

# Public-key Encryption and the El Gamal and RSA Encryption Schemes

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# Introduction

- ▶ The introduction of Public-Key Encryption (PKE) marked a revolution in cryptography.
- ▶ Parties can communicate securely without having agreed on any secret information in advance!
- ▶ One party (*receiver*) generates a pair of keys  $(pk, sk)$  where  $pk$  is the *public key* and  $sk$  is the *private key*.
- ▶  $pk$  is used by a *sender* to encrypt a message. The receiver uses  $sk$  to decrypt the ciphertext.

# Introduction

## Public key distribution

- ▶ Alice can send  $pk$  to Bob over an authenticated channel, when she learns that Bob wants to communicate with her.
- ▶ Alice generates  $(pk, sk)$  in advance and disseminates  $pk$  by publishing it on her webpage or placing it in a public directory.
- ▶ **Public-key Infrastructure:** a trusted *certification authority* issues certificates (signatures) for everyone's public key.
- ▶ In this lecture, we assume that senders are able to obtain a legitimate copy of the receiver's public key.

## Comparison to Private-Key Encryption

- ▶ In public-key encryption, only the secrecy of the private key  $sk$  is required.
- ▶ In public-key encryption different keys are used for encryption and decryption (asymmetry). The roles of the sender and the receiver are not interchangeable.
- ▶ Multiple senders can communicate privately with a single receiver.
- ▶ Public-key encryption is significantly slower than private-key encryption and implementing it for resource-constrained devices like smartcards can be a challenge.

# Syntax

## Definition

A *public-key encryption scheme* is a triple of polynomial-time algorithms (Gen, Enc, Dec) such that:

1. The *key-generation algorithm* Gen on input  $1^n$  outputs a pair of keys  $(pk, sk)$ , where  $pk$  is the *public key* and  $sk$  is the *private key*.
2. The *encryption algorithm* Enc on input  $pk$  and a message  $M$  from some message space (that may depend on  $pk$ ) outputs a ciphertext  $c$ . We write this as  $c \leftarrow \text{Enc}_{pk}(M)$ .
3. The *decryption algorithm* Dec on input  $sk$  and a ciphertext  $c$  outputs a message  $M$  or a special symbol  $\perp$  denoting failure. We write this as  $M := \text{Dec}_{sk}(c)$ .

## Correctness

For any message  $M$ , we have that  $\text{Dec}_{sk}(\text{Enc}_{pk}(M)) = M$  except with negligible probability over  $(pk, sk)$  output by  $\text{Gen}(1^n)$ .

# Security against Chosen-Plaintext Attacks

Given a public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  and an adversary  $\mathcal{A}$  consider the following experiment:

## The eavesdropping indistinguishability experiment

$\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n)$ :

1.  $\text{Gen}(1^n)$  is run to obtain  $(pk, sk)$ .
2. The adversary  $\mathcal{A}$  is given  $pk$ , and outputs a pair of equal-length messages  $M_0, M_1$  in the message space.
3. A uniform bit  $b \in \{0, 1\}$  is chosen and then a ciphertext  $c \leftarrow \text{Enc}_{pk}(M_b)$  is computed and given to  $\mathcal{A}$ . We call  $c$  the *challenge ciphertext*.
4.  $\mathcal{A}$  outputs a bit  $b'$ . The output of the experiment is 1 if  $b = b'$  and 0 otherwise. If  $b = b'$ , we say that  $\mathcal{A}$  succeeds.

# Security against Chosen-Plaintext Attacks

## Definition

A public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has *indistinguishable encryptions under a chosen plaintext attack*, or it is *CPA-secure*, if for every PPT adversary  $\mathcal{A}$  it holds that

$$\Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n) .$$



## El Gamal encryption

- ▶ In 1985, Taher El Gamal observed that the Diffie-Hellman (DH) protocol could be adapted to give a public-key encryption scheme.
- ▶ In DH protocol, Alice and Bob derive a shared key  $k$  which is indistinguishable from a uniform element of a group  $\mathbb{G}$ .
- ▶ Bob may use this shared key to encrypt a message  $M \in \mathbb{G}$  by sending  $k \cdot M$  to Alice.
- ▶ Alice can recover  $m$  (she knows  $k$ ), while an eavesdropper learns nothing about  $M$ .

