

Introduction to Algorithms and Data Structures

Greedy Algorithms: Dijkstra's algorithm for shortest paths

Going to the EICC

What is the fastest way to go from the School of Informatics to the EICC?

Shortest Paths in Graphs

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- **Input:** A directed graph $G = (V, E)$, and a designated node s in V . We also assume that every node u in V is reachable from s . We are also given a length ℓ_e for every edge e in E .

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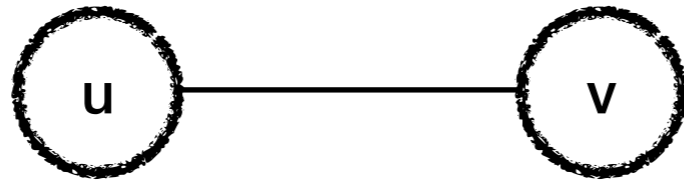
$P(s)$	$P(u_1)$	$P(u_2)$	$P(u_3)$...
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What about undirected graphs?

- **Input:** A directed graph $G = (V, E), \dots$

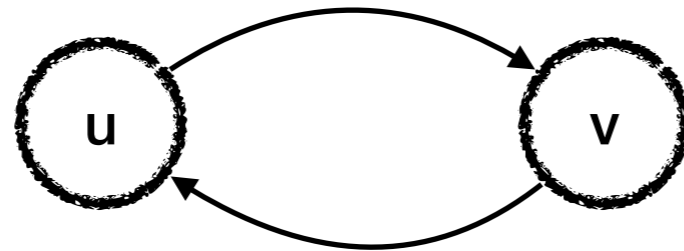
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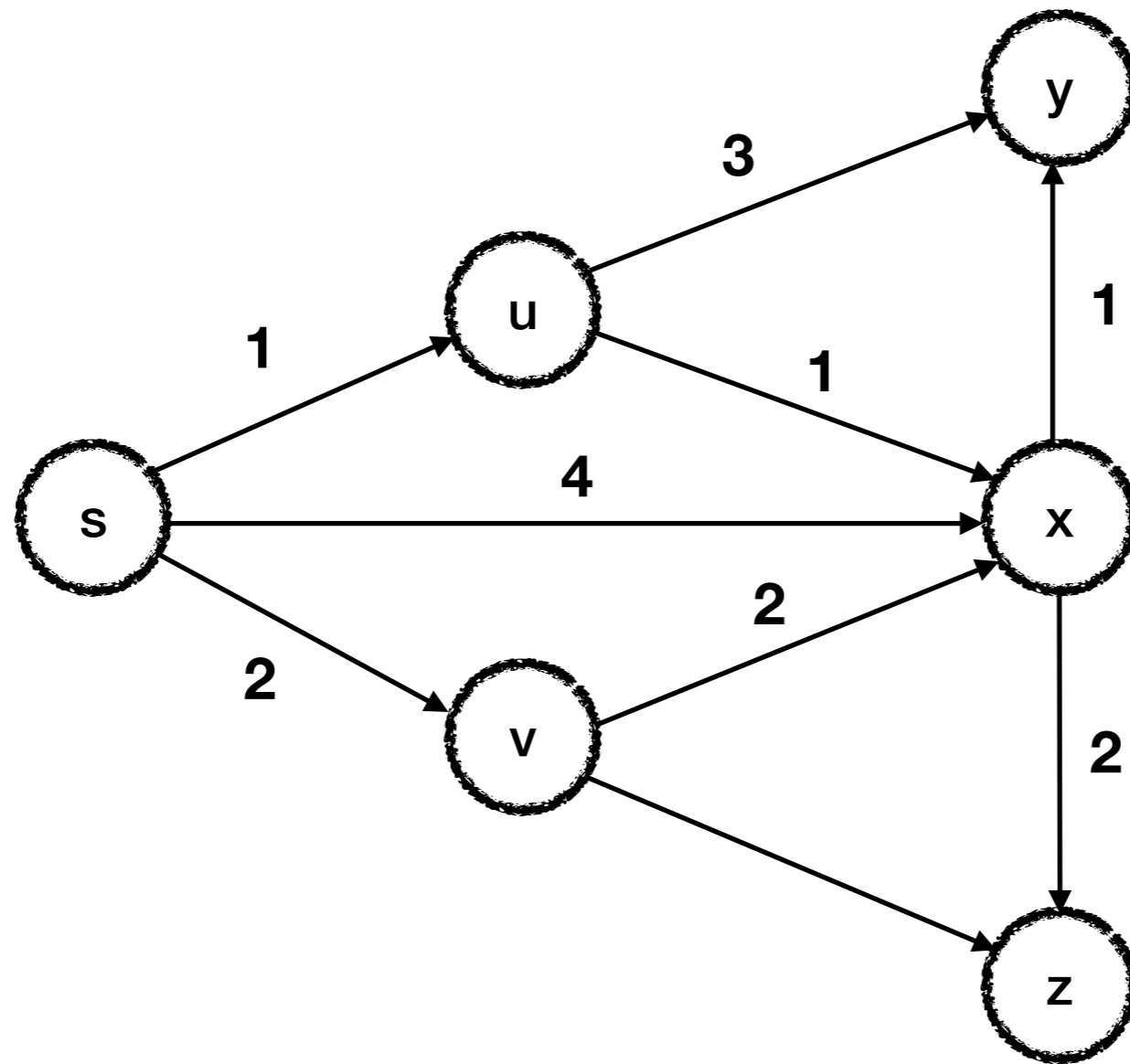


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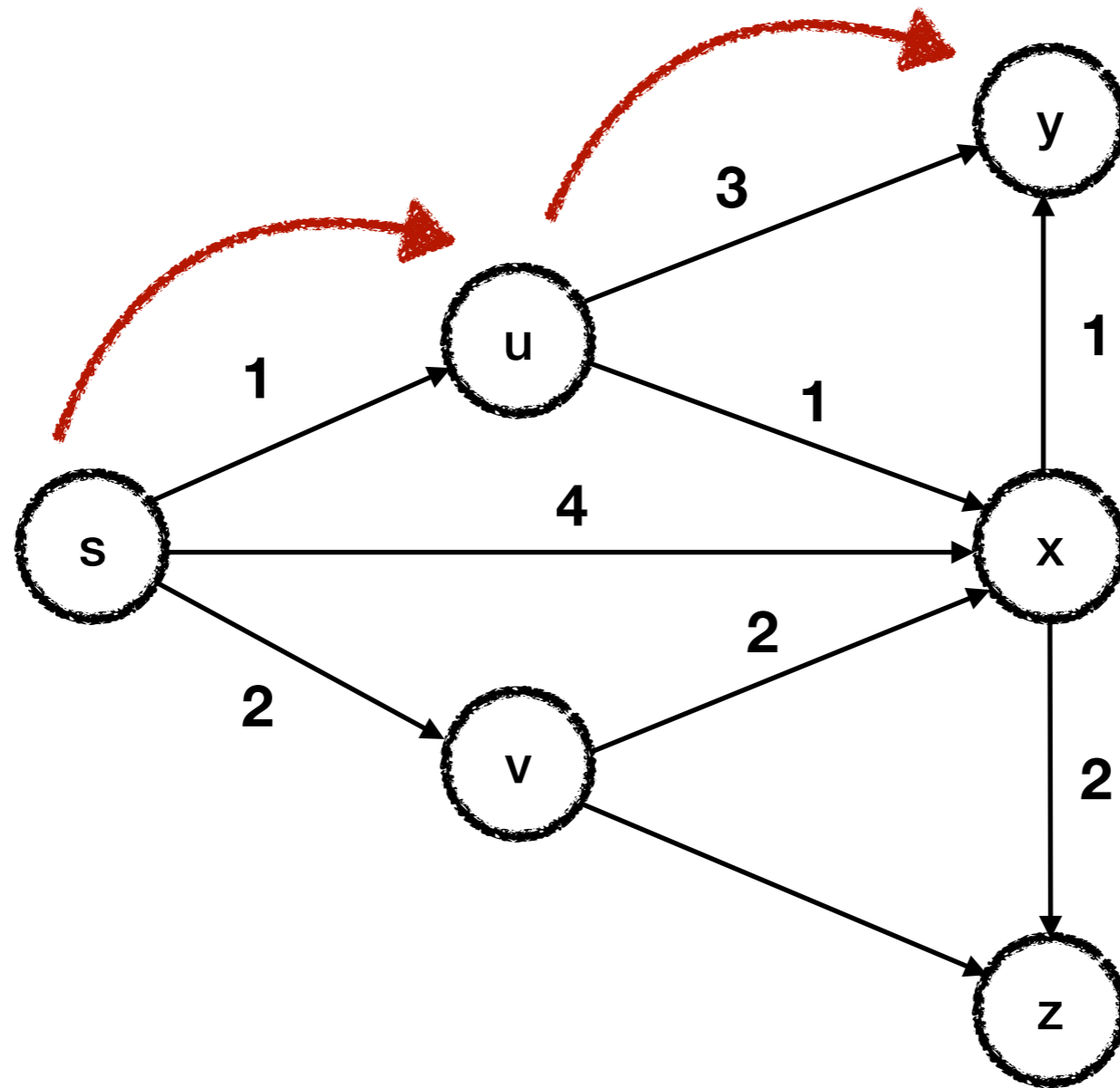
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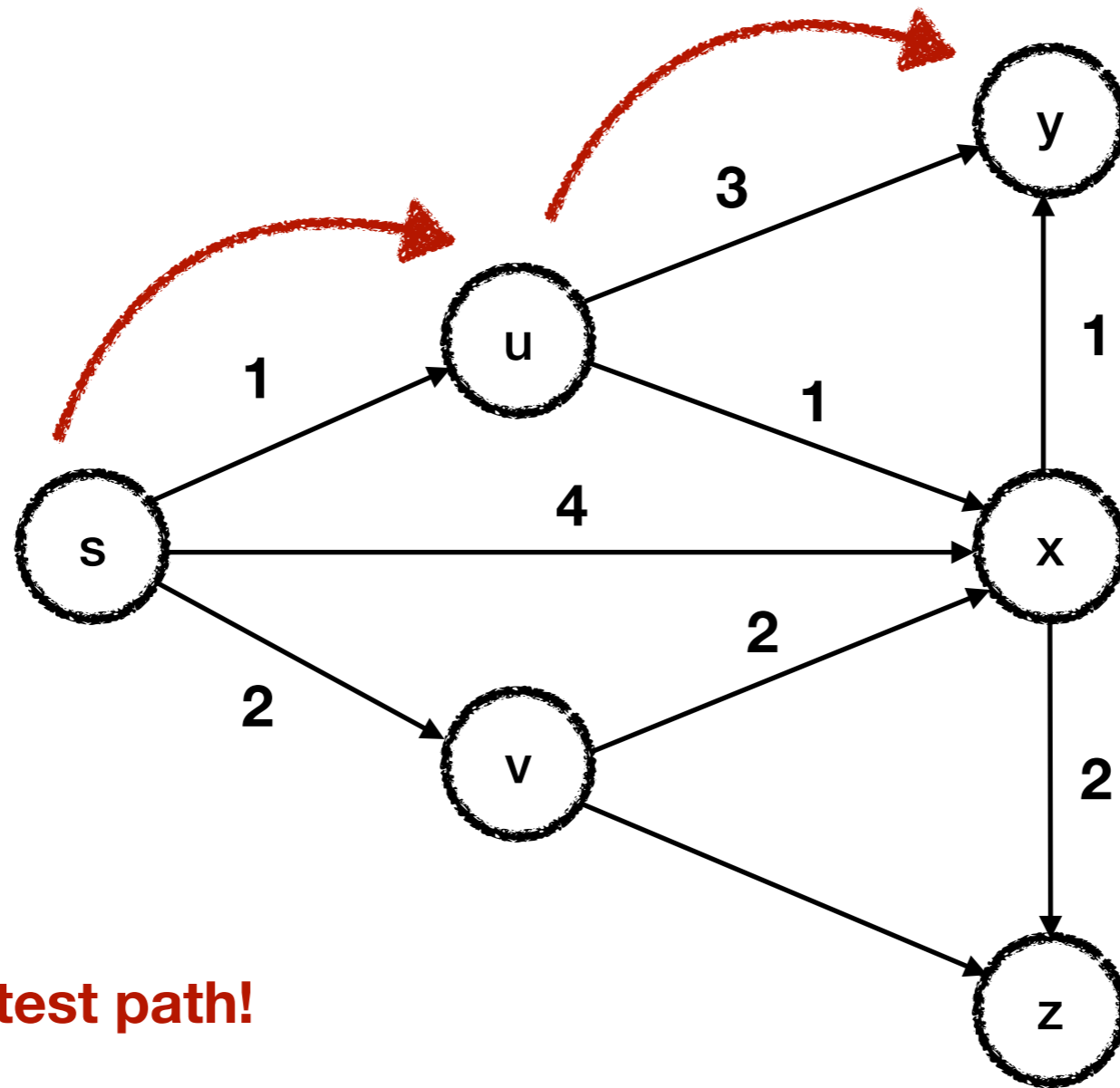
Running Example (KT Figure 5.7)



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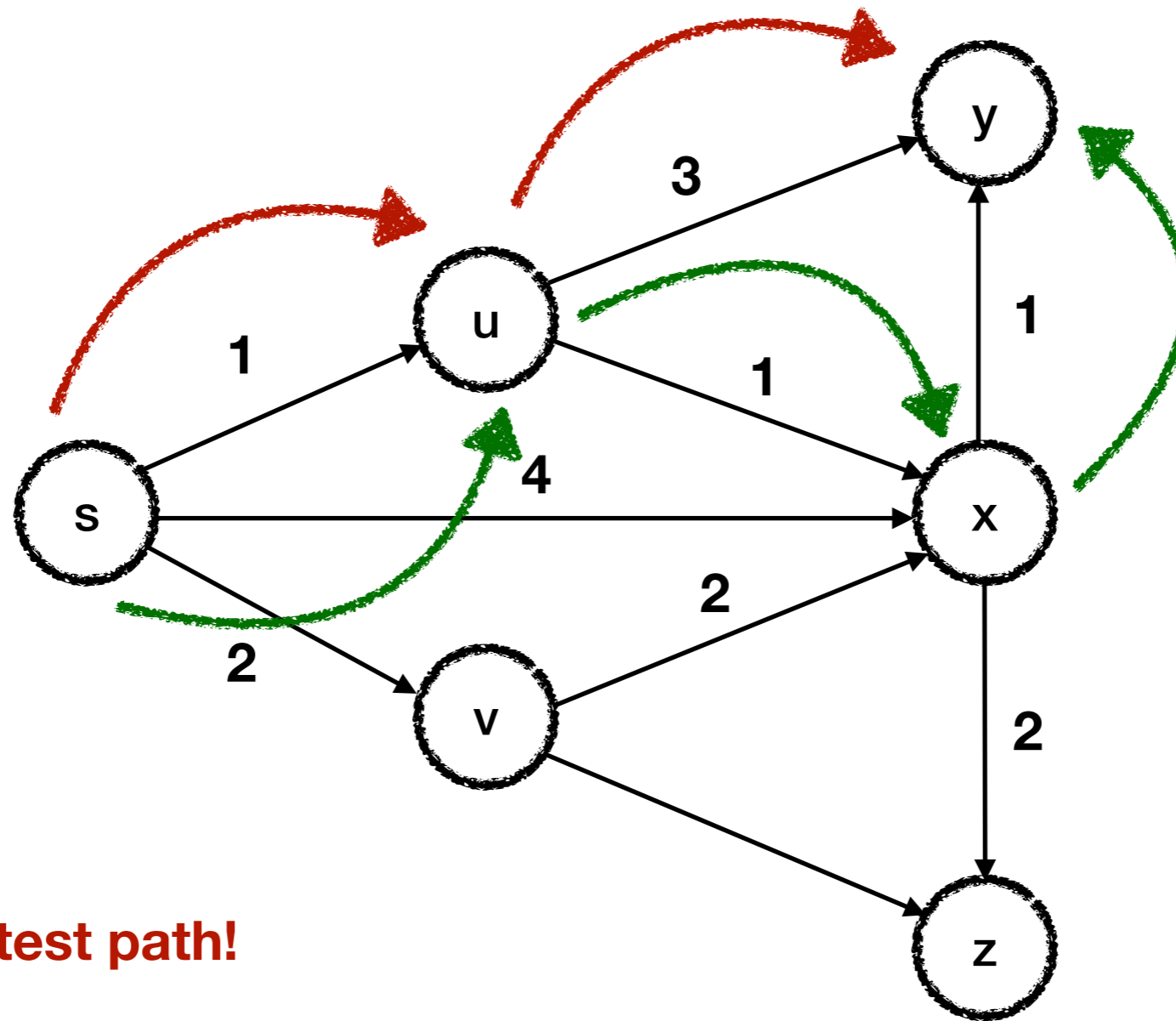


