

# Compiling Techniques

Lecture 6: Dealing with Ambiguity + Bottom-Up Parsing

# Ambiguity Definition

- If a grammar has more than one leftmost (or rightmost) derivation for a single sentential form, the grammar is *ambiguous*
- This is a problem when interpreting an input program or when building an internal representation

# Ambiguous Grammar: Example Associativity

## ***Ambiguous Grammar: example 1***

```
Expr ::= Expr Op Expr | num | id
Op   ::= + | *
```

This grammar has multiple leftmost derivations for  $x + 2 * y$ .

## ***One possible derivation***

```
Expr
Expr Op Expr
id(x) Op Expr
id(x) + Expr
id(x) + Expr Op Expr
id(x) + num(2) Op Expr
id(x) + num(2) * Expr
id(x) + num(2) * id (y)
```

$x + (2 * y)$

## ***Another possible derivation***

```
Expr
Expr Op Expr
Expr Op Expr Op Expr
id(x) Op Expr Op Expr
id(x) + Expr Op Expr
id(x) + num(2) Op Expr
id(x) + num(2) * Expr
id(x) + num(2) * id (y)
```

$(x + 2) * y$

# Ambiguous Grammar: Example If-Then-Else

## *Ambiguous Grammar: example 2*

```
Stmt ::= if Expr then Stmt  
      | if Expr then Stmt else Stmt  
      | OtherStmt
```

## *Input*

if E1 then if E2 then S1 else S2

## *One possible interpretation*

```
if E1 then  
  if E2 then  
    S1  
else  
  S2
```

## *Another possible interpretation*

```
if E1 then  
  if E2 then  
    S1  
else  
  S2
```

# Removing Ambiguity

- Must rewrite the grammar to avoid generating the problem
- Match each else to innermost unmatched if (common sense)

## *Unambiguous grammar*

```
Stmt ::= if Expr then Stmt  
      | if Expr then WithElse else Stmt  
      | OtherStmt
```

```
WithElse ::= if Expr then WithElse else WithElse  
          | OtherStmt
```

- Intuition: the `WithElse` restricts what can appear in the then part
- With this grammar, the example has only one derivation

# Derivation with Unambiguous Grammar

```
Stmt ::= if Expr then Stmt
      | if Expr then WithElse else Stmt
      | OtherStmt
```

```
WithElse ::= if Expr then WithElse else WithElse
          | OtherStmt
```

## ***Derivation for: if E1 then if E2 then S1 else S2***

```
Stmt
if Expr then Stmt
if E1 then Stmt
if E1 then if Expr then WithElse else Stmt
if E1 then if E2 then WithElse else Stmt
if E1 then if E2 then S1 else Stmt
if E1 then if E2 then S1 else S2
```

# Deeper Ambiguity

- Ambiguity usually refers to confusion in the CFG (Context Free Grammar)
- Consider the following case:  $a = f(17)$   
In Algol-like languages,  $f$  could be either a function or an array
- In such case, context is required
  - Need to track declarations
  - Really a type issue, not context-free syntax
  - Requires an extra-grammatical solution
  - Must handle these with a different mechanism

Step outside the grammar rather than making it more complex. This will be treated during semantic analysis.

# Ambiguity Final Words

Ambiguity arises from two distinct sources:

- Confusion in the context-free syntax (e.g. *if then else*)
- Confusion that requires context to be resolved (e.g. *array vs function*)

Resolving ambiguity:

- To remove context-free ambiguity, rewrite the grammar
- To handle context-sensitive ambiguity delay the detection of such problem (semantic analysis phase):

For instance, it is legal during syntactic analysis to have: `void i ; i=4;`



# Bottom-Up vs. Top-Down Parsers

## ***Top-Down Parser***

A top-down parser builds a derivation by working from the start symbol to the input sentence.



## ***Bottom-Up Parser***

A bottom-up parser builds a derivation by working from the input sentence back to the start symbol.