## El Gamal encryption

Let  $\mathcal{G}$  be a group generation algorithm that on input  $1^n$  outputs a description of a cyclic group  $\mathbb{G}$ , its order  $q$ , and a generator  $g$ .

- ▶ Gen: on input  $1^n$  run  $\mathcal{G}(1^n)$  to obtain  $(\mathbb{G}, q, g)$ . Then choose a uniform  $x \in \mathbb{Z}_q$  and compute  $h = g^x$ . The public key is  $pk = (\mathbb{G}, q, g, h)$  and the private key is  $sk = (\mathbb{G}, q, g, x)$ . The message space is  $\mathbb{G}$ .
- ▶ Enc: on input a public key  $pk$  and a message  $M \in \mathbb{G}$ , choose a uniform  $y \in \mathbb{Z}_q$  and output the ciphertext

$$\langle g^y, h^y \cdot M \rangle .$$

- ▶ Dec: on input a private key  $sk$  and a ciphertext  $\langle c_1, c_2 \rangle$ , output

$$\hat{M} := c_2 / c_1^x .$$

Figure: The El Gamal encryption scheme.

## Correctness of El Gamal

Let  $\langle c_1, c_2 \rangle = \langle g^y, h^y \cdot M \rangle$  with  $h = g^x$ . Then

$$\hat{M} := \frac{c_2}{c_1^x} = \frac{h^y \cdot M}{(g^y)^x} = \frac{(g^x)^y \cdot M}{g^{xy}} = \frac{g^{xy} \cdot M}{g^{xy}} = M.$$

# Security of El Gamal

## Lemma

Let  $\mathbb{G}$  be a finite group and an arbitrary  $M \in \mathbb{G}$ . Then choosing uniform  $k \in \mathbb{G}$  and setting  $k' := k \cdot M$  gives the same distribution for  $k'$  as choosing uniform  $k' \in \mathbb{G}$ . Put differently, for any  $\hat{g} \in \mathbb{G}$

$$\Pr [k \xleftarrow{\$} \mathbb{G} : k \cdot M = \hat{g}] = \frac{1}{|\mathbb{G}|} .$$

## Proof.

Let  $\hat{g} \in \mathbb{G}$  be arbitrary. Then

$$\Pr [k \xleftarrow{\$} \mathbb{G} : k \cdot M = \hat{g}] = \Pr [k \xleftarrow{\$} \mathbb{G} : k = \hat{g} \cdot M^{-1}] .$$

Since  $k$  is uniform, the probability that  $k$  is equal to the fixed element  $\hat{g} \cdot M^{-1}$  is  $\frac{1}{|\mathbb{G}|}$ . □

# Security of El Gamal

## Theorem

*If the DDH problem is hard relative to  $\mathcal{G}$ , then the El Gamal encryption scheme is CPA-secure.*

**Proof.** Let  $\Pi$  denote the El Gamal encryption scheme. Let  $\mathcal{A}$  be a PPT adversary. We want to show that

$$\Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n) .$$

Consider the modified “encryption scheme”  $\tilde{\Pi}$ , where Gen is as  $\Pi$  but the encryption of  $M$  with respect to the public key  $\langle \mathbb{G}, q, g, h \rangle$  is done by choosing uniform  $y, z \in \mathbb{Z}_q$  and outputting the ciphertext

$$\langle g^y, g^z \cdot M \rangle .$$

## Security of El Gamal

By the Lemma, we have that the second component  $g^z \cdot M$  is uniformly distributed and independent of  $M$ . The first component  $g^y$  is also independent of  $M$ . Thus, the entire ciphertext of  $\tilde{\Pi}$  *contains no information about*  $M$ . It follows that

$$\Pr [\text{PubK}_{\mathcal{A}, \tilde{\Pi}}^{\text{eav}}(n) = 1] = \frac{1}{2}.$$

## Security of El Gamal

Now consider the following PPT algorithm  $\mathcal{D}$  that attempts to solve the DDH problem relative to  $\mathcal{G}$ .  $\mathcal{D}$  receives  $(\mathbb{G}, q, g, h_1, h_2, h_3)$ , where  $h_1 = g^x$ ,  $h_2 = g^y$  and  $h_3$  is either  $g^{xy}$  or  $g^z$  for uniform  $x, y, z$ .

