prime}} C u t
$$

that is, if one sequent needs assumption $\phi$, and another sequent shows $\phi$, then you can 'cut out' $\phi$. Obviously sound (right?), but why do we want it?
Gentzen's Hauptsatz shows that
If a sequent can be proved using Cut, it can also be proved without using Cut.

Hauptsatz is simply German for 'main theorem'.

However, the cut-free proof may be longer.
There are statements which can be proved in one page with Cut, but whose cut-free proof cannot be computed by our fastest computers within the lifetime of the universe.
(Actually, it can be much worse than that. See the final 'fun lecture' of the course for an idea of what a really big proof might be.)

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The solution to this paradox is that first-order logic is not strong enough to fully describe the natural numbers. If $\mathbb{N}$ satisfies $N$, then there are other universes satisfying $N$, and in some $\phi_{N}$ is false.

The Incompleteness Theorem, and the closely connected Undecidability Theorems of Church and Turing, shattered the hope expressed by David Hilbert in 1901 that maths might one day
be reduced to mechanical procedures.

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- If it's true, then it's not provable.
- If it's false, then it's provable, contradicting soundness.
- Put Notpr $=\neg \exists y . P f(x, y)$, so Notpr says ' $\phi$ is not provable, where $x=\lceil\phi\urcorner^{\prime}$.
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## A little more detail

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For a fully detailed proof, get Douglas R. Hofstadter, Gödel, Escher, Bach: An Eternal Golden Braid

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In general, no. For the logic we've used in examples, with unary predicates $p(x)$ and no functions, we can. But once you add binary predicates $p(x, y)$ or a couple of functions $f, g: X \rightarrow X$, it breaks:

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The proof goes like this:

- Turing showed that no program can compute whether arbitrary other programs ever finish. (The proof is very similar to the incompleteness proof.)
- If we have enough symbols, we can express the execution of a program in logic and write a formula that is valid iff a program halts.