# Bottom-Up Parsing: Example

## ***Example: CFG***

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

**Input:** abcde

## ***Bottom-Up Parsing***

abcde

# Bottom-Up Parsing: Example

## **Example: CFG**

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

**Input:** abcde

## **Bottom-Up Parsing**

abcde  
aAbcde

# Bottom-Up Parsing: Example

## **Example: CFG**

```
Goal ::= a A B e  
A ::= A b c | b  
B ::= d
```

**Input:** abcde

## **Bottom-Up Parsing**

```
abcde  
aAbcde  
aAde
```

# Bottom-Up Parsing: Example

## **Example: CFG**

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

**Input:** abcde

## **Bottom-Up Parsing**

abcde  
aAbcde  
aA**d**e  
aABe

# Bottom-Up Parsing: Example

## *Example: CFG*

Goal ::= a A B e

A ::= A b c | b

B ::= d

**Input:** abcde

## *Bottom-Up Parsing*

productions  
(follow **rightmost  
derivation**)



abcde  
aAbcde  
aAde  
**aABe**  
Goal



reductions

# Leftmost vs. Rightmost derivation

## Leftmost derivation

Rewrite leftmost  
nonterminal next

### *Example: CFG*

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

## Rightmost derivation

Rewrite rightmost  
nonterminal next

### *Leftmost derivation LL Parser (Top-Down)*

Goal  
aA**B**e  
aA**b**cBe  
ab**b**cBe  
ab**b**cde

### *Rightmost derivation LR Parser (Bottom-Up)*

Goal  
aA**B**e  
aA**d**e  
aA**b**cde  
ab**b**cde

# Shift-reduce parser

Consists of a stack and the input

Uses four actions:

1. **shift**: next symbol is shifted onto the stack
2. **reduce**: pop the symbols  $Y_n, \dots, Y_1$  from the stack that form the rhs of a production rule  $X ::= Y_n, \dots, Y_1$
3. **accept**: stop parsing and report success
4. **error**: reporting an error

***How does the parser know when to shift or when to reduce?***

Similarly to the top-down parser, can back-track if wrong decision made or try to look ahead.  
Can build a DFA to decide when to shift or to reduce.



# Shift-reduce parser: Example

## Input

abbcde  
bbcde  
bcde  
bcde  
cde  
de  
de  
e  
e

## Operations

shift  
shift  
reduce  
shift  
shift  
reduce  
shift  
reduce  
shift  
reduce  
accept

## Stack

a  
ab  
aA  
aAb  
aAbc  
aA  
aAd  
aAB  
aABe  
Goal

## Example: CFG

Goal ::= a A B e  
A ::= A b c | b  
B ::= d

## Choice here: shift or reduce?

Can lookahead one symbol to make decision.

(Knowing what to do needs analysis of the grammar, see *Engineering a Compiler* §3.5)

# Top-Down vs Bottom-Up Parsing

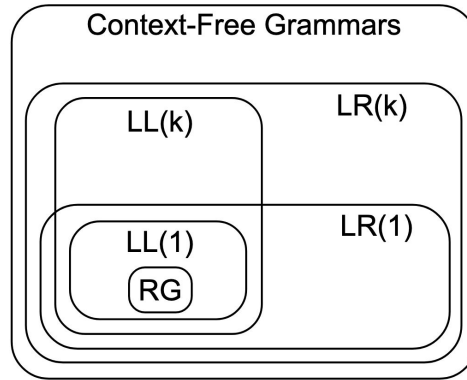
## ***Top-Down Parser***

- + Easy to write by hand
- + Easy to integrate with rest of the compiler
- Recursion might lead to performance problems

## ***Bottom-Up Parser***

- + Very efficient
- + Supports a larger class of grammars
- Requires generation tools
- Rigid integration with the rest of the compiler

# Last words on Parsing



## ***Language $\neq$ Grammar***

There is more than one grammar that can be used to define a language

These grammars might be of different “complexity” (LL(1), LL(k), LR(k))

$\Rightarrow$  Language complexity  $\neq$  grammar complexity