### Algorithm $\mathcal{D}$ :

The algorithm is given  $(\mathbb{G}, q, g, h_1, h_2, h_3)$  as input.

1. Set  $pk = \langle \mathbb{G}, q, g, h_1 \rangle$  and run  $\mathcal{A}(pk)$  to obtain two messages  $M_0, M_1 \in \mathbb{G}$ .
2. Choose a uniform bit  $b$  and set  $c_1 := h_2$  and  $c_2 := h_3 \cdot M_b$ .
3. Give the ciphertext  $\langle c_1, c_2 \rangle$  to  $\mathcal{A}$  and obtain an output bit  $b'$ .  
If  $b' = b$ , then output 1; otherwise, output 0.

## Security of El Gamal

**Case 1:** Say the input of  $\mathcal{D}$  is generated by running  $\mathcal{G}(1^n)$  to obtain  $(\mathbb{G}, q, g)$ , then choosing uniform  $x, y, z \in \mathbb{G}$  and finally setting  $h_1 := g^x$ ,  $h_2 := g^y$ , and  $h_3 := g^z$ . Then,  $\mathcal{D}$  runs  $\mathcal{A}$  on public key  $pk = \langle \mathbb{G}, q, g, g^x \rangle$  and ciphertext

$$\langle c_1, c_2 \rangle = \langle g^y, g^z \cdot M_b \rangle .$$

Thus, the view of  $\mathcal{A}$  as a subroutine of  $\mathcal{D}$  is identical to the one in experiment  $\text{PubK}_{\mathcal{A}, \tilde{\Pi}}^{\text{eav}}(n)$ . Since  $\mathcal{D}$  outputs 1 exactly when the output  $b'$  of  $\mathcal{A}$  is equal to  $b$ , we have that

$$\Pr [\mathcal{D}(\mathbb{G}, q, g, g^x, g^y, g^z) = 1] = \Pr [\text{PubK}_{\mathcal{A}, \tilde{\Pi}}^{\text{eav}}(n) = 1] = \frac{1}{2} .$$



## Security of El Gamal

**Case 2:** Say the input of  $\mathcal{D}$  is generated by running  $\mathcal{G}(1^n)$  to obtain  $(\mathbb{G}, q, g)$ , then choosing uniform  $x, y, z \in \mathbb{G}$  and finally setting  $h_1 := g^x, h_2 := g^y$ , and  $h_3 := g^{xy}$ . Then,  $\mathcal{D}$  runs  $\mathcal{A}$  on public key  $pk = \langle \mathbb{G}, q, g, g^x \rangle$  and ciphertext

$$\langle c_1, c_2 \rangle = \langle g^y, g^{xy} \cdot M_b \rangle = \langle g^y, (g^x)^y \cdot M_b \rangle .$$

Thus, the view of  $\mathcal{A}$  as a subroutine of  $\mathcal{D}$  is identical to the one in experiment  $\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n)$ . Since  $\mathcal{D}$  outputs 1 exactly when the output  $b'$  of  $\mathcal{A}$  is equal to  $b$ , we have that

$$\Pr [\mathcal{D}(\mathbb{G}, q, g, g^x, g^y, g^{xy}) = 1] = \Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] .$$

## Security of El Gamal

By the DDH hardness assumption, we have that

$$\begin{aligned} & \text{negl}(n) \geq \\ & \geq \left| \Pr [\mathcal{D}(\mathbb{G}, q, g, g^x, g^y, g^{xy}) = 1] - \Pr [\mathcal{D}(\mathbb{G}, q, g, g^x, g^y, g^z) = 1] \right| = \\ & = \left| \Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] - \frac{1}{2} \right|, \end{aligned}$$

from where we get that  $\Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n)$ .  $\square$

## Security against Chosen-Ciphertext Attacks

Given a public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  and an adversary  $\mathcal{A}$  consider the following experiment:

