

# Introduction to Modern Cryptography

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(Slides courtesy of Prof. Jonathan Katz)

Lecture 01 Part 3

# Shift Cipher

# The Shift Cipher

- ▶ Consider encrypting English text
- ▶ Associate  $a \leftarrow 0, b \leftarrow 1, \dots, z \leftarrow 25$ 
  - ▶  $k \in \mathcal{K} = \{0, \dots, 25\}$
- ▶ To encrypt using key  $k$ , shift every letter of the plaintext by  $k$  positions (with wraparound)
- ▶ Decryption just does the reverse

helloworldz

ccccccccccc

jpgnnqyqtnfb

# Modular arithmetic

- ▶  $x = y \pmod N$  if and only if  $N$  divides  $x - y$ 
  - ▶  $[x \pmod N]$  = the remainder when  $x$  is divided by  $N$
  - ▶ i.e. the unique value  $y \in \{0, \dots, N - 1\}$  such that  $x = y \pmod N$
- ▶  $25 = 35 \pmod{10}$
- ▶  $25 \neq [35 \pmod{10}]$
- ▶  $5 = [35 \pmod{10}]$

# The Shift Cipher, formally

- ▶  $\mathcal{M}$  = strings over lowercase English alphabet
- ▶ Gen: choose uniform  $k \in \{0, \dots, 25\}$
- ▶  $\text{Enc}_k(m_1 \dots m_t)$ : output  $c_1 \dots c_t$ , where

$$c_i = [m_i + k \pmod{26}]$$

- ▶  $\text{Dec}_k(c_1 \dots c_t)$ : output  $m_1 \dots m_t$ , where

$$m_i = [c_i - k \pmod{26}]$$

# Is the Shift Cipher secure?

## Brute-force Attack

- ▶ No – only **26** possible keys!
- ▶ Given a ciphertext, try decrypting with every possible key
- ▶ Only one possibility will “make sense”
- ▶ Example of a **brute-force** or **exhaustive-search** attack

# Brute-force Attack on Shift Cipher

## Example

- ▶ Ciphertext uryybjbeyq
- ▶ Try every possible key and decrypt:
  - ▶ message under key **1** is: tqxxaiadxp
  - ▶ message under key **2** is: spwwzhzcwo
  - ▶ ...
  - ▶ message under key ***i*** is: helloworld
  - ▶ ...

# Byte-wise Shift Cipher

- ▶ Alphabet of **bytes** rather than (English, lowercase) letters
- ▶ Works natively for arbitrary data!
- ▶ Use **XOR** instead of modular addition
- ▶ Essential properties still hold



# Hexadecimal (base **16**) Notation

| Hex | Bits | Decimal |
|-----|------|---------|
| 0   | 0000 | 0       |
| 1   | 0001 | 1       |
| 2   | 0010 | 2       |
| 3   | 0011 | 3       |
| 4   | 0100 | 4       |
| 5   | 0101 | 5       |
| 6   | 0110 | 6       |
| 7   | 0111 | 7       |

| Hex | Bits | Decimal |
|-----|------|---------|
| 8   | 1000 | 8       |
| 9   | 1001 | 9       |
| A   | 1010 | 10      |
| B   | 1011 | 11      |
| C   | 1100 | 12      |
| D   | 1101 | 13      |
| E   | 1110 | 14      |
| F   | 1111 | 15      |

# Hexadecimal (base **16**) Notation

`0x10`

▶  $0x10 = 16 * 1 + 0 = 16$

▶  $0x10 = 0001\ 0000$

`0xAF`

▶  $0xAF = 16 * A + F = 16 * 10 + 15 = 175$

▶  $0xAF = 1010\ 1111$

# ASCII

- ▶ American Standard Code for Information Interchange
- ▶ Character encoding standard
- ▶ Byte-wise Shift Cipher: encode characters in ASCII
- ▶ **1 byte = 1 character = 2 hex digits**
- ▶ Encoded using the **ASCII table**