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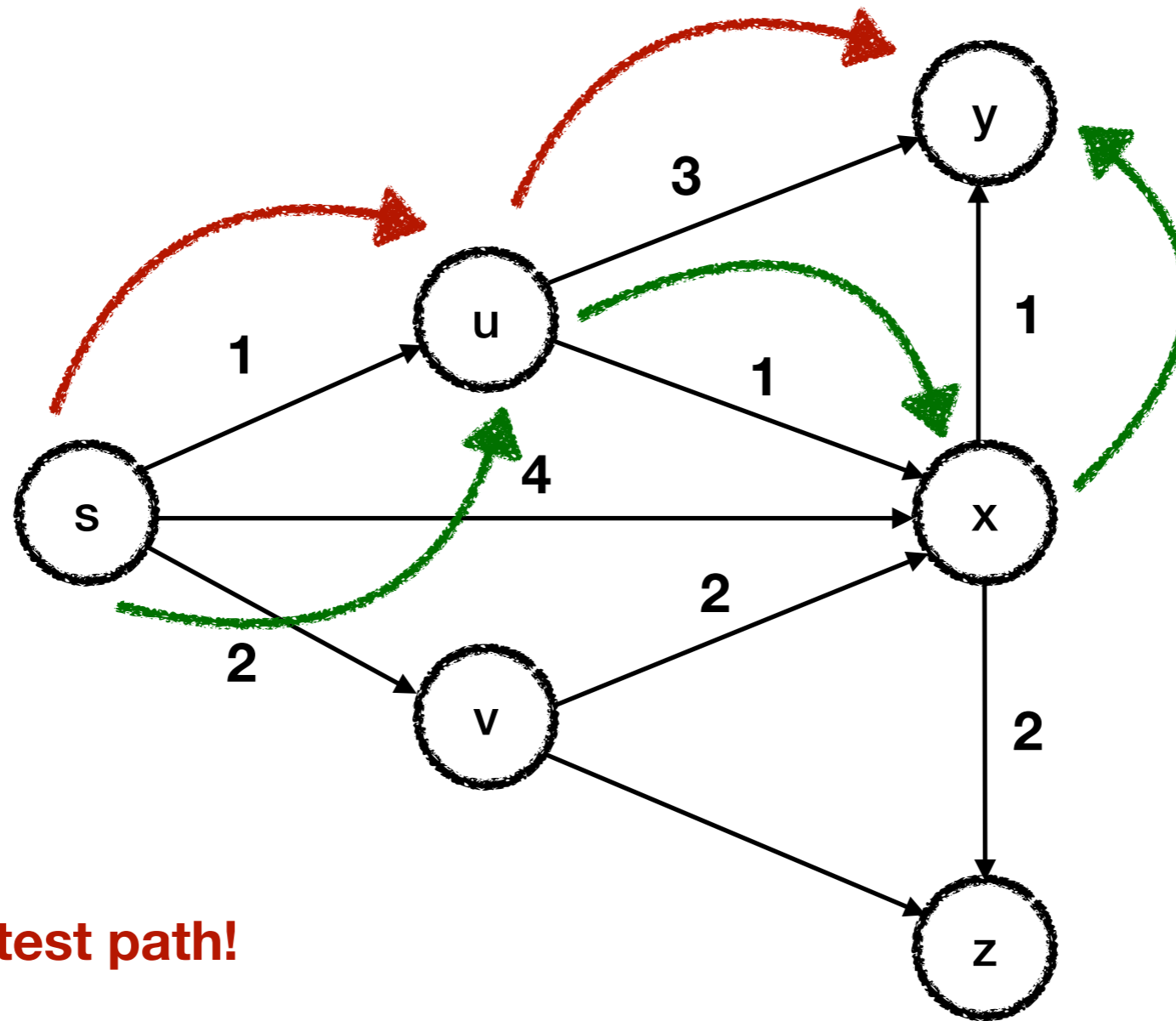
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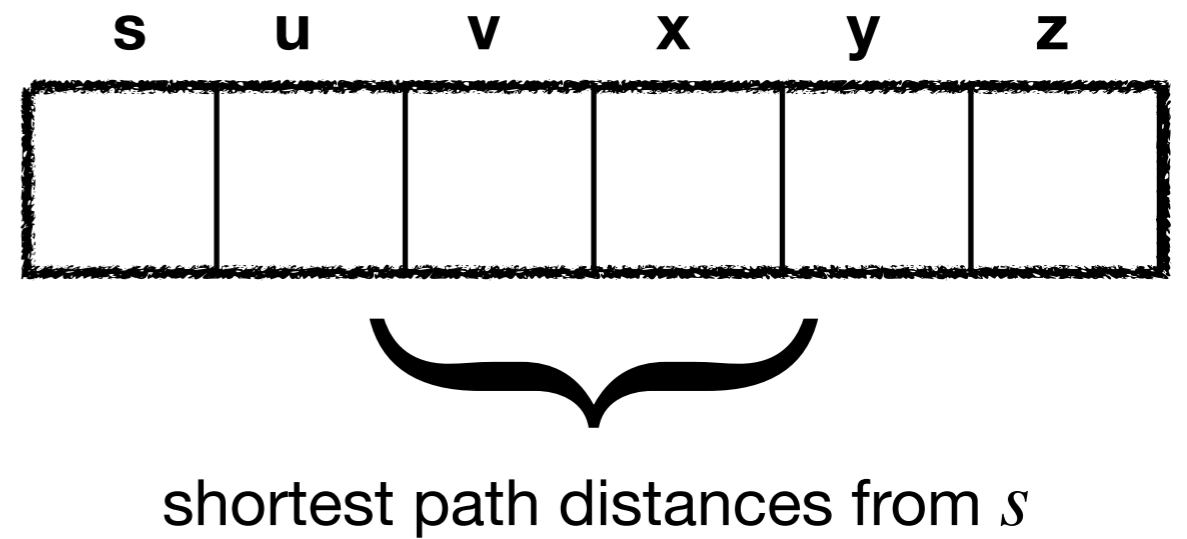
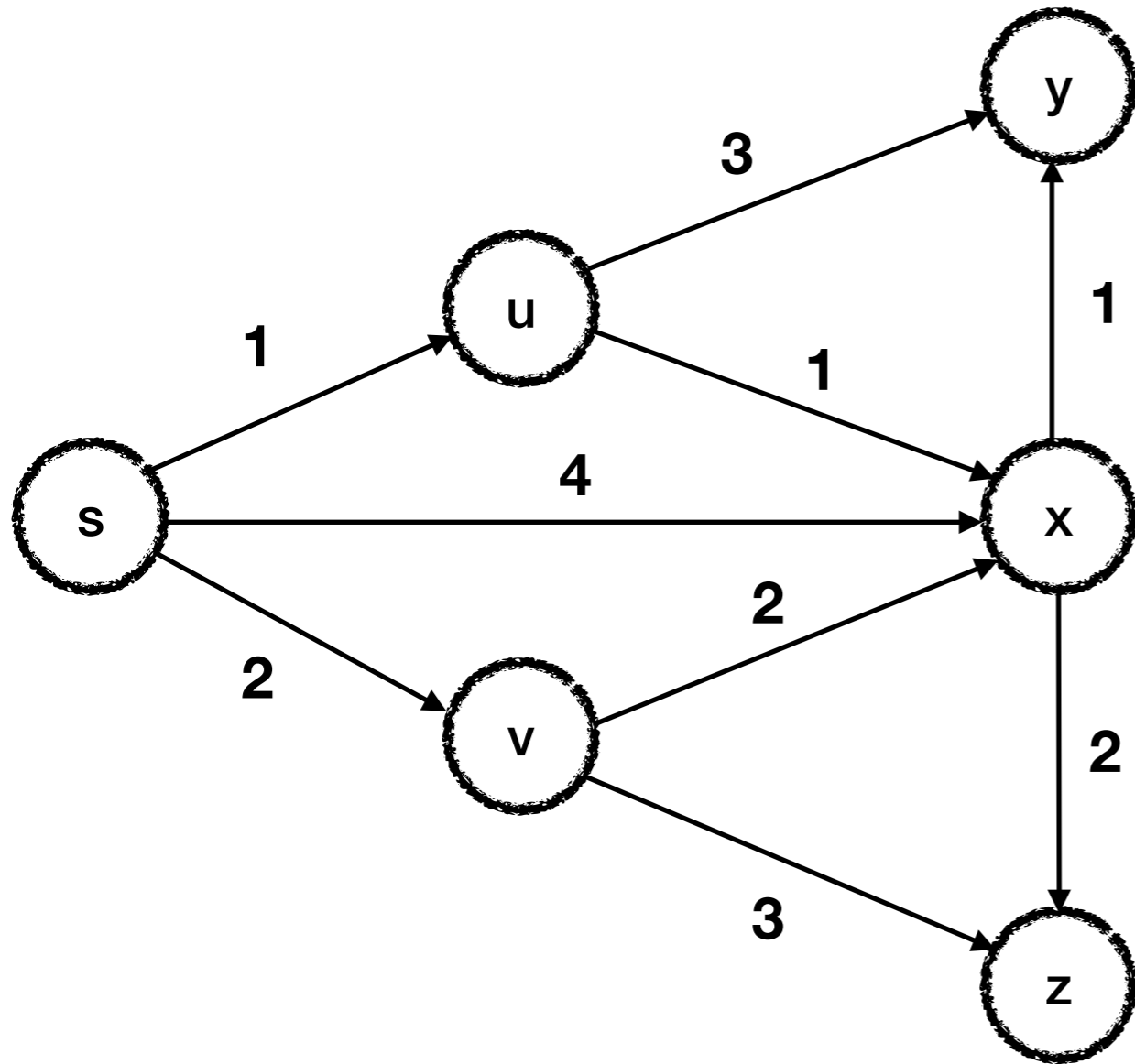
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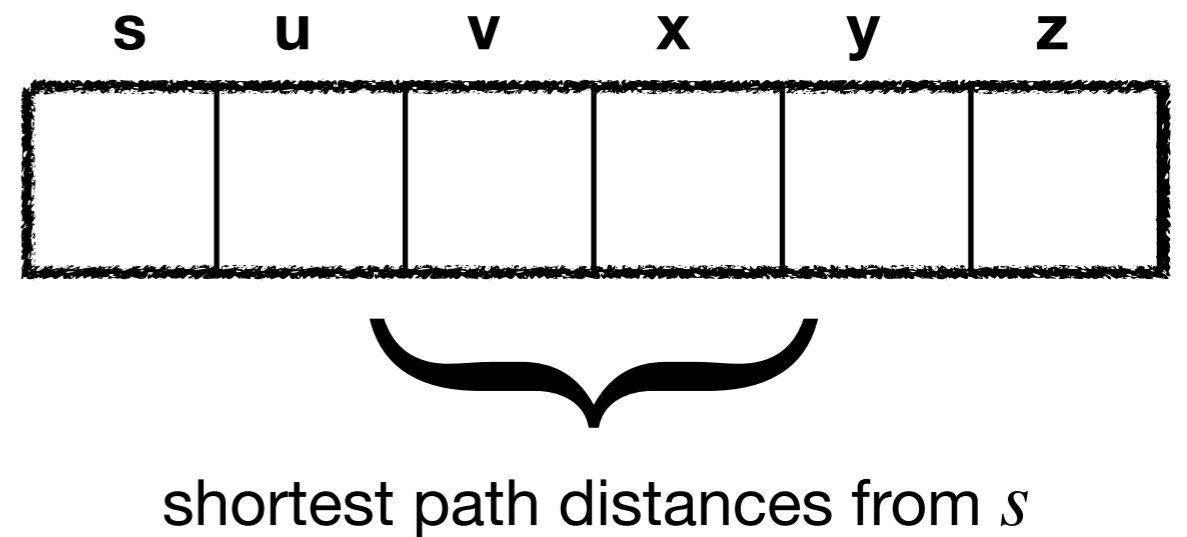
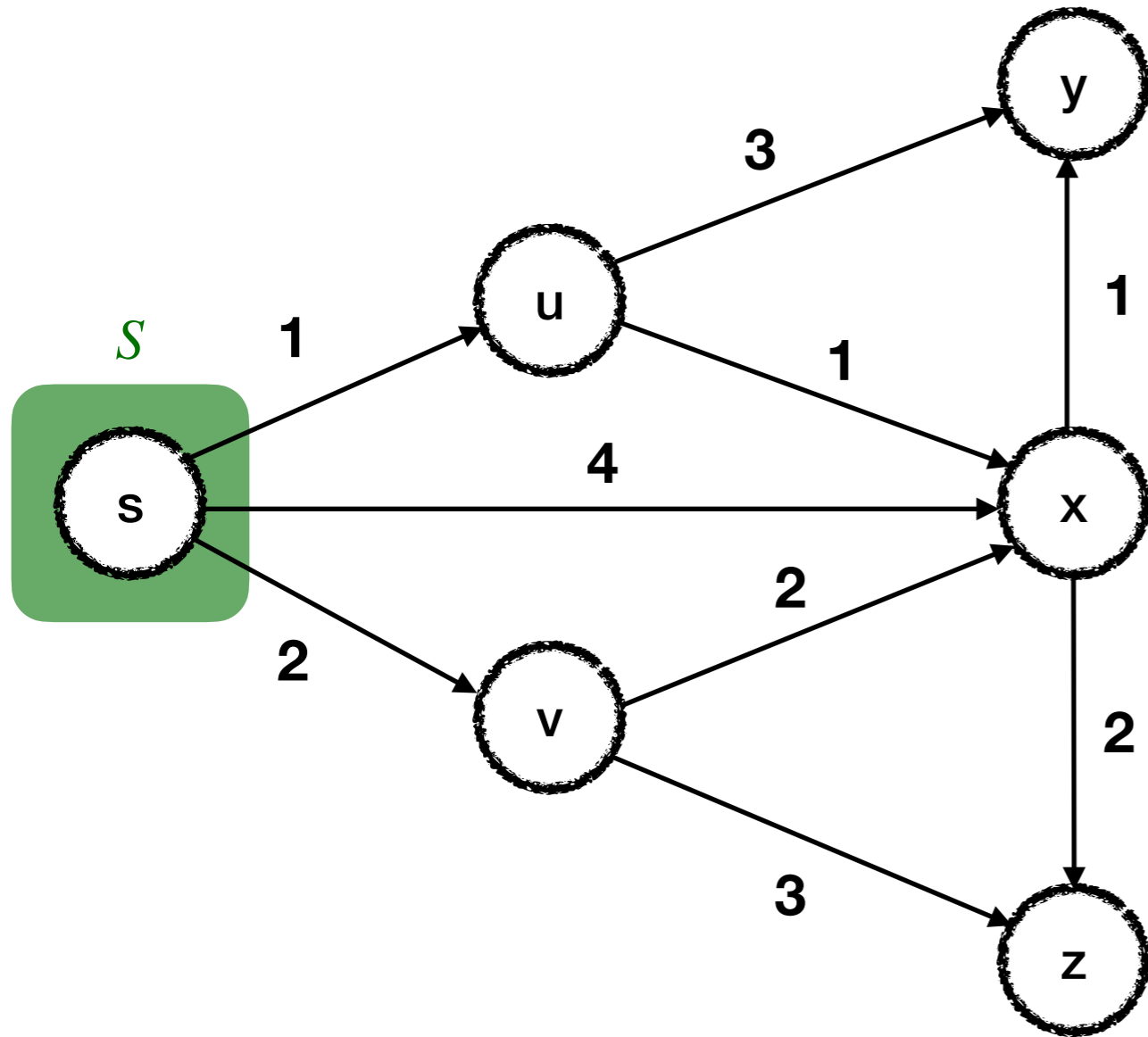
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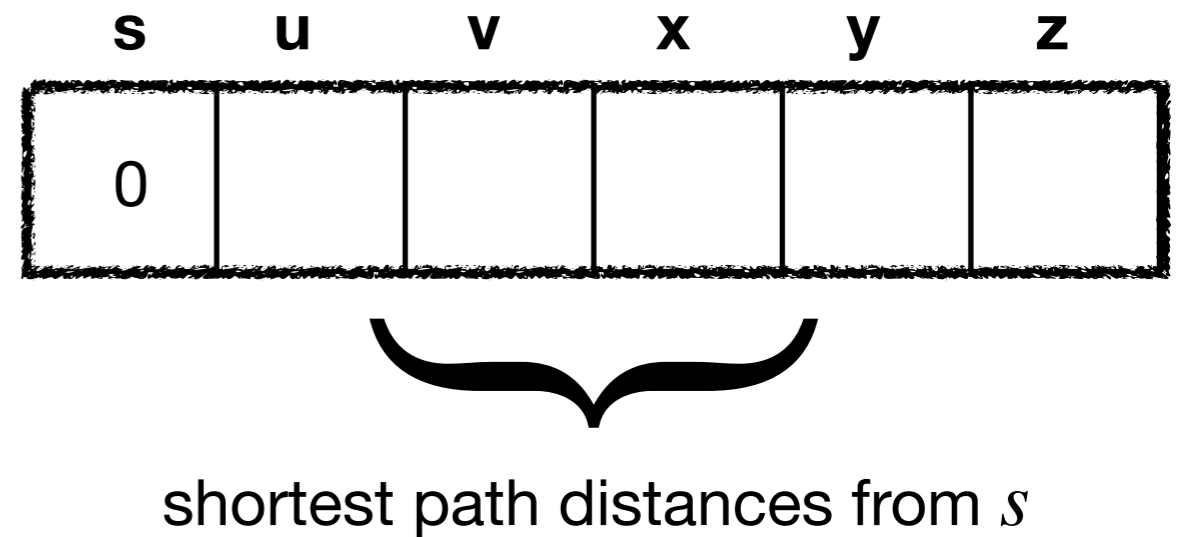
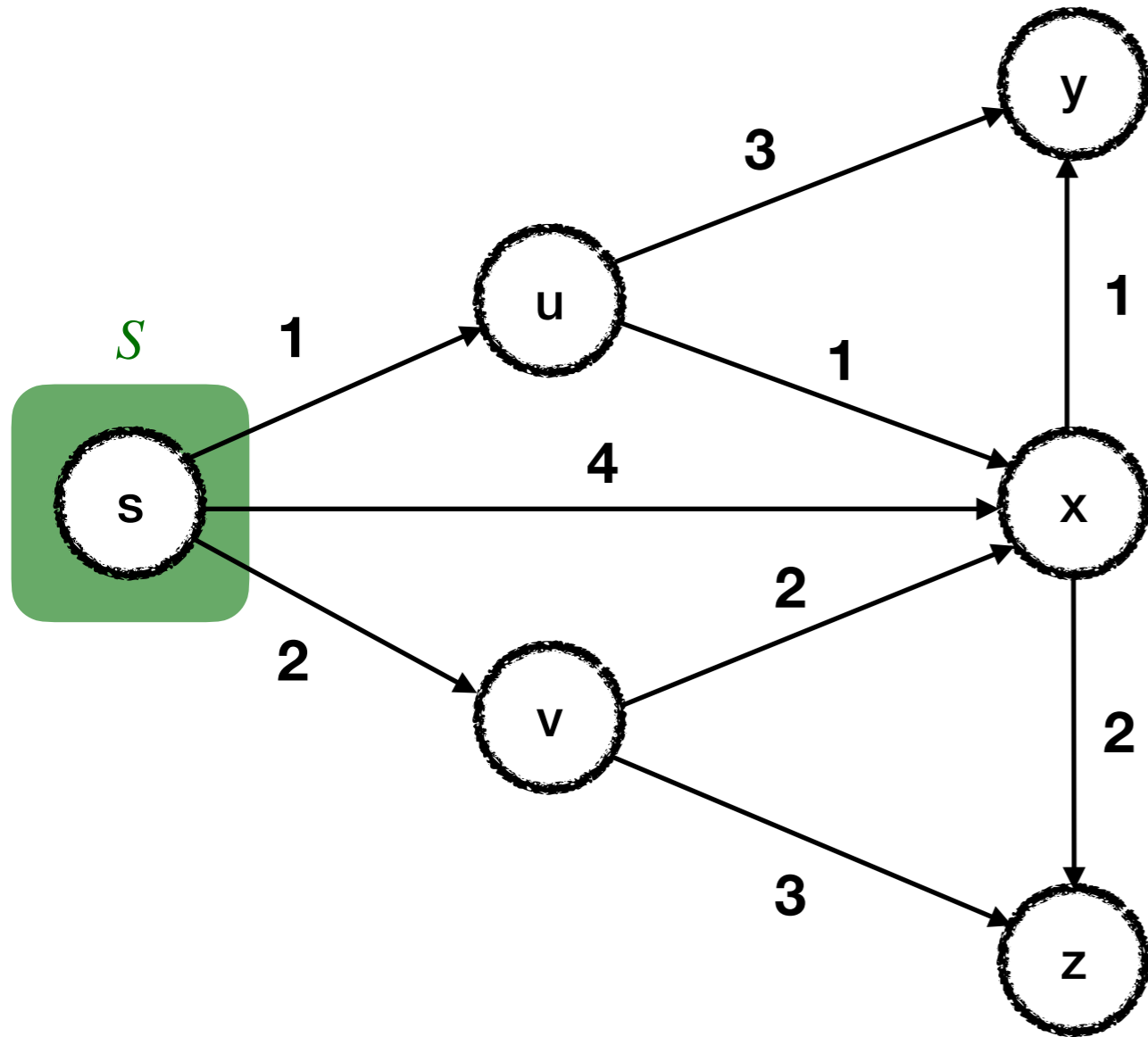
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 - We may refer to S as the *explored* part of the graph.
 - Initially, $S = \{s\}$ and $d(s) = 0$.
 - For every node $v \in V - S$, we determine the shortest path that can be constructed by traveling along a path $s \sim u$ for $u \in S$, followed by (u, v) .

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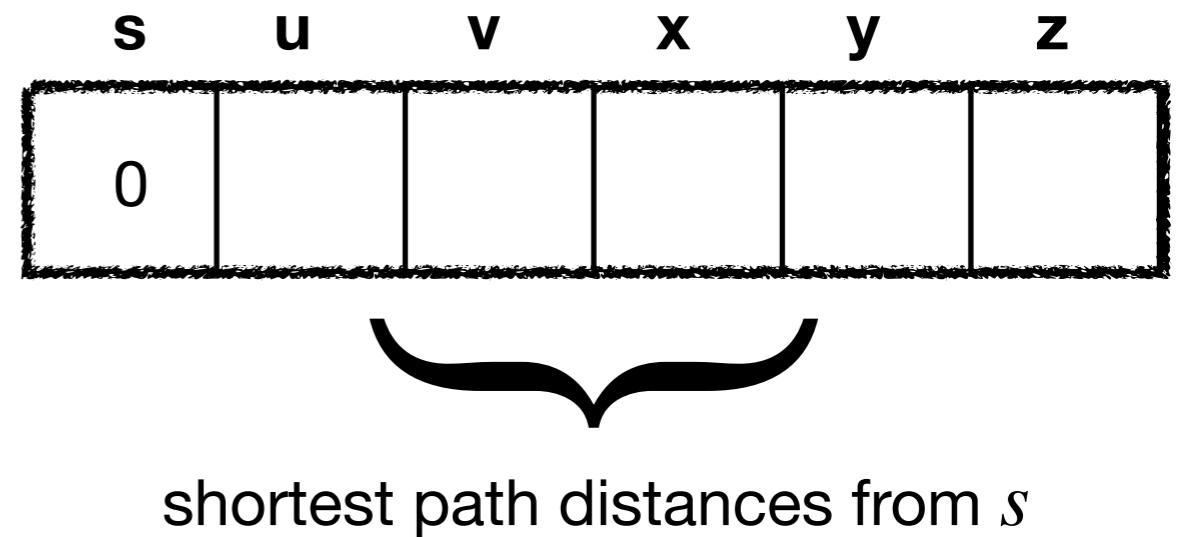
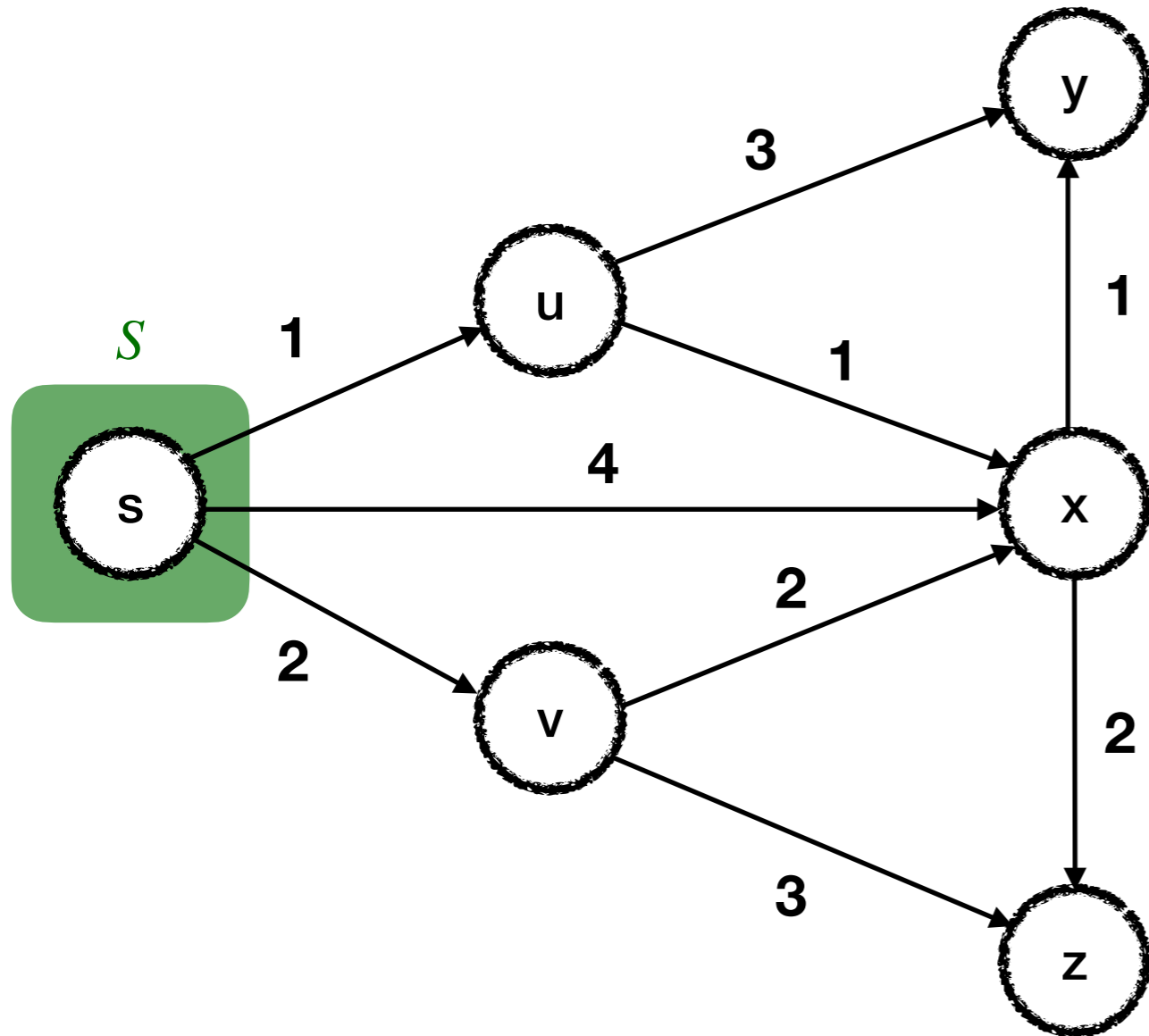
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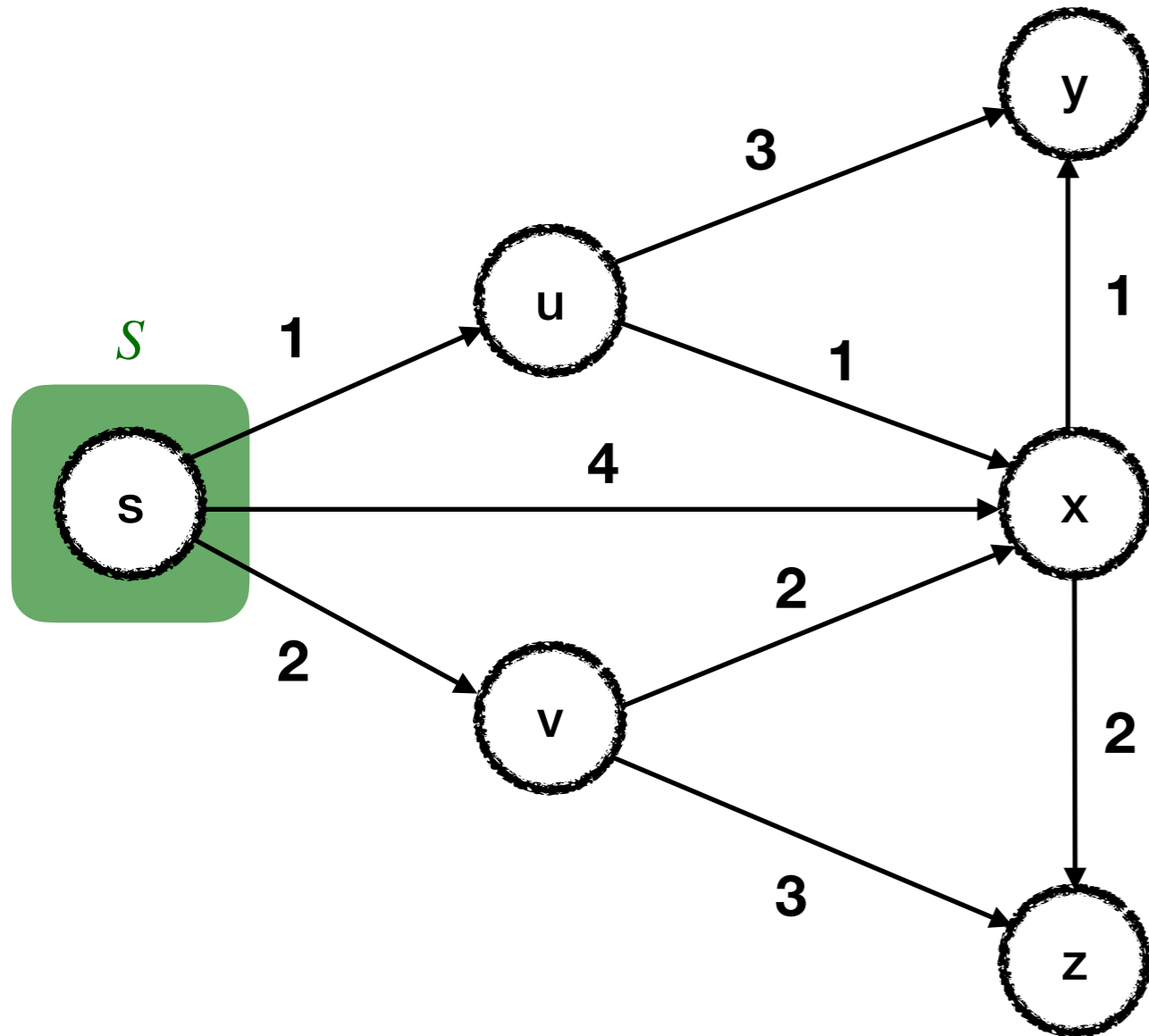
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- Add v to S and define $d(v) = d'(v)$.

Running Example (KT Figure 5.7)



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s	u	v	x	y	z
0					

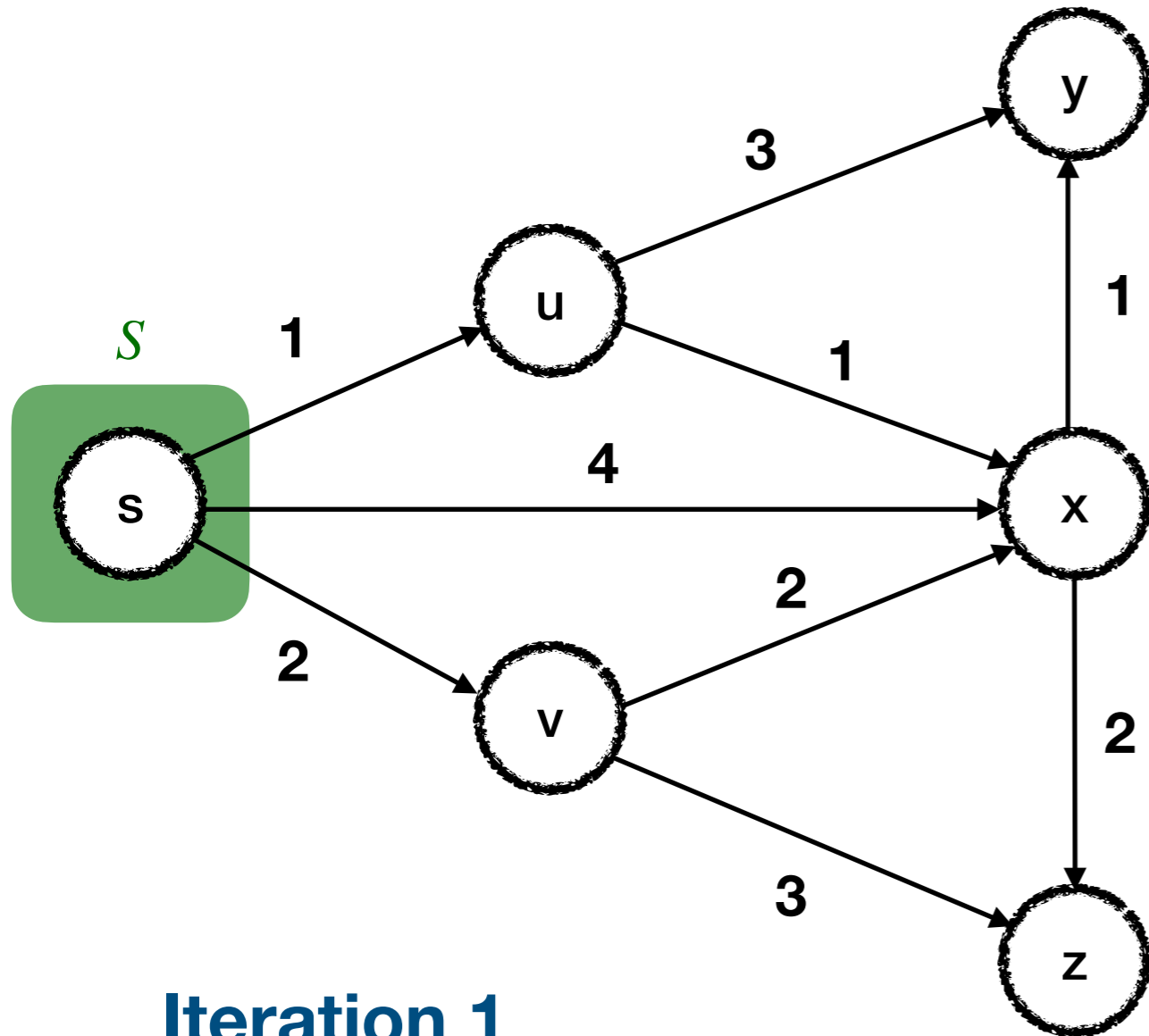
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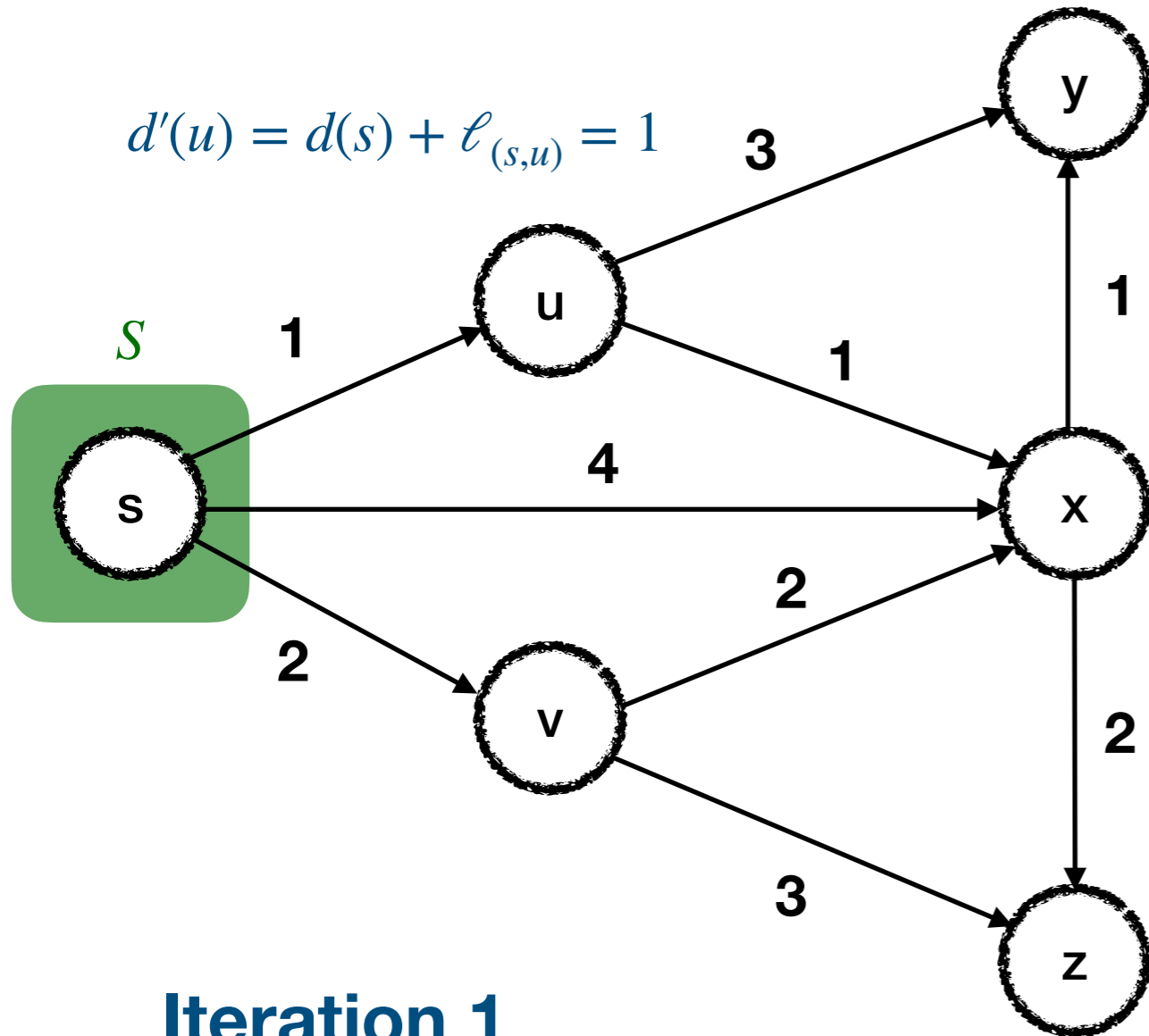
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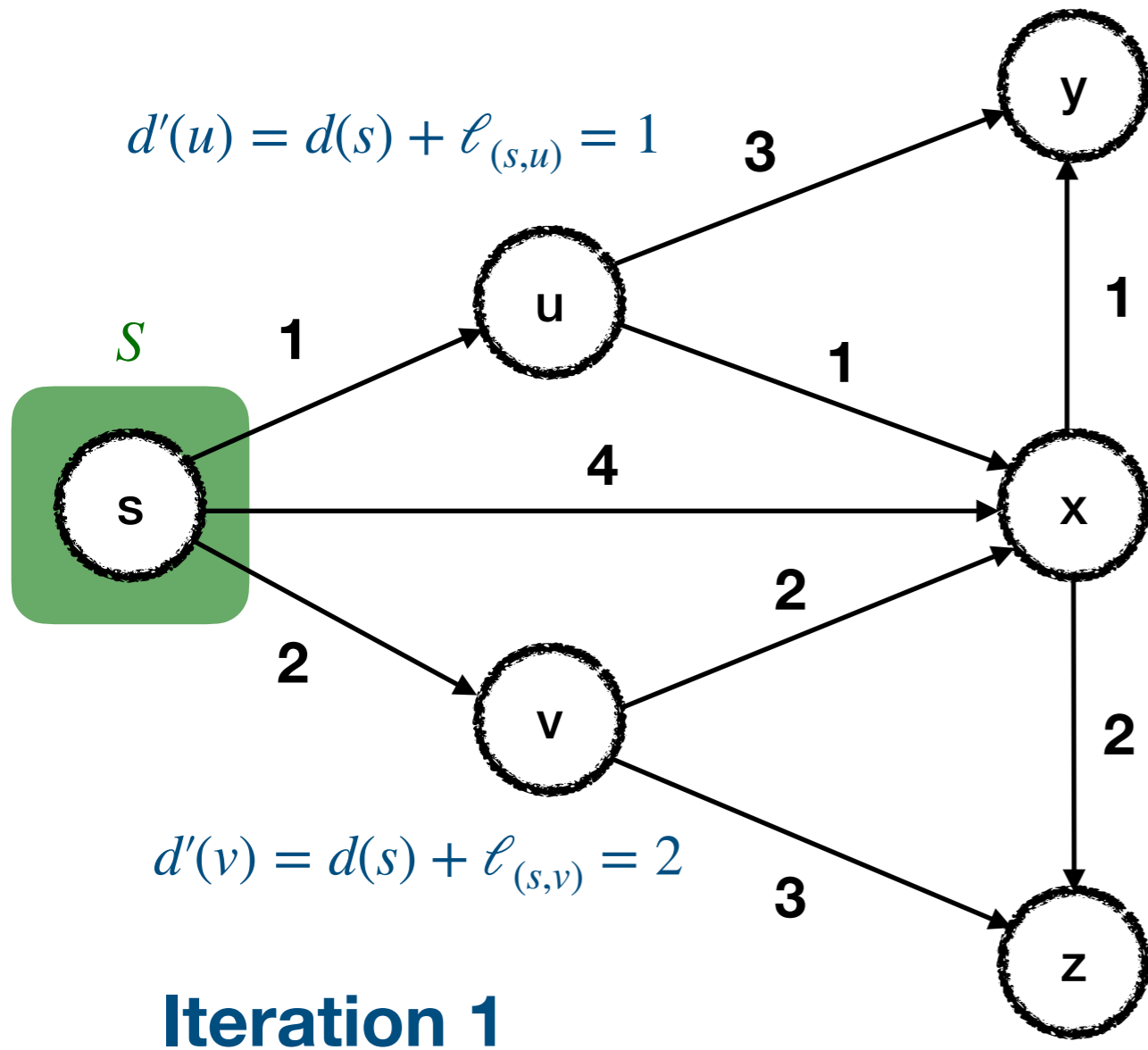
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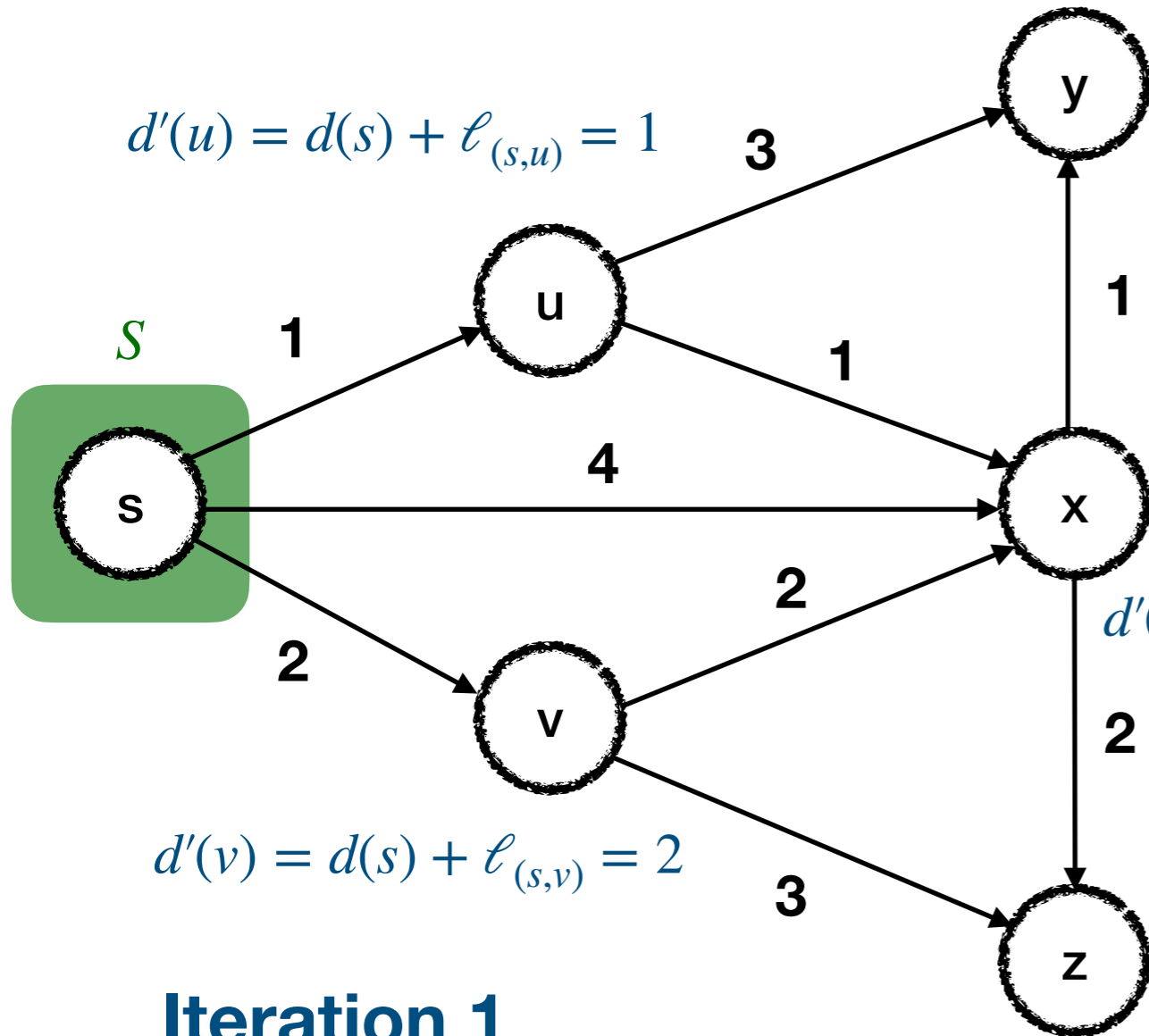
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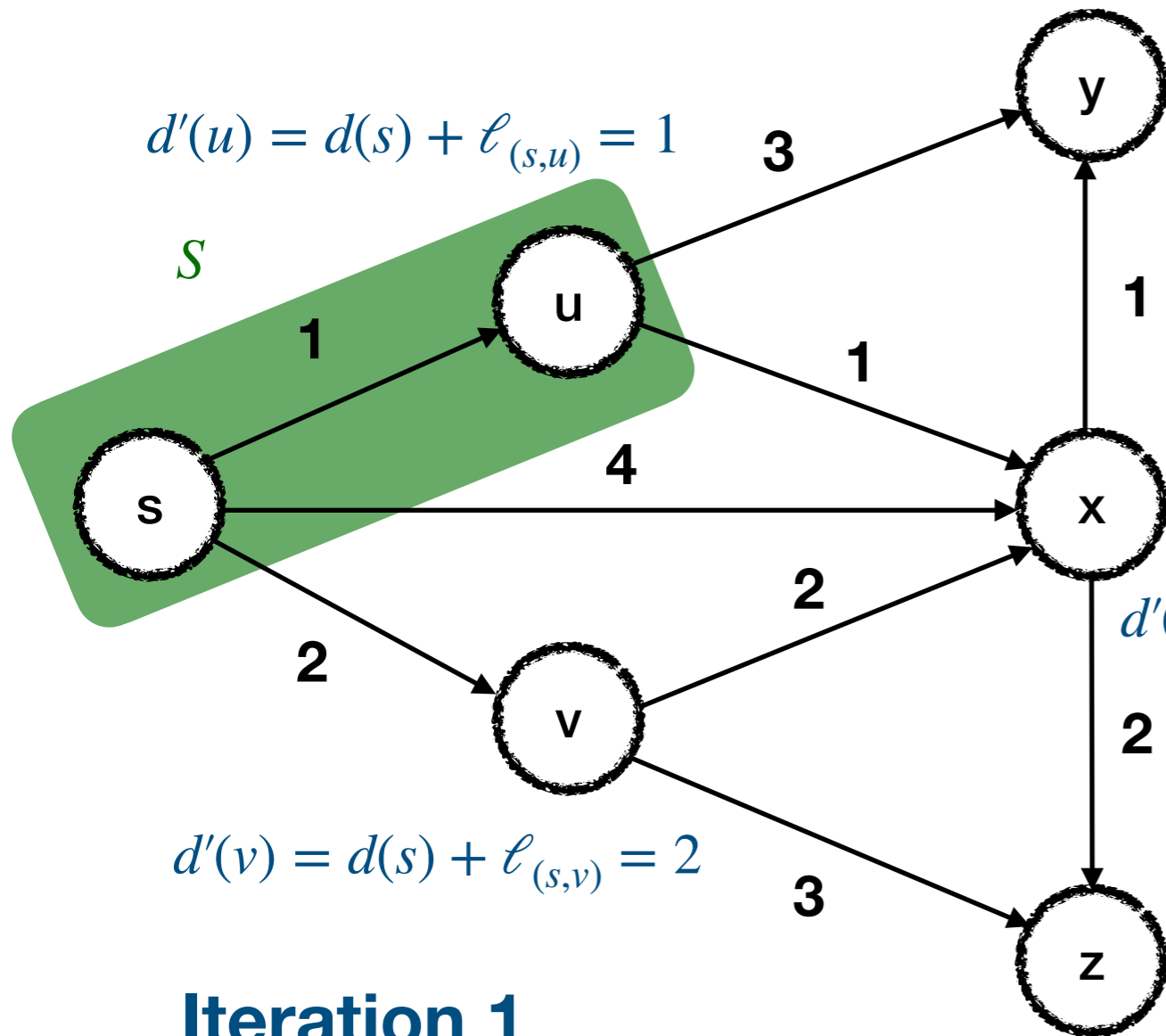
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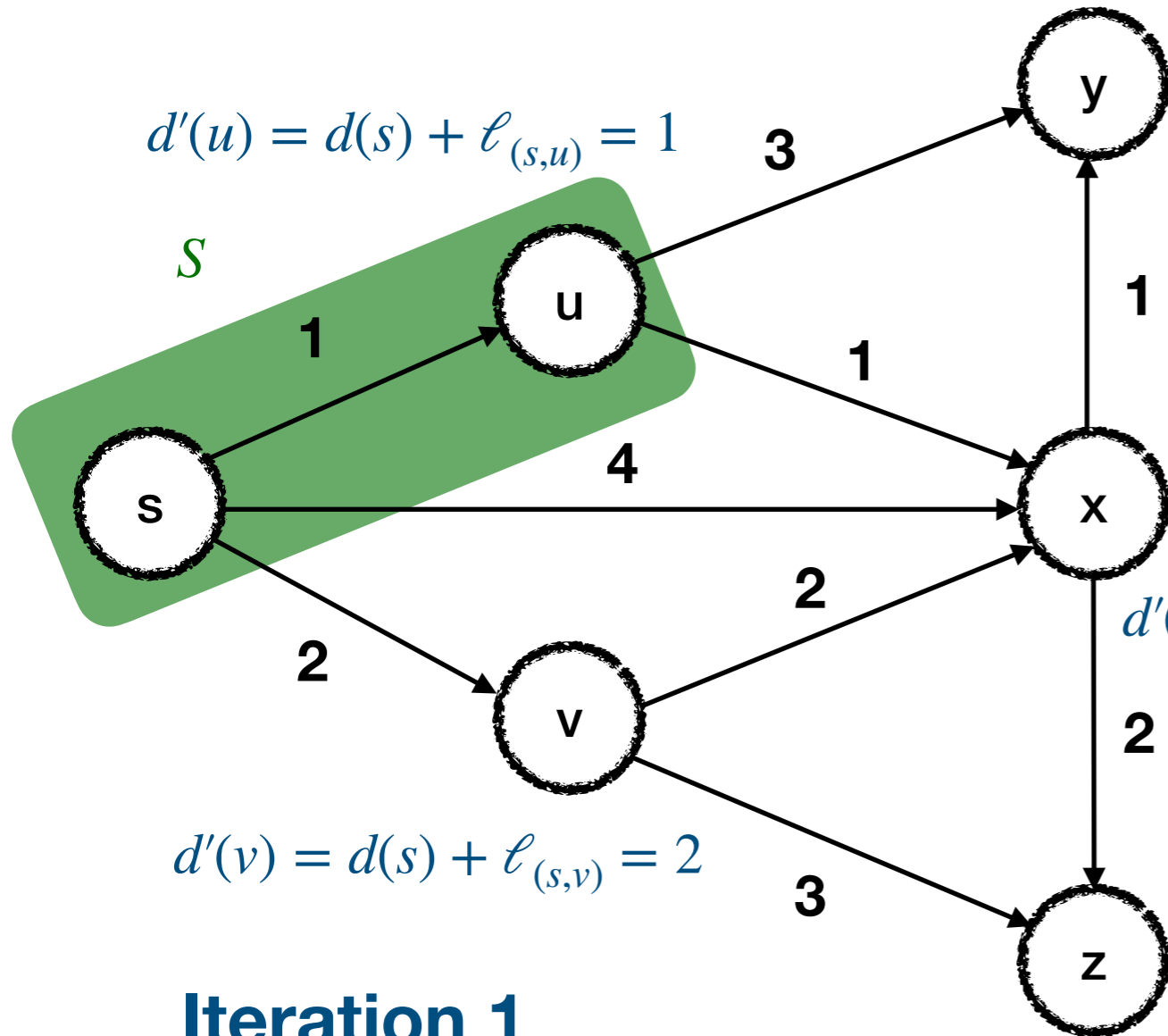
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s	u	v	x	y	z
0	1				

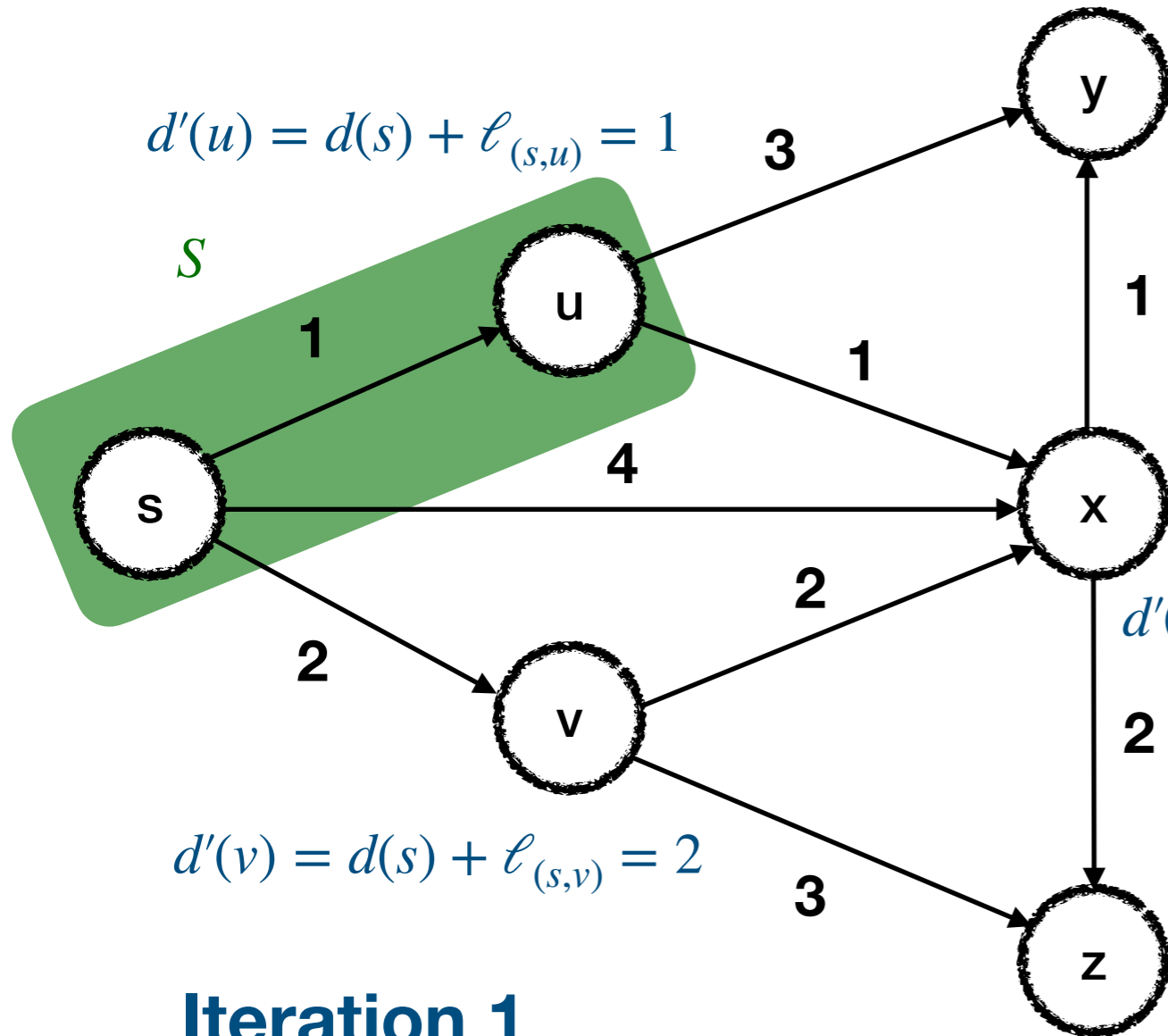
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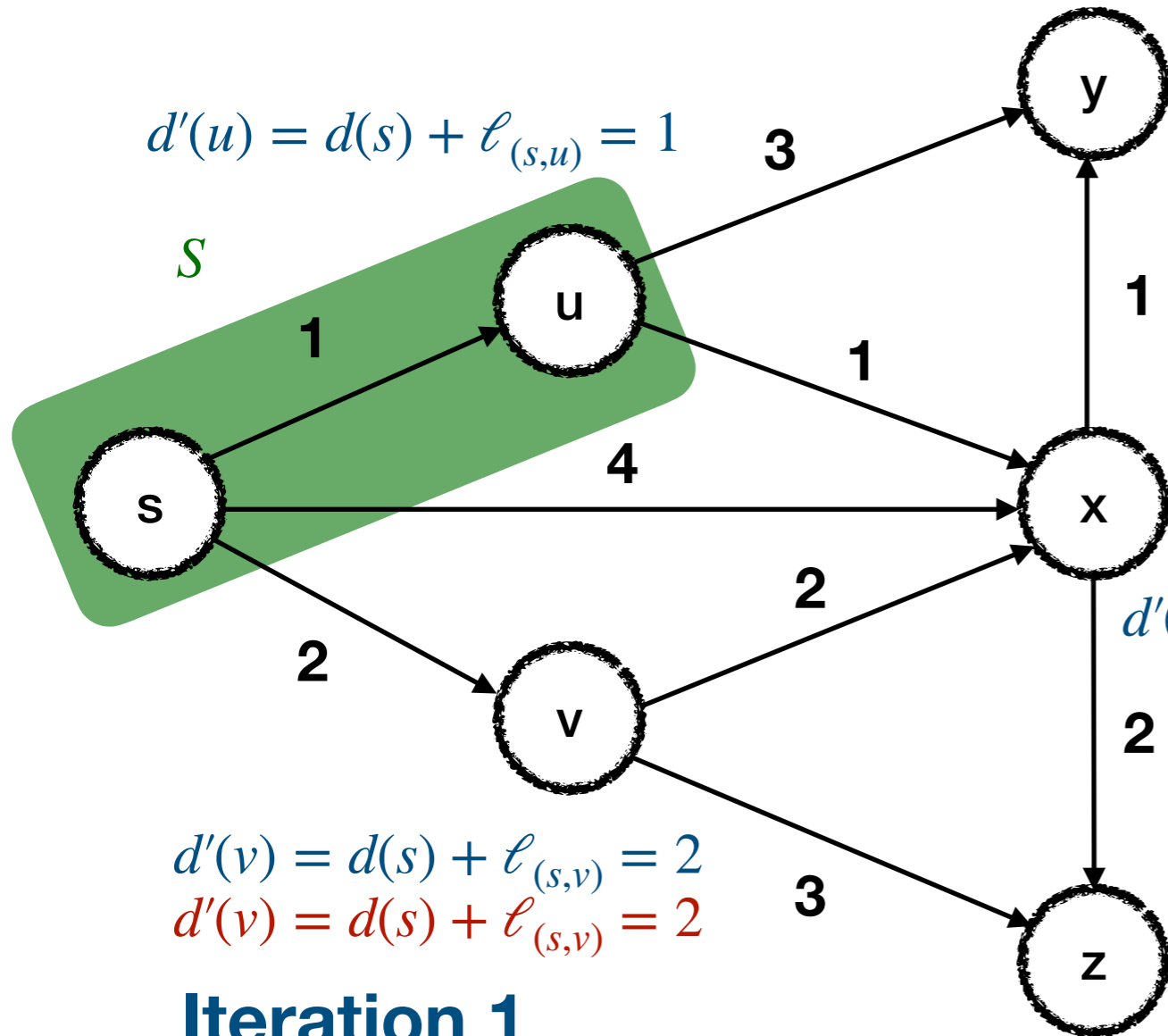
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Iteration 1
Iteration 2

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s	u	v	x	y	z
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} shortest path distances from s

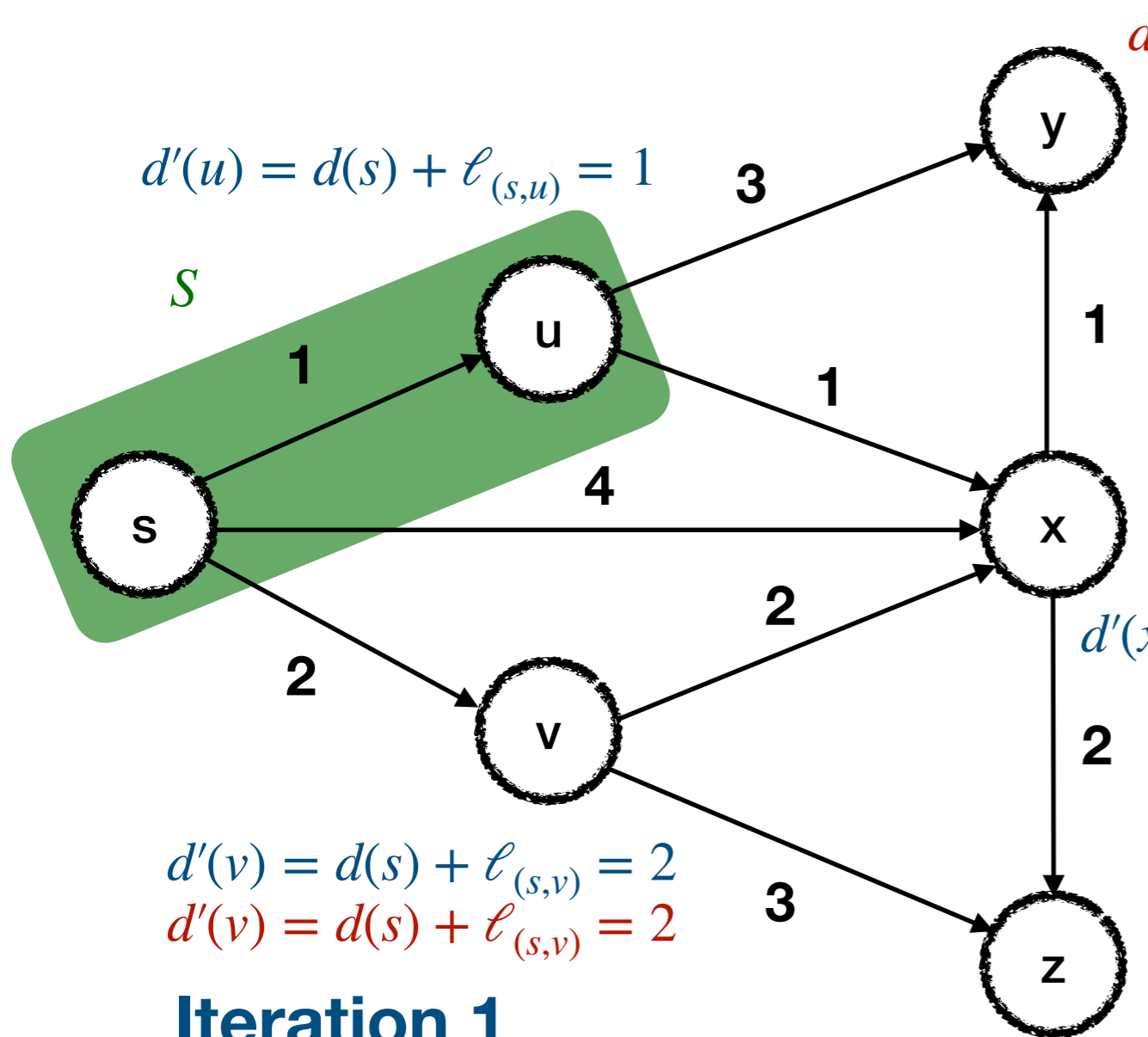
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Running Example (KT Figure 5.7)



$$d'(u) = d(s) + \ell_{(s,u)} = 1$$

$$d'(y) = d(u) + \ell_{(u,y)} = 4$$

S

s	u	v	x	y	z
0	1				



shortest path distances from s

$$d'(x) = d(s) + \ell_{(s,x)} = 4$$

$$d'(v) = d(s) + \ell_{(s,v)} = 2$$

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Iteration 1

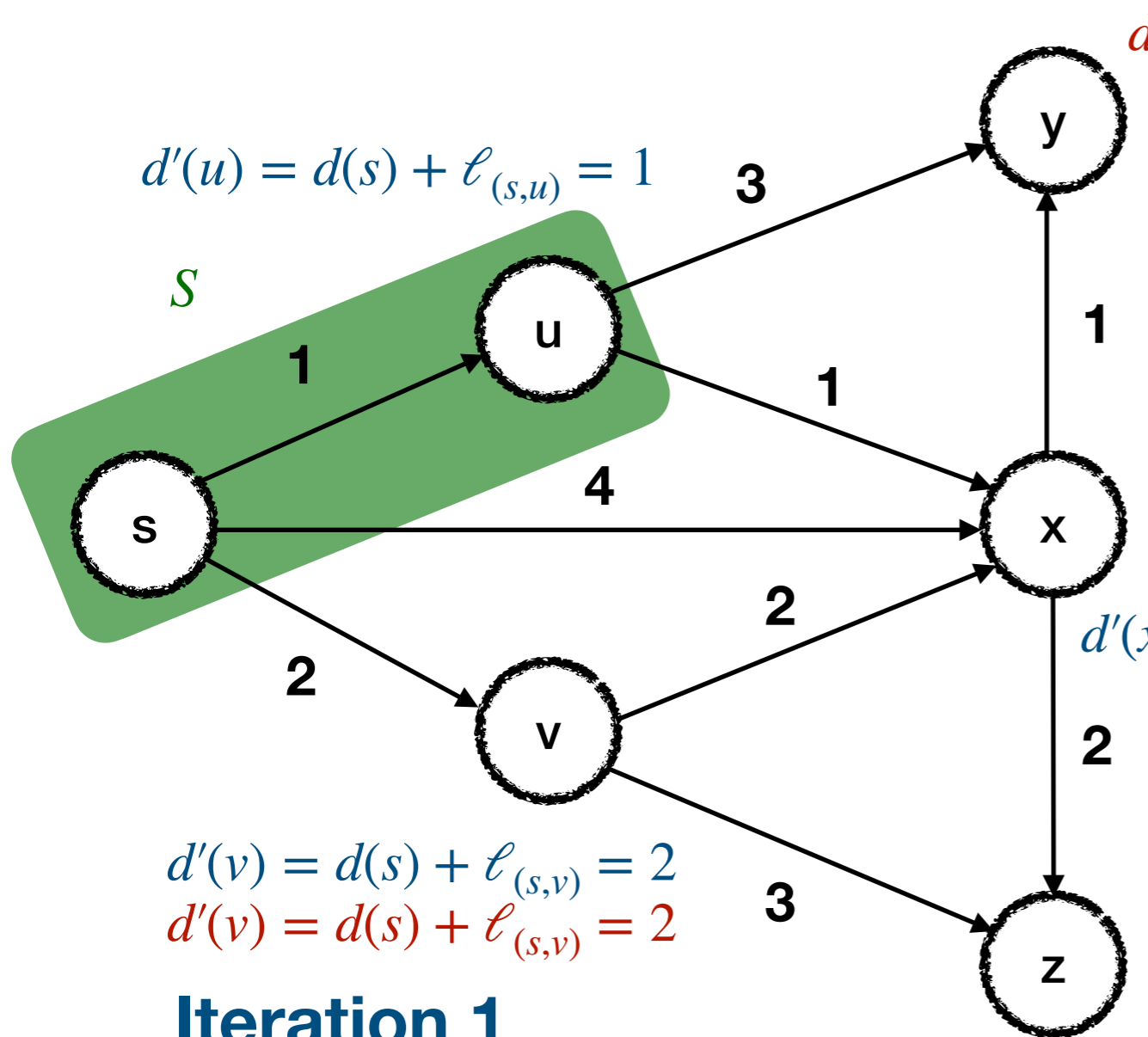
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s	u	v	x	y	z
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shortest path distances from s

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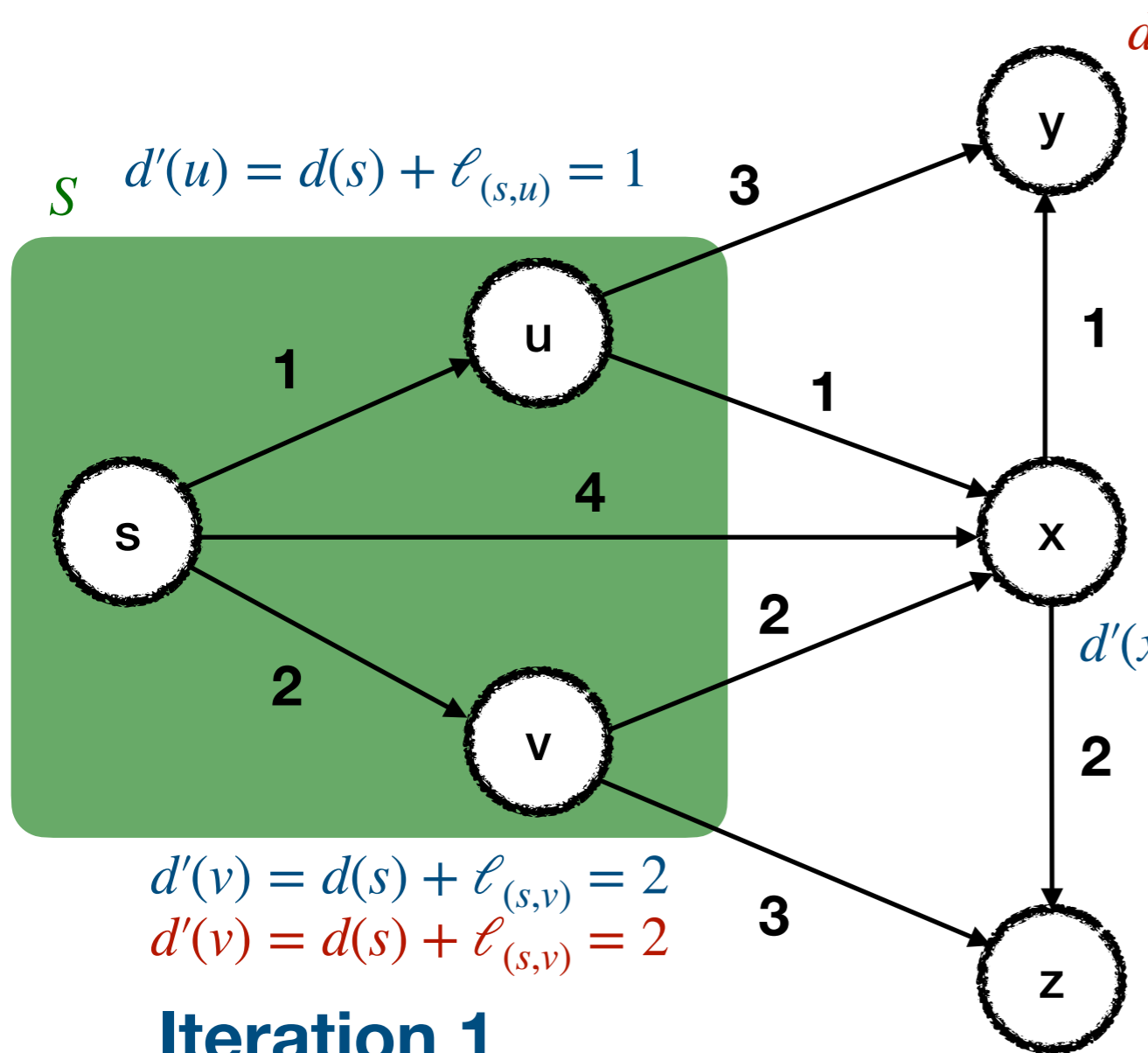
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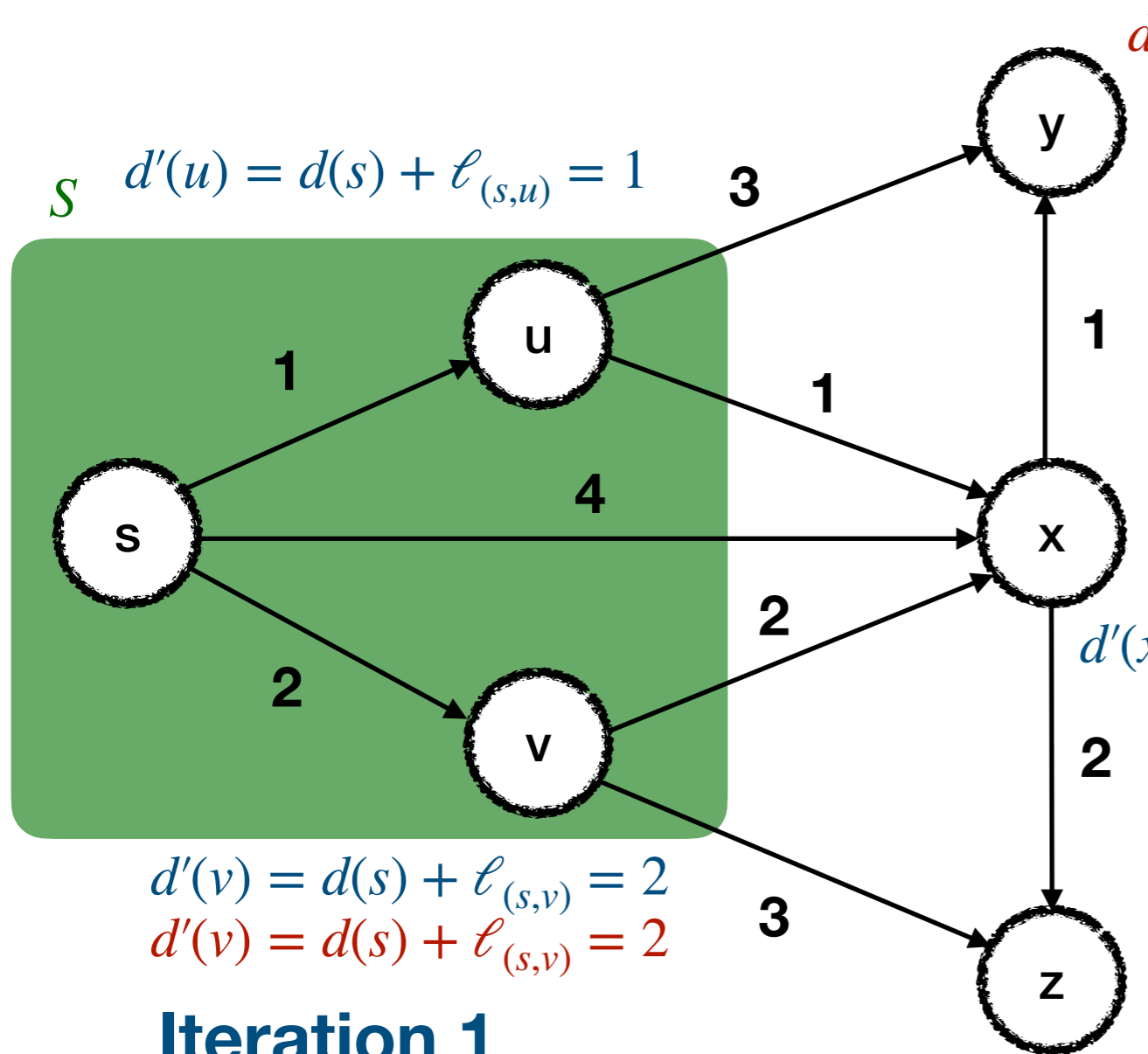
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s	u	v	x	y	z
0	1	2			

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Dijkstra's Algorithm (Pseudocode)

Dijkstra (G, ℓ)

Let S be the set of explored nodes, A be a list of distances.

Initially $S = \{s\}$ and $d(s) = 0, A[s] = d(s) = 0$

While $S \neq V$

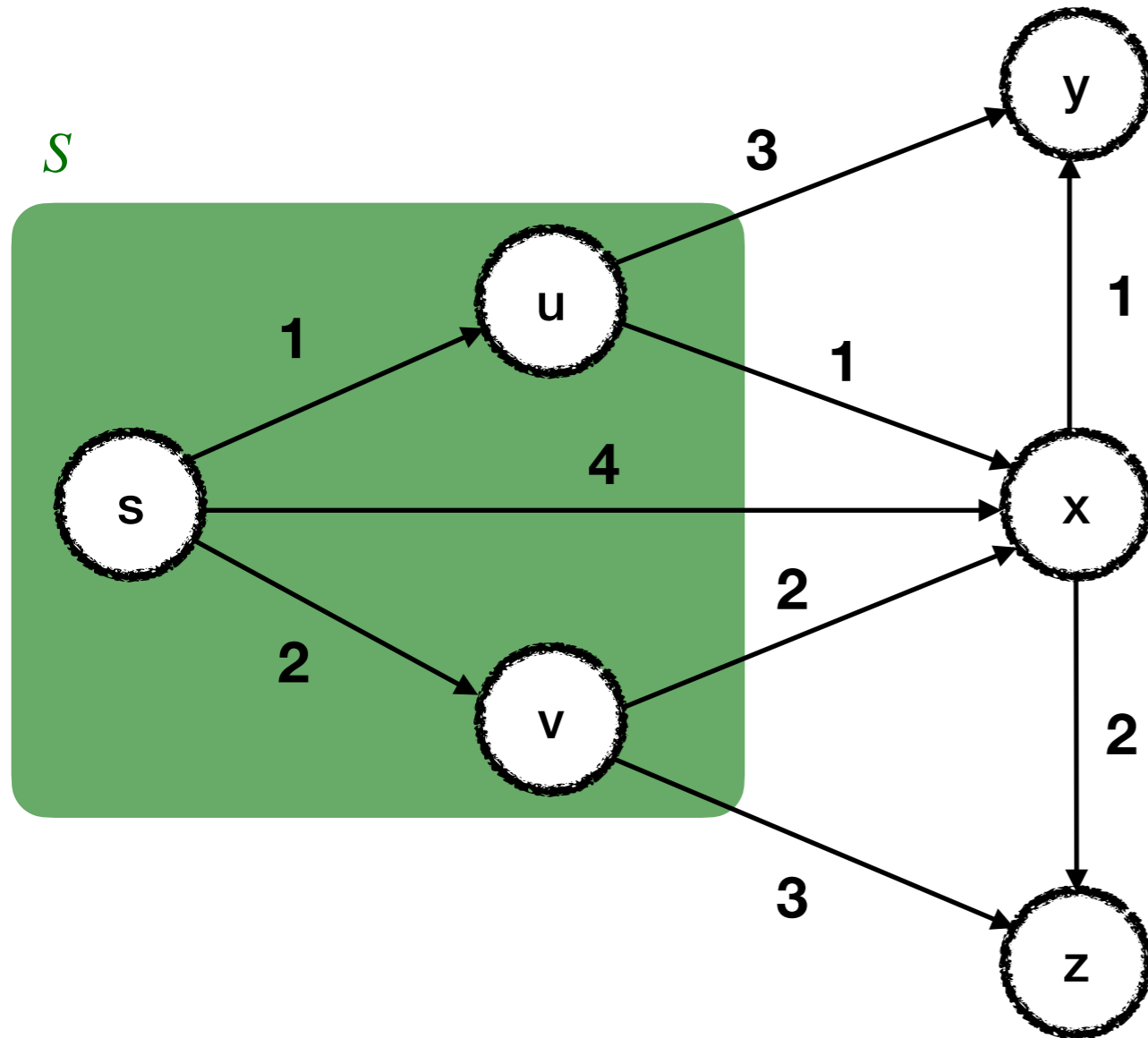
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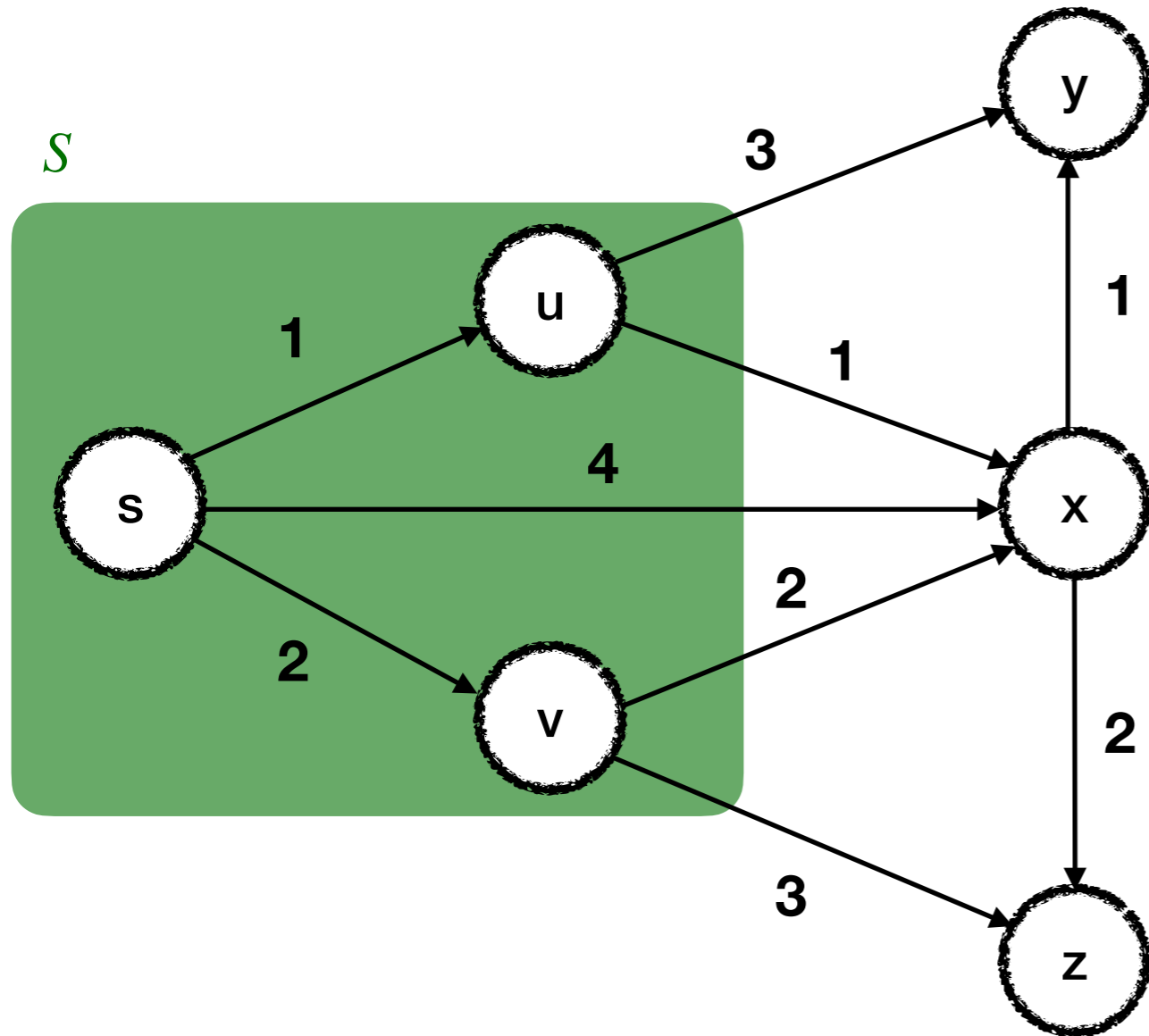
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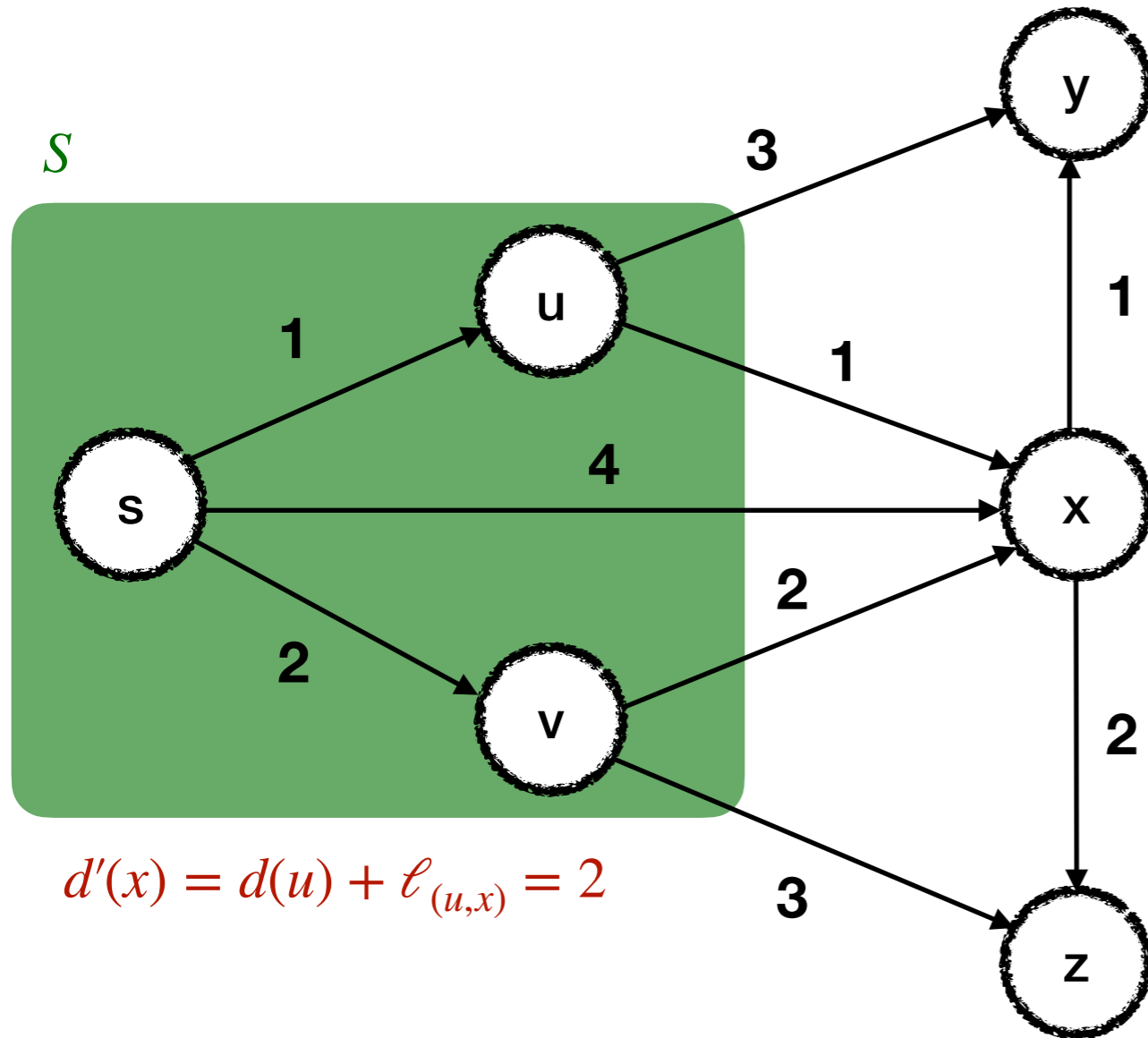
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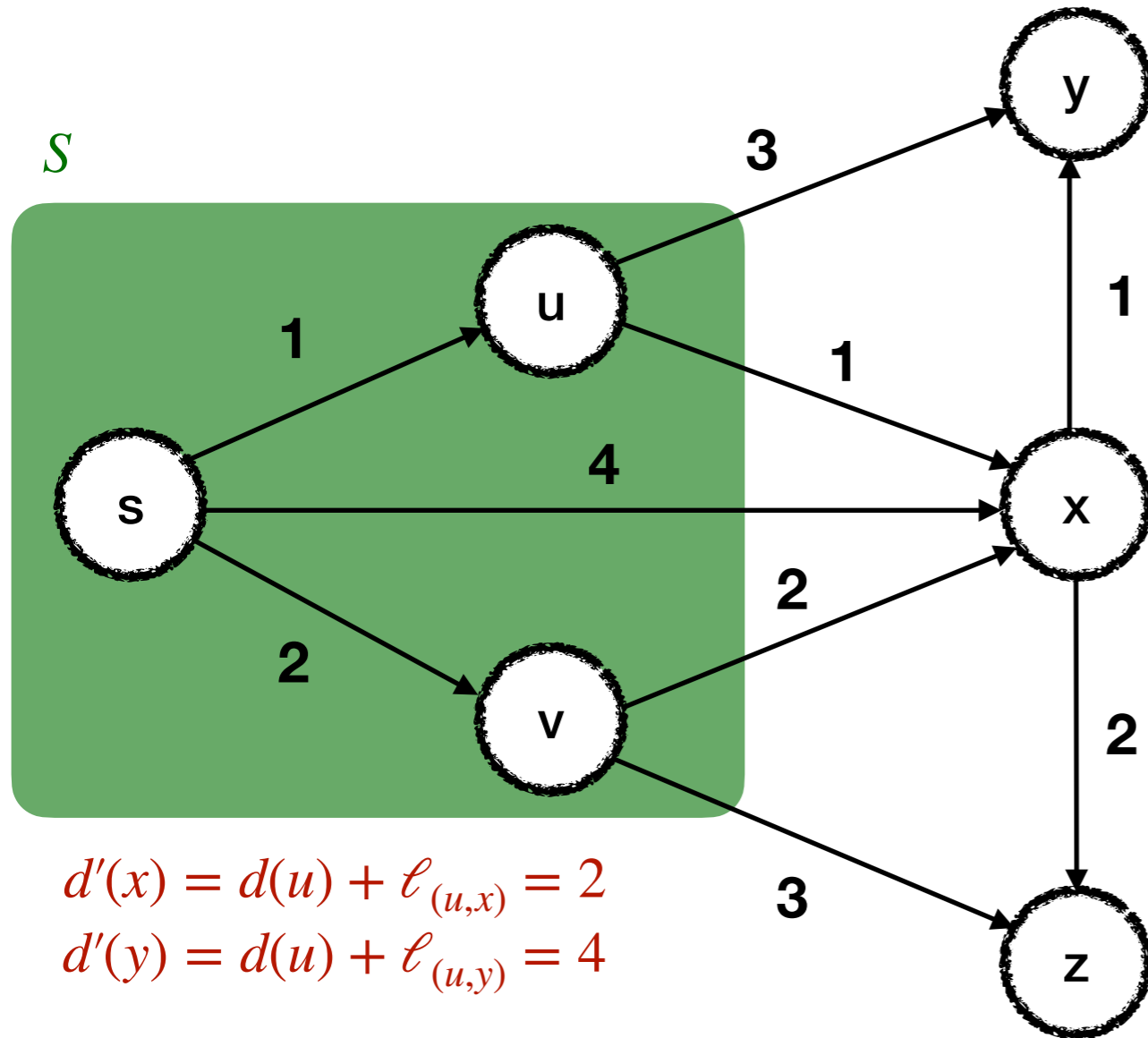
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$$d'(x) = d(u) + \ell_{(u,x)} = 2$$

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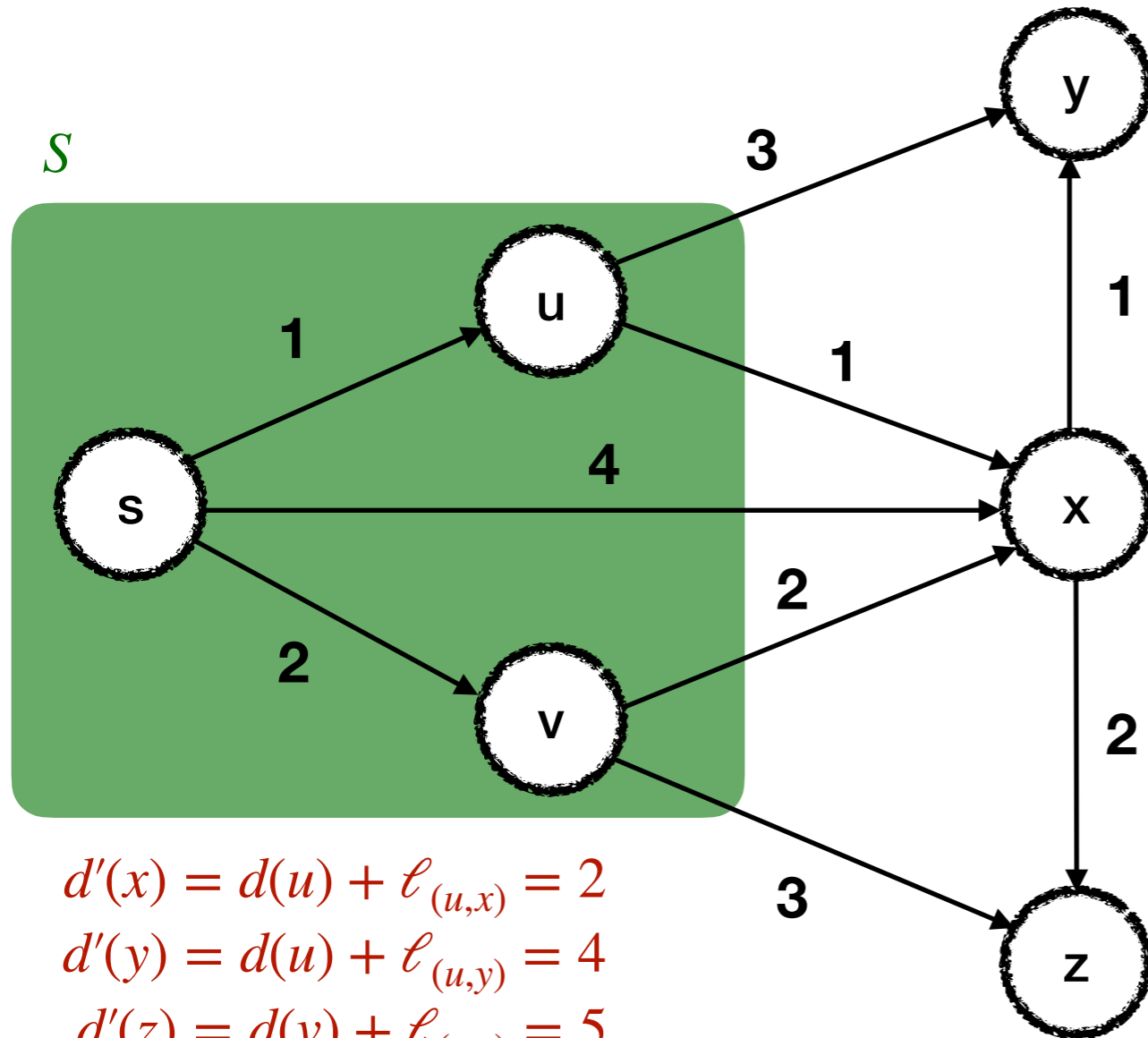
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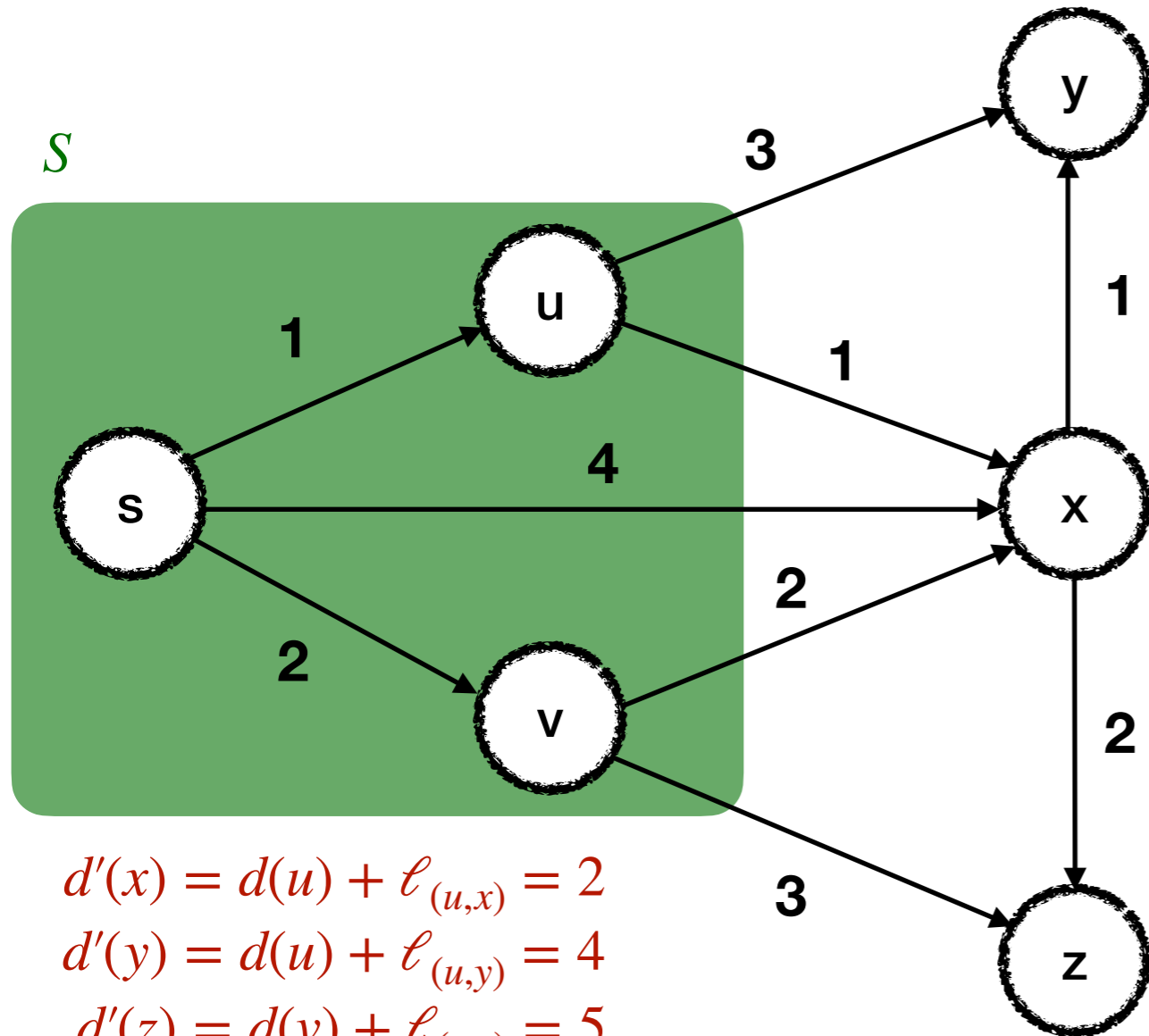
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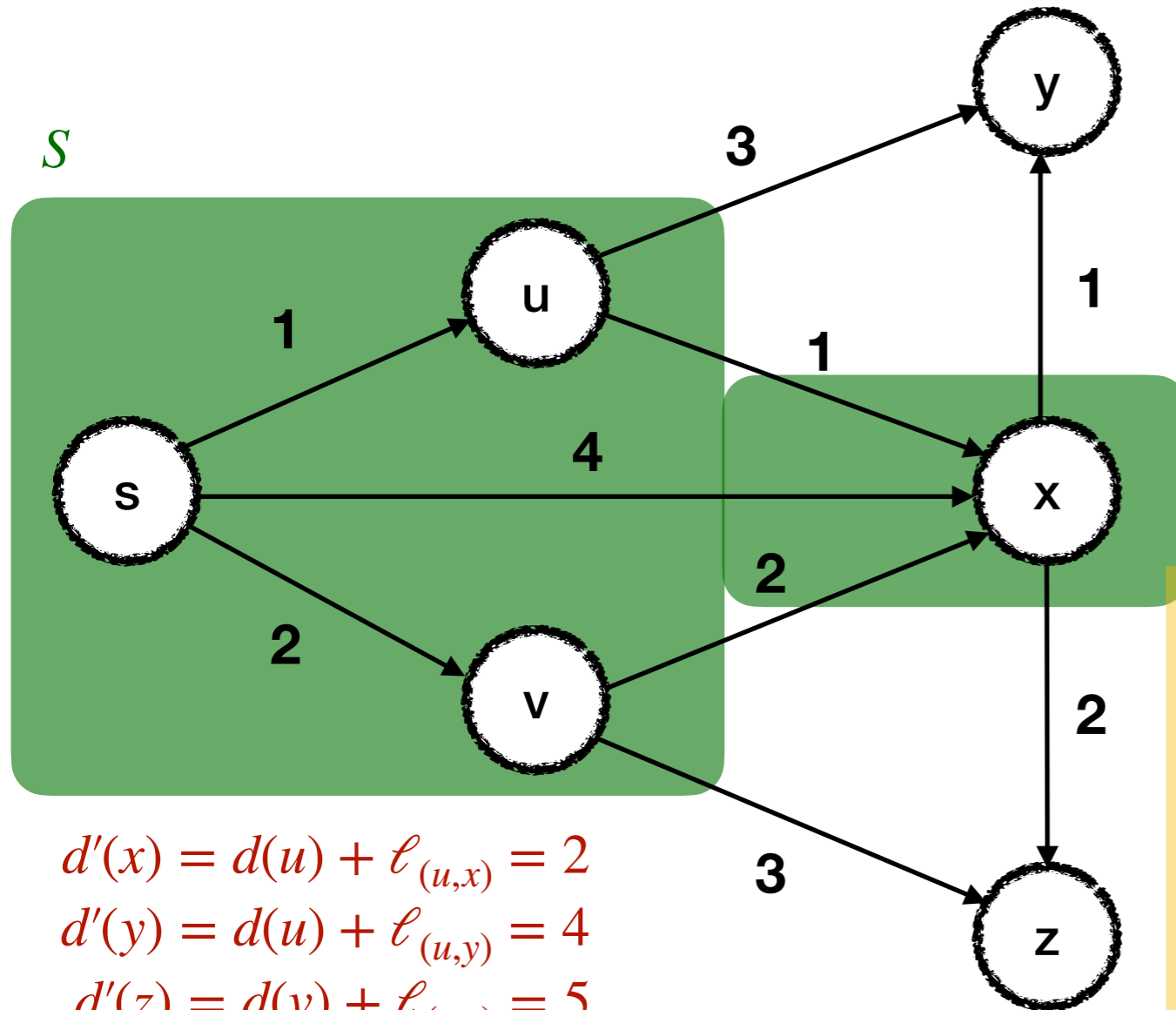
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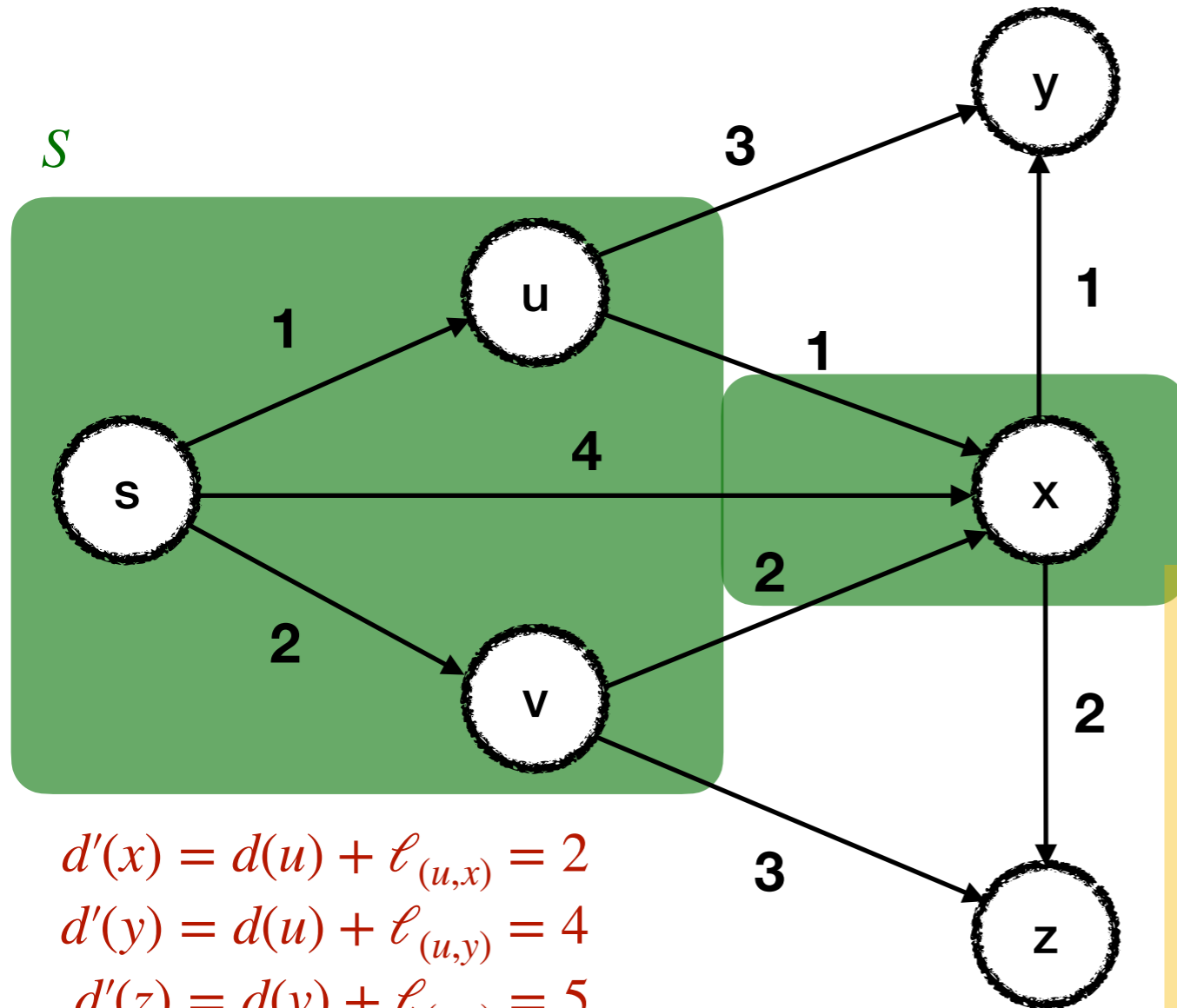
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Dijkstra (G, ℓ)

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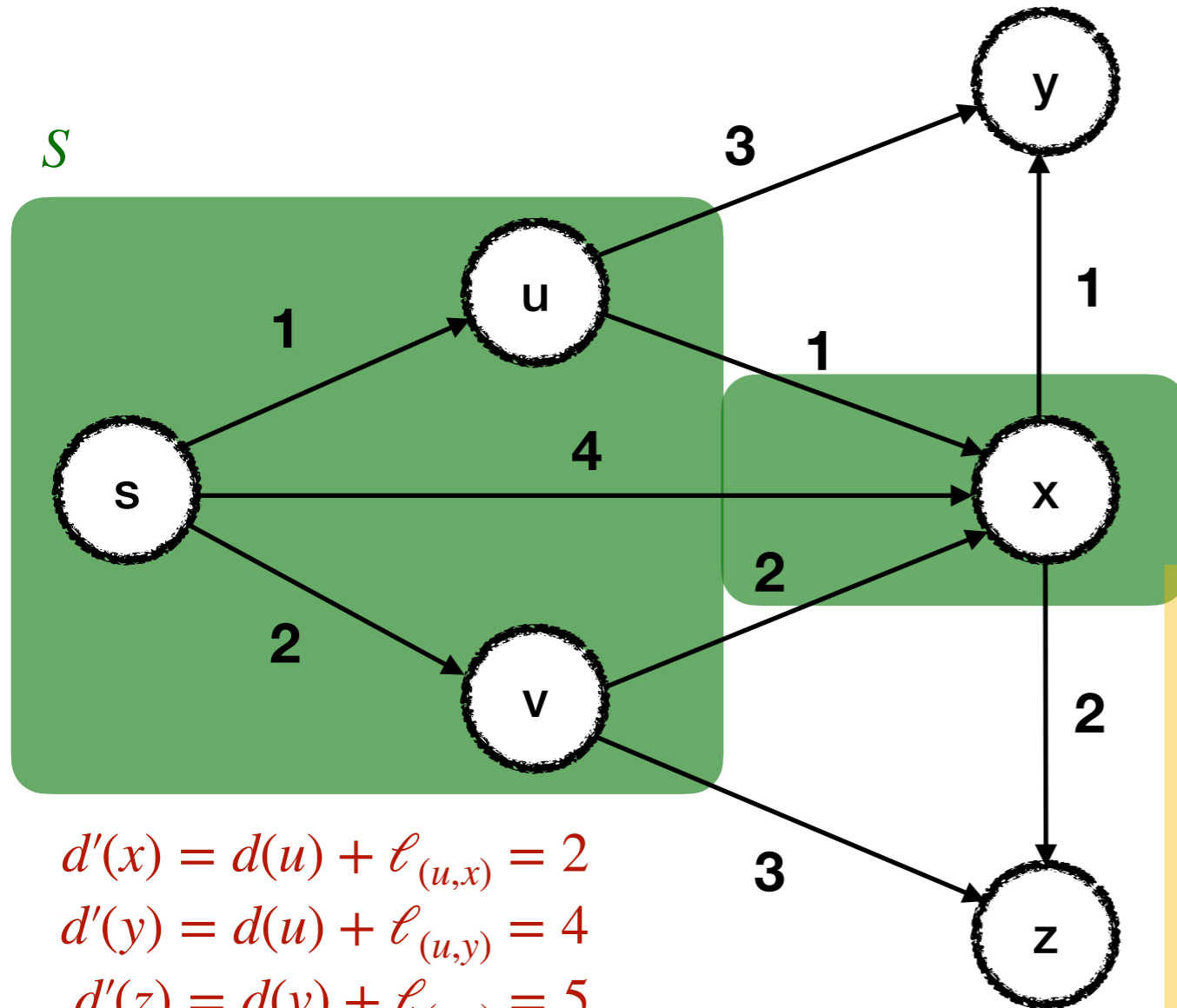
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Let $A[v] = d(v)$ $A[x] = 2$

Running Example (KT Figure 5.7)



s	u	v	x	y	z
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shortest path distances from s

$$d'(x) = d(u) + \ell_{(u,x)} = 2$$

$$d'(y) = d(u) + \ell_{(u,y)} = 4$$

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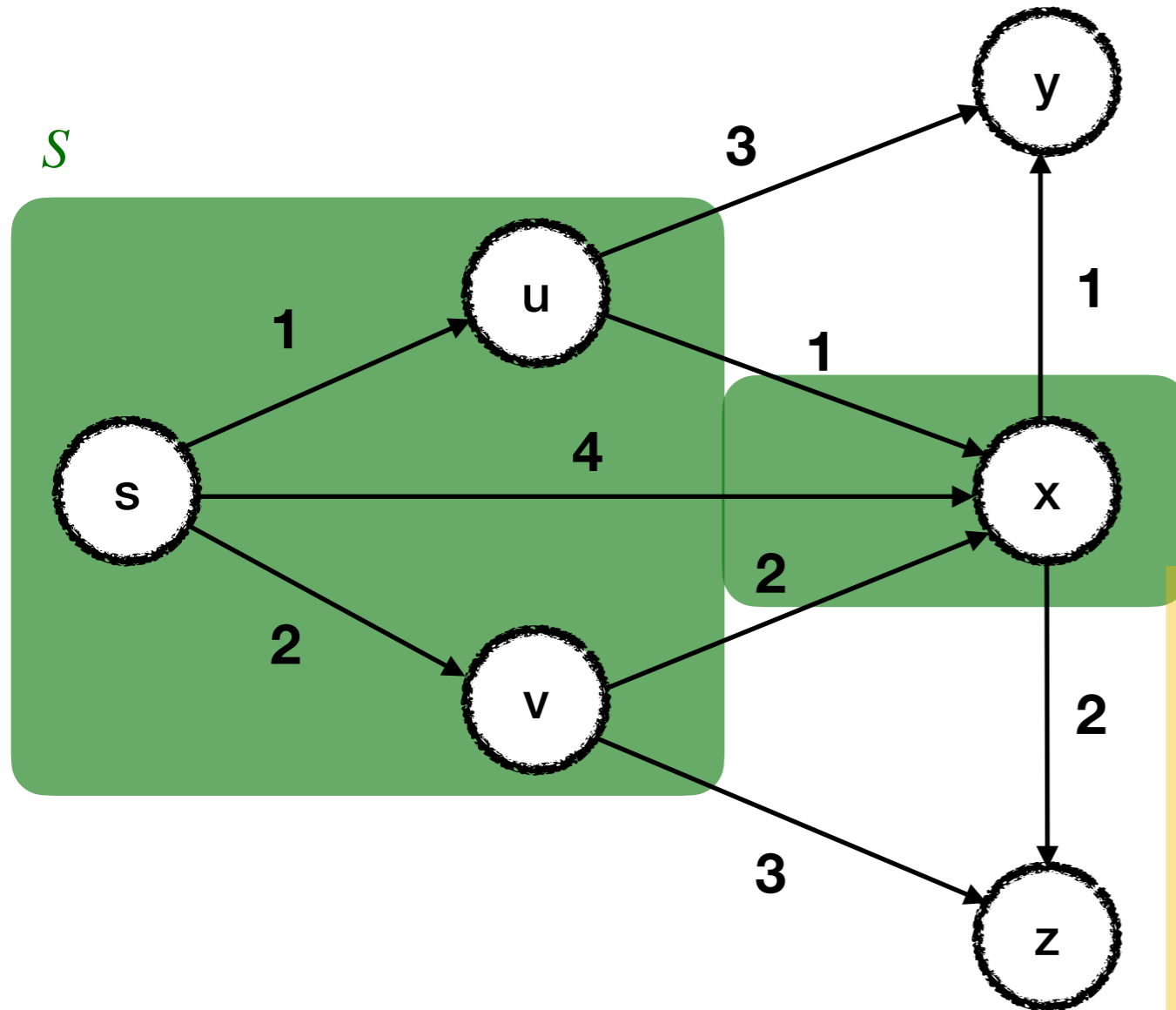
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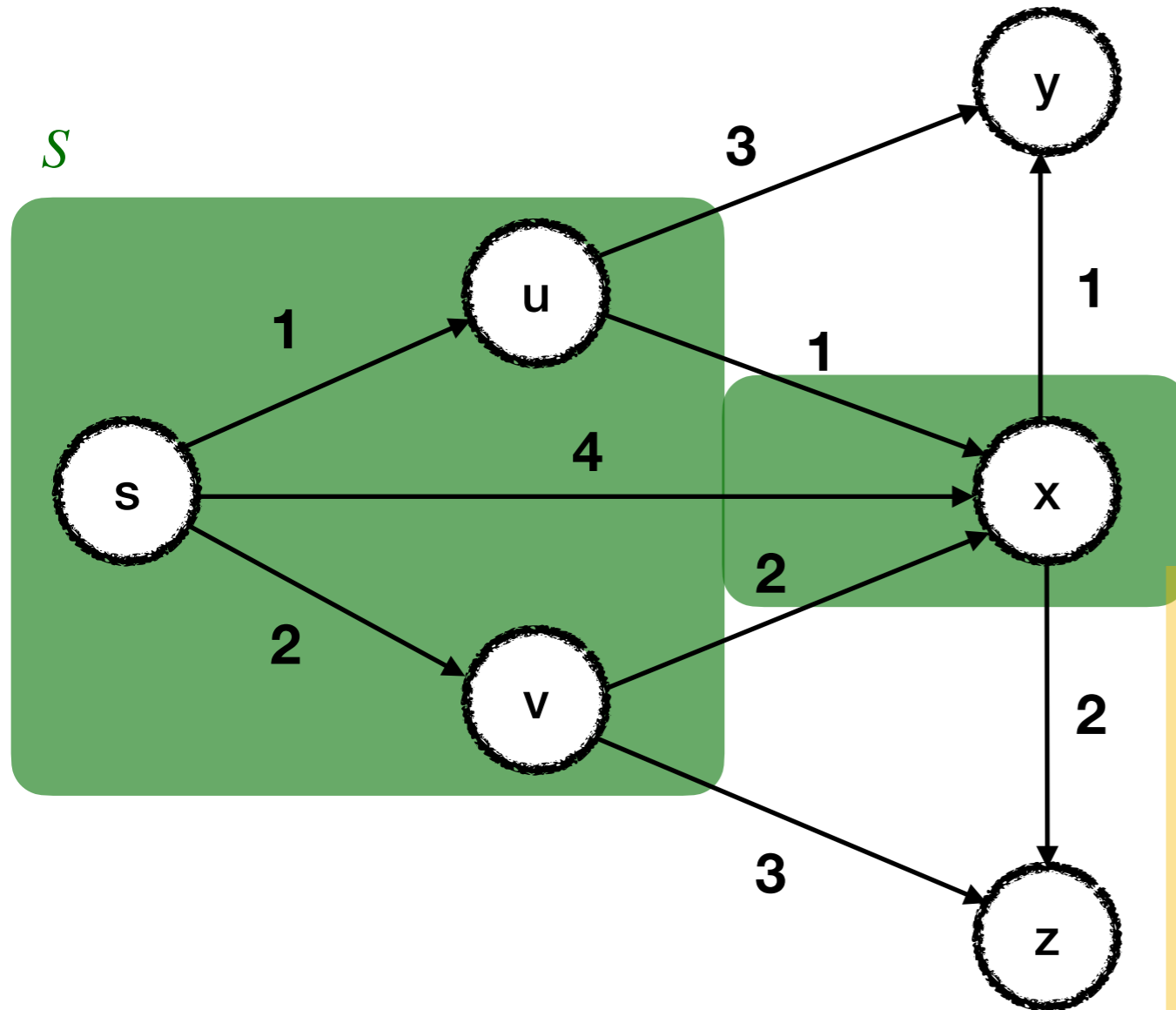
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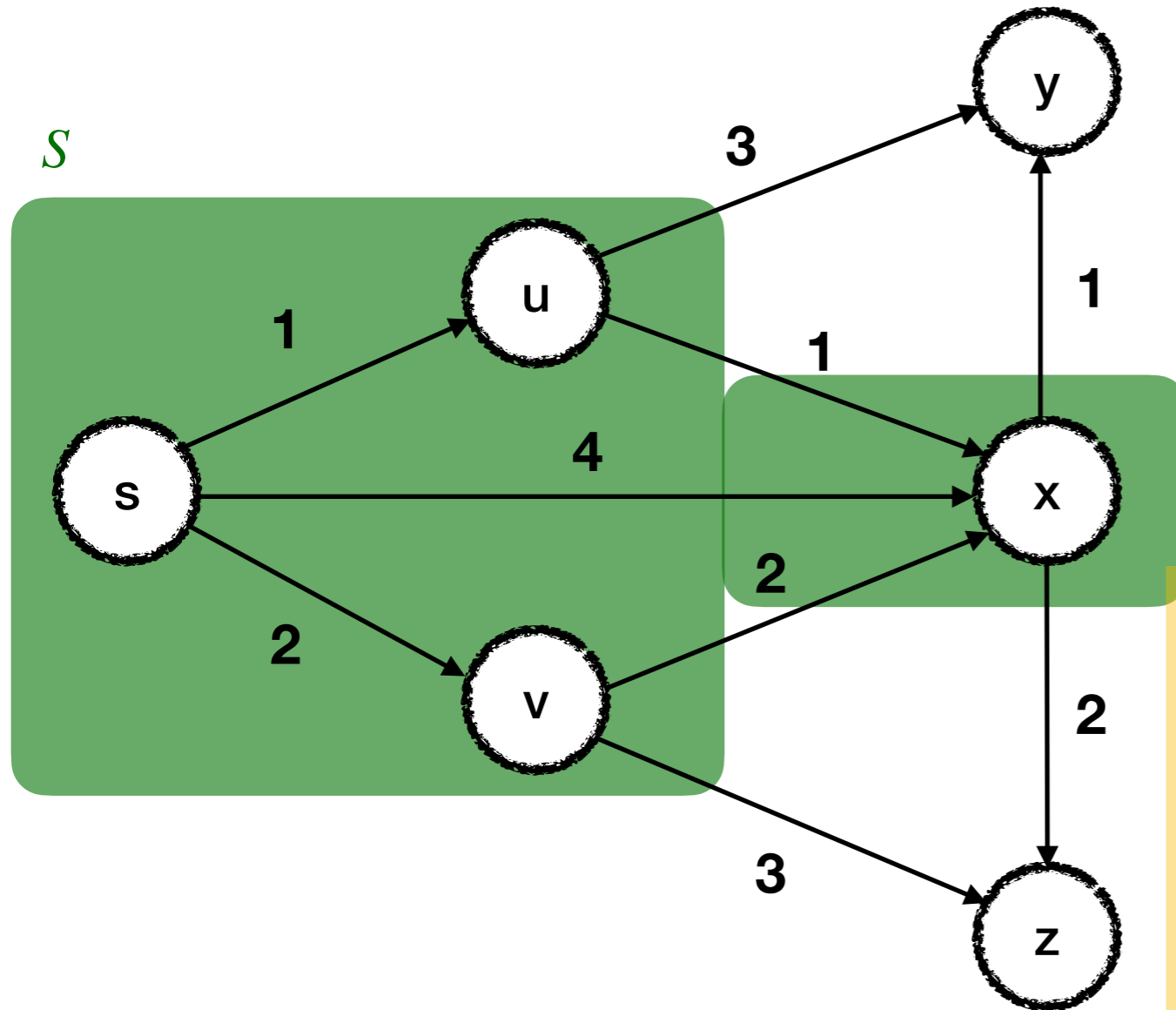
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Running Example (KT Figure 5.7)



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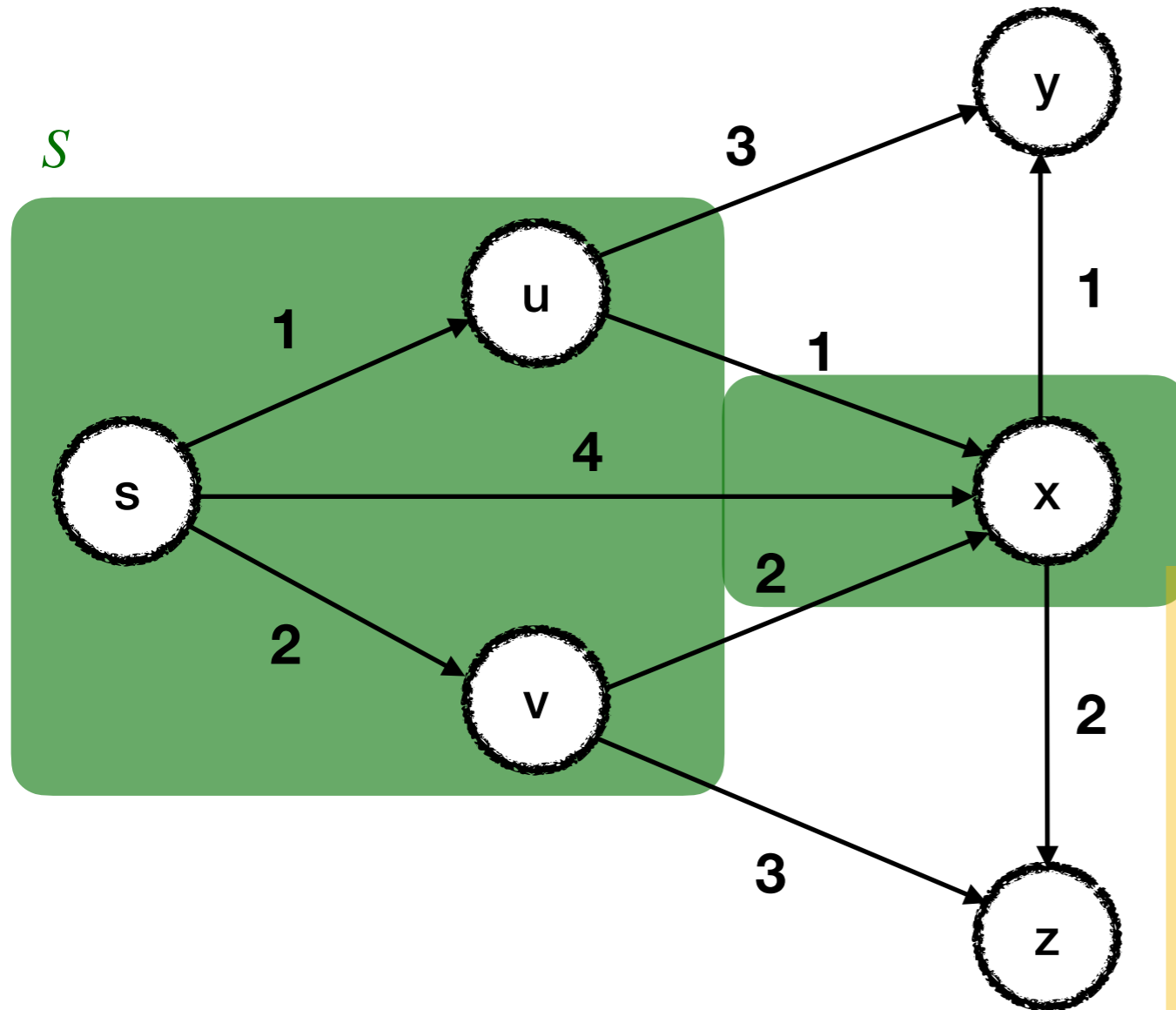
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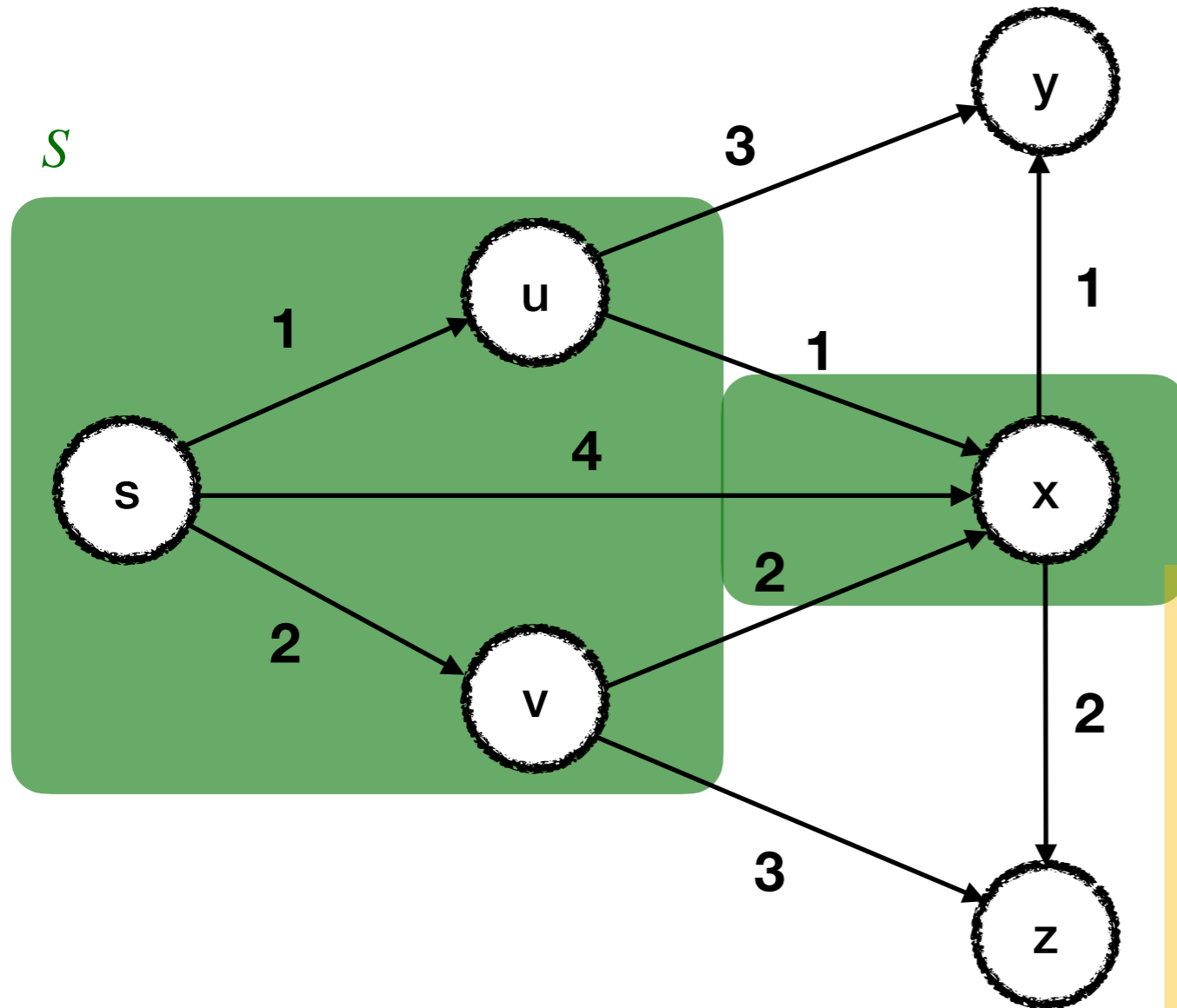
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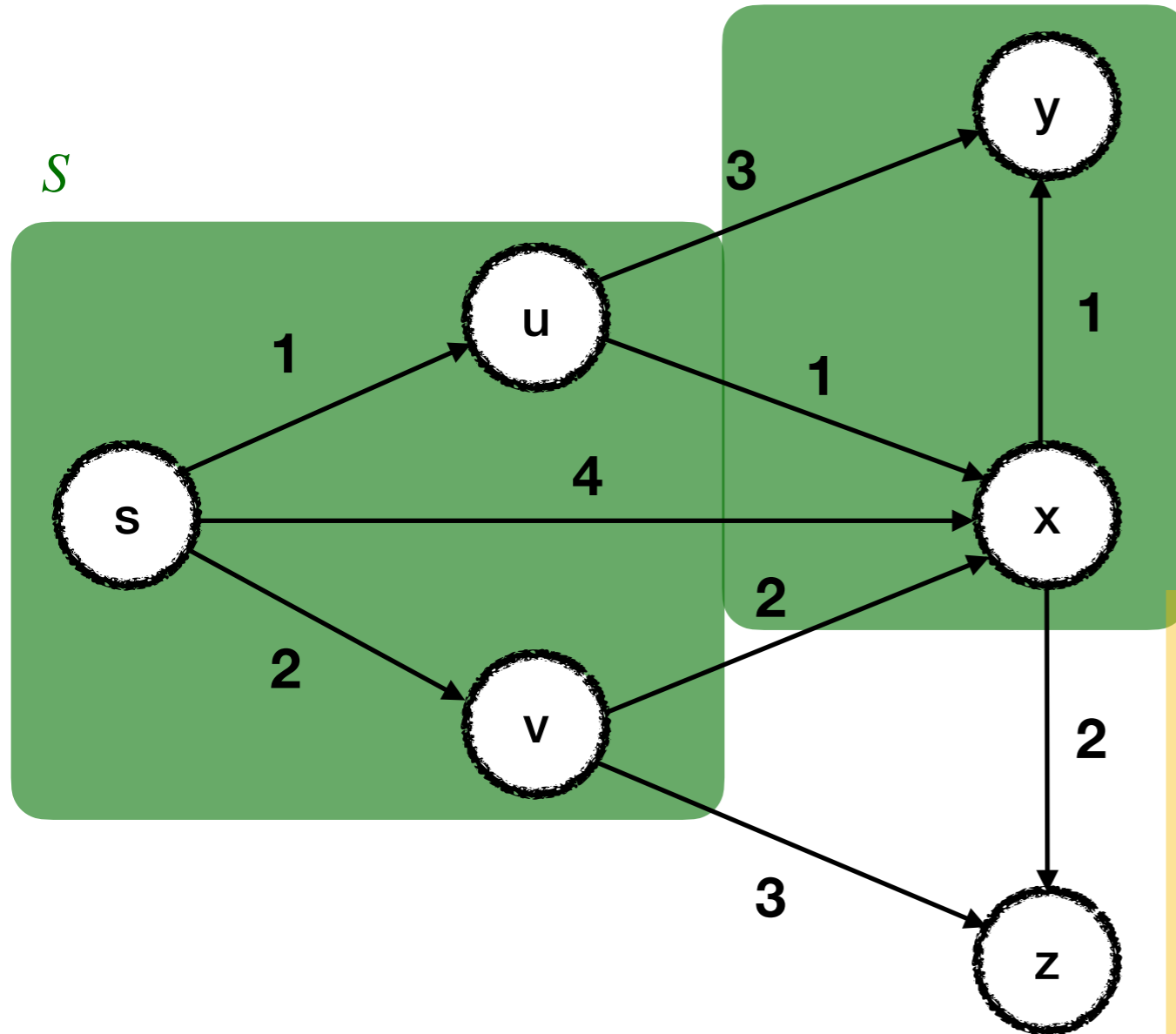
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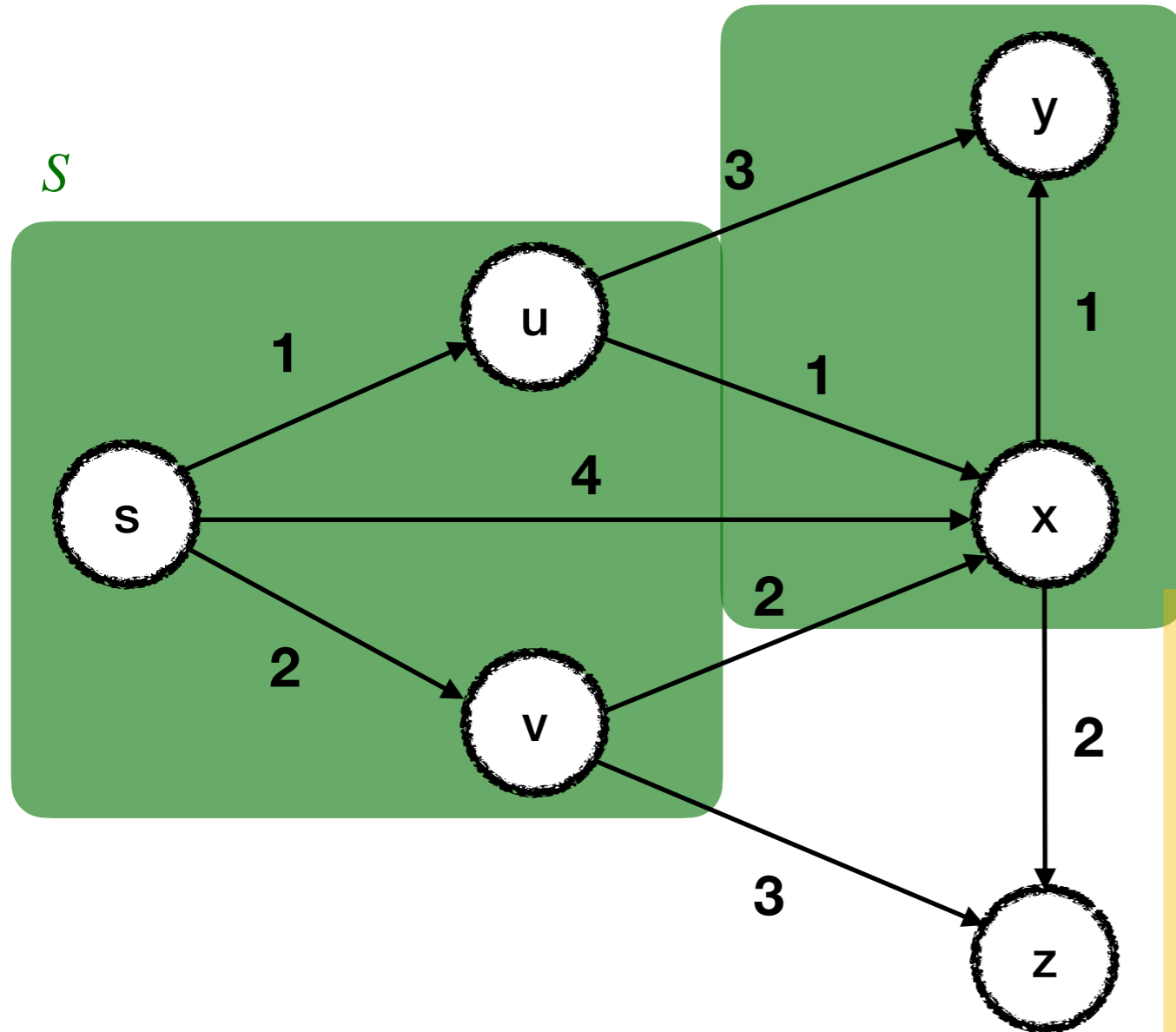
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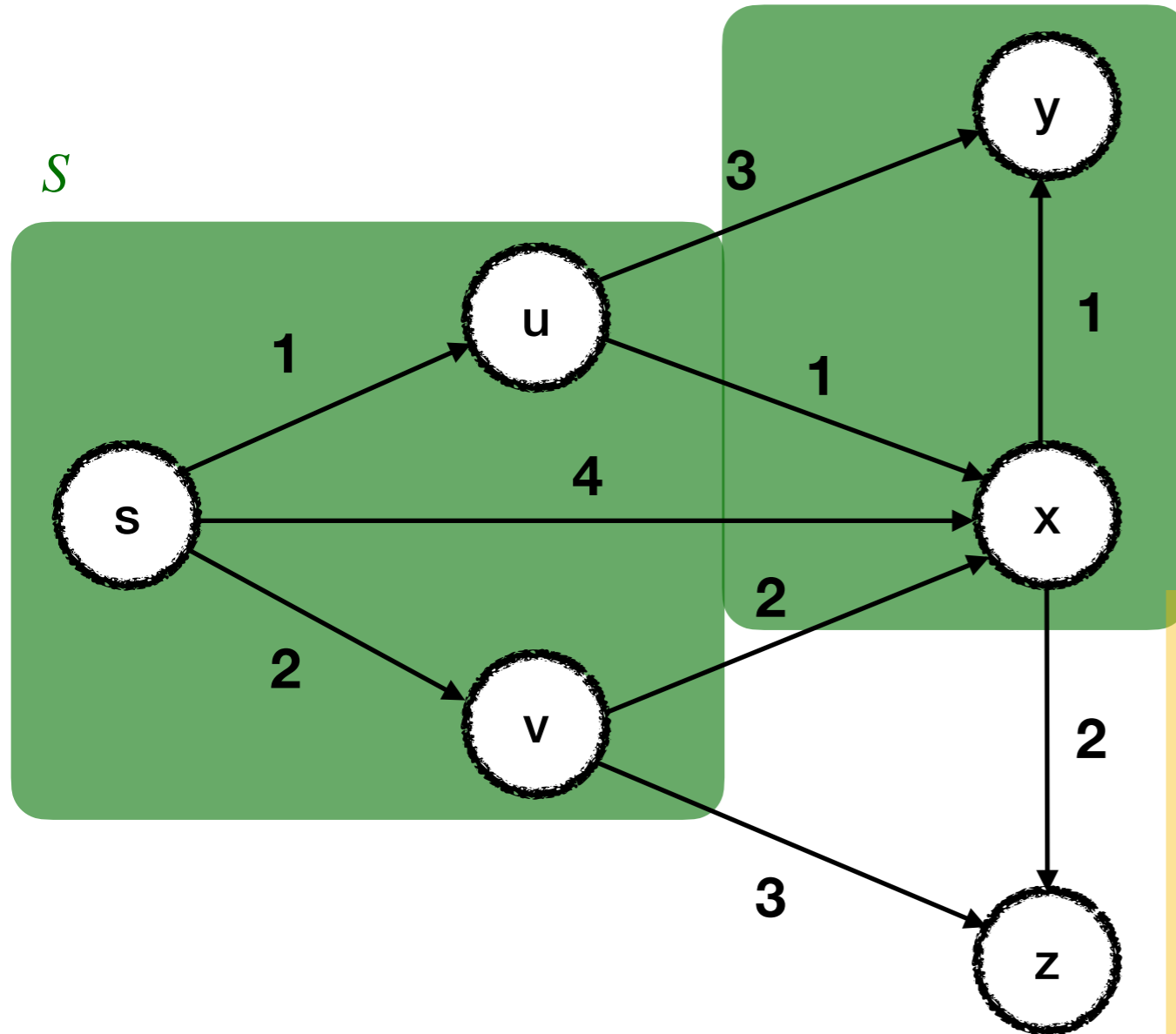
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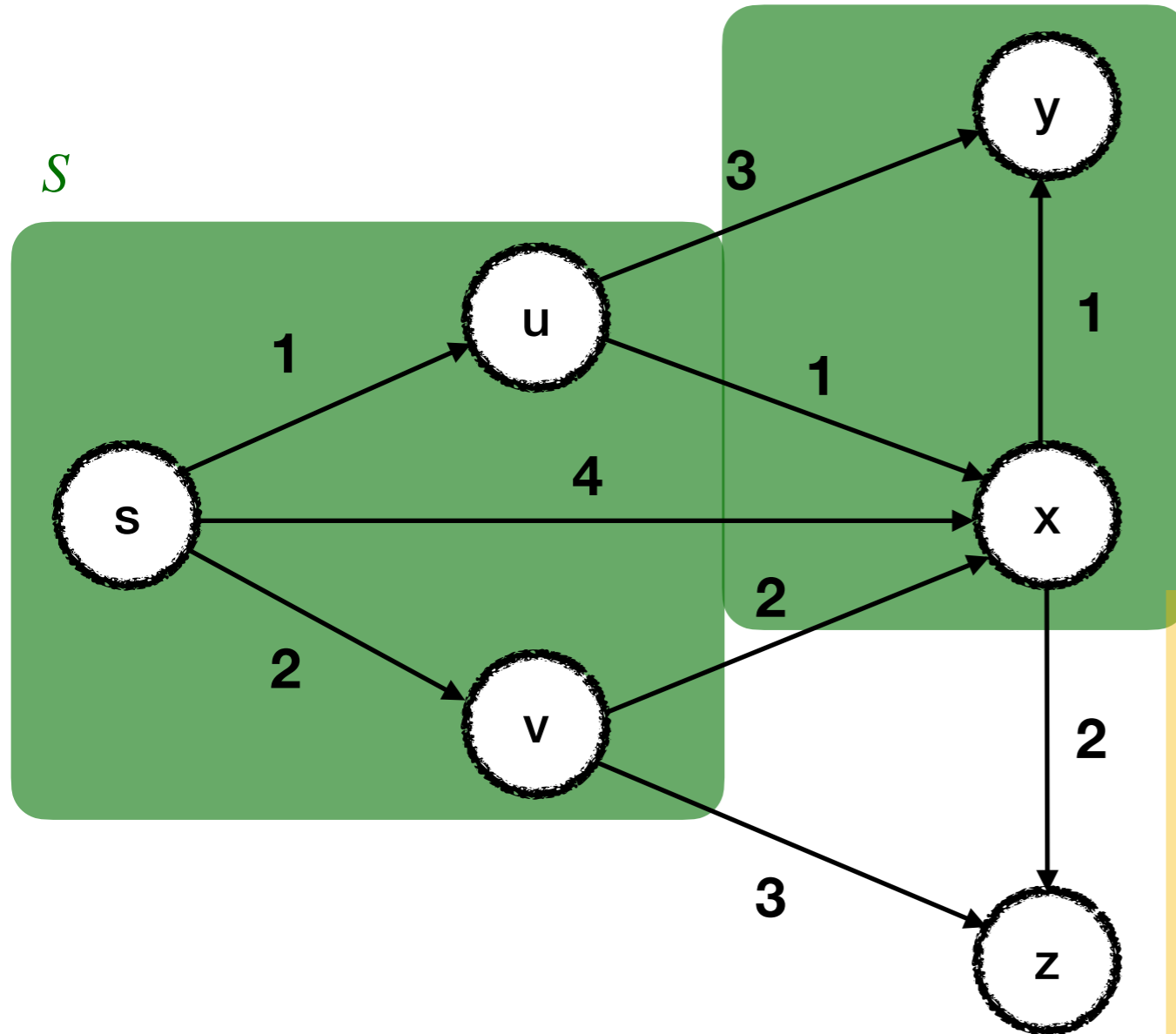
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