**The CCA indistinguishability experiment**  $\text{PubK}_{\mathcal{A}, \Pi}^{\text{cca}}(n)$ :

1.  $\text{Gen}(1^n)$  is run to obtain  $(pk, sk)$ .
2. The adversary  $\mathcal{A}$  is given  $pk$  and access to a decryption oracle  $\text{Dec}_{sk}(\cdot)$ . It outputs a pair of equal-length messages  $M_0, M_1$  in the message space.
3. A uniform bit  $b \in \{0, 1\}$  is chosen and then a ciphertext  $c \leftarrow \text{Enc}_{pk}(M_b)$  is computed and given to  $\mathcal{A}$ . We call  $c$  the *challenge ciphertext*.
4.  $\mathcal{A}$  continues to interact with the decryption oracle, but may not request the decryption of  $c$  itself. Finally,  $\mathcal{A}$  outputs a bit  $b'$ .
5. The output of the experiment is 1 if  $b = b'$  and 0 otherwise. If  $b = b'$ , we say that  $\mathcal{A}$  succeeds.

# Security against Chosen-Ciphertext Attacks

## Definition

A public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has *indistinguishable encryptions under a chosen ciphertext attack*, or it is *CCA-secure*, if for every PPT adversary  $\mathcal{A}$  it holds that

$$\Pr [\text{PubK}_{\mathcal{A}, \Pi}^{\text{cca}}(n) = 1] \leq \frac{1}{2} + \text{negl}() .$$

## Malleability of El Gamal

An encryption scheme is *malleable* if given a ciphertext  $c$  that is an encryption of an unknown message  $M$ , it is possible to generate a modified ciphertext  $c'$  that is an encryption of a message  $M'$  having some known relation to  $M$ .

## Malleability of El Gamal

- ▶ In El Gamal, an adversary that intercepts a ciphertext  $c = \langle c_1, c_2 \rangle$  can construct a ciphertext  $c' = \langle c_1, c'_2 \rangle$ , where  $c'_2 = \alpha \cdot c_2$ .
- ▶ It is easy to check that if  $c$  is an encryption of a message  $M$ , then  $c'$  is a valid encryption of the message  $\alpha \cdot M$ !
- ▶ El Gamal is malleable, so it is vulnerable against chosen-ciphertext attacks (Exercise!).

# The RSA encryption scheme

## Theorem

Let  $p, q$  be primes. Let  $N := pq$  and  $\phi(N) = (p - 1)(q - 1)$ . For integer  $e > 0$  define  $f_e : \mathbb{Z}_N^* \rightarrow \mathbb{Z}_N^*$  by

$$f_e(x) = x^e \bmod N .$$

If  $e$  is relatively prime to  $\phi(N)$ , then  $f_e$  is a permutation.

Moreover, if  $d = e^{-1} \bmod \phi(N)$ , then  $f_d$  is the inverse of  $f_e$ .

# The RSA encryption scheme

- ▶ Gen: On input  $1^n$  choose two  $n$ -bit random primes  $p$  and  $q$ . Compute  $N = pq$  and  $\phi(N) = (p-1)(q-1)$ . Choose  $e > 1$  such that  $\gcd(e, \phi(N)) = 1$ . Compute  $d := e^{-1} \bmod \phi(N)$ . Return  $(N, e)$  as the public key and  $(N, d)$  as the private key.
- ▶ Enc: on input a public key  $pk = (N, e)$  and a message  $M \in \mathbb{Z}_N^*$ , compute the ciphertext

$$c = M^e \bmod N .$$

- ▶ Dec: on input a private key  $sk = (N, d)$  and a ciphertext  $c \in \mathbb{Z}_N^*$ , compute the message

$$M = c^d \bmod N .$$

Figure: The RSA encryption scheme.



## Correctness of RSA

Let  $c = M^e \bmod N$ . Then,

$$\hat{M} := c^d \bmod N = (M^e)^d \bmod N = M.$$

This because  $f_d(x) = x^d \bmod N$  is the inverse of  $f_e(x) = x^e \bmod N$ .

## Security of RSA

- ▶ Factoring is at least as hard (but not known to be equivalent) as breaking RSA.
- ▶ RSA is deterministic, therefore it is **not** CPA-secure.

**End**

References: Sec 11.1, 11.2.1, 11.4.1, Sec 11.4.1, 11.3.2 (only Definition 11.13), 11.5.1 (up to Construction 11.26)