# ASCII table

| Dec | Hex | Char             | Dec | Hex | Char  | Dec | Hex | Char | Dec | Hex | Char |
|-----|-----|------------------|-----|-----|-------|-----|-----|------|-----|-----|------|
| 0   | 00  | Null             | 32  | 20  | Space | 64  | 40  | @    | 96  | 60  | `    |
| 1   | 01  | Start of heading | 33  | 21  | !     | 65  | 41  | A    | 97  | 61  | a    |
| 2   | 02  | Start of text    | 34  | 22  | "     | 66  | 42  | B    | 98  | 62  | b    |
| 3   | 03  | End of text      | 35  | 23  | #     | 67  | 43  | C    | 99  | 63  | c    |
| 4   | 04  | End of transmit  | 36  | 24  | \$    | 68  | 44  | D    | 100 | 64  | d    |
| 5   | 05  | Enquiry          | 37  | 25  | %     | 69  | 45  | E    | 101 | 65  | e    |
| 6   | 06  | Acknowledge      | 38  | 26  | &     | 70  | 46  | F    | 102 | 66  | f    |
| 7   | 07  | Audible bell     | 39  | 27  | '     | 71  | 47  | G    | 103 | 67  | g    |
| 8   | 08  | Backspace        | 40  | 28  | (     | 72  | 48  | H    | 104 | 68  | h    |
| 9   | 09  | Horizontal tab   | 41  | 29  | )     | 73  | 49  | I    | 105 | 69  | i    |
| 10  | 0A  | Line feed        | 42  | 2A  | *     | 74  | 4A  | J    | 106 | 6A  | j    |
| 11  | 0B  | Vertical tab     | 43  | 2B  | +     | 75  | 4B  | K    | 107 | 6B  | k    |
| 12  | 0C  | Form feed        | 44  | 2C  | ,     | 76  | 4C  | L    | 108 | 6C  | l    |
| 13  | 0D  | Carriage return  | 45  | 2D  | -     | 77  | 4D  | M    | 109 | 6D  | m    |
| 14  | 0E  | Shift out        | 46  | 2E  | .     | 78  | 4E  | N    | 110 | 6E  | n    |
| 15  | 0F  | Shift in         | 47  | 2F  | /     | 79  | 4F  | O    | 111 | 6F  | o    |
| 16  | 10  | Data link escape | 48  | 30  | 0     | 80  | 50  | P    | 112 | 70  | p    |
| 17  | 11  | Device control 1 | 49  | 31  | 1     | 81  | 51  | Q    | 113 | 71  | q    |
| 18  | 12  | Device control 2 | 50  | 32  | 2     | 82  | 52  | R    | 114 | 72  | r    |
| 19  | 13  | Device control 3 | 51  | 33  | 3     | 83  | 53  | S    | 115 | 73  | s    |
| 20  | 14  | Device control 4 | 52  | 34  | 4     | 84  | 54  | T    | 116 | 74  | t    |
| 21  | 15  | Neg. acknowledge | 53  | 35  | 5     | 85  | 55  | U    | 117 | 75  | u    |
| 22  | 16  | Synchronous idle | 54  | 36  | 6     | 86  | 56  | V    | 118 | 76  | v    |
| 23  | 17  | End trans. block | 55  | 37  | 7     | 87  | 57  | W    | 119 | 77  | w    |
| 24  | 18  | Cancel           | 56  | 38  | 8     | 88  | 58  | X    | 120 | 78  | x    |
| 25  | 19  | End of medium    | 57  | 39  | 9     | 89  | 59  | Y    | 121 | 79  | y    |
| 26  | 1A  | Substitution     | 58  | 3A  | :     | 90  | 5A  | Z    | 122 | 7A  | z    |
| 27  | 1B  | Escape           | 59  | 3B  | ;     | 91  | 5B  | [    | 123 | 7B  | {    |
| 28  | 1C  | File separator   | 60  | 3C  | <     | 92  | 5C  | \    | 124 | 7C  |      |
| 29  | 1D  | Group separator  | 61  | 3D  | =     | 93  | 5D  | ]    | 125 | 7D  | }    |
| 30  | 1E  | Record separator | 62  | 3E  | >     | 94  | 5E  | ^    | 126 | 7E  | ~    |
| 31  | 1F  | Unit separator   | 63  | 3F  | ?     | 95  | 5F  | _    | 127 | 7F  | □    |

## Useful observations

- ▶ Only **128** valid ASCII chars (**128** bytes invalid)
- ▶ Only 0x20-0x7E printable
- ▶ 0x41-0x7A includes upper/lowercase letters
- ▶ Uppercase letters begin with 0x4 or 0x5
- ▶ Lowercase letters begin with 0x6 or 0x7

# Byte-wise Shift Cipher, Formally

- ▶  $\mathcal{M}$  = strings of bytes
- ▶ Gen: choose uniform  $k \in \mathcal{K} = \{0x00 \dots 0xFF\}$  i.e. there are **256** possible keys
- ▶  $\text{Enc}_k(m_1 \dots m_t)$ : output  $c_1 \dots c_t$ , where

$$c_i = m_i \oplus k$$

- ▶  $\text{Dec}_k(c_1 \dots c_t)$ : output  $m_1 \dots m_t$ , where

$$m_i = c_i \oplus k$$

# Is this scheme secure?

- ▶ No – only **256** possible keys!
- ▶ Given a ciphertext, try decrypting with every possible key
- ▶ If ciphertext is long enough, only one plaintext will "make sense"
- ▶ Can further optimize
  - ▶ First nibble of plaintext likely 0x4, 0x5, 0x6, 0x7 (assuming letters only)
  - ▶ Recover **2** key bits and reduce exhaustive search by a factor of 4.

# Sufficient key space principle

## Crypto Design Lesson One

- ▶ The key space must be large enough to make brute-force attacks impractical

Spoiler: necessary, but not sufficient



**End**

Reference: Section 1.3 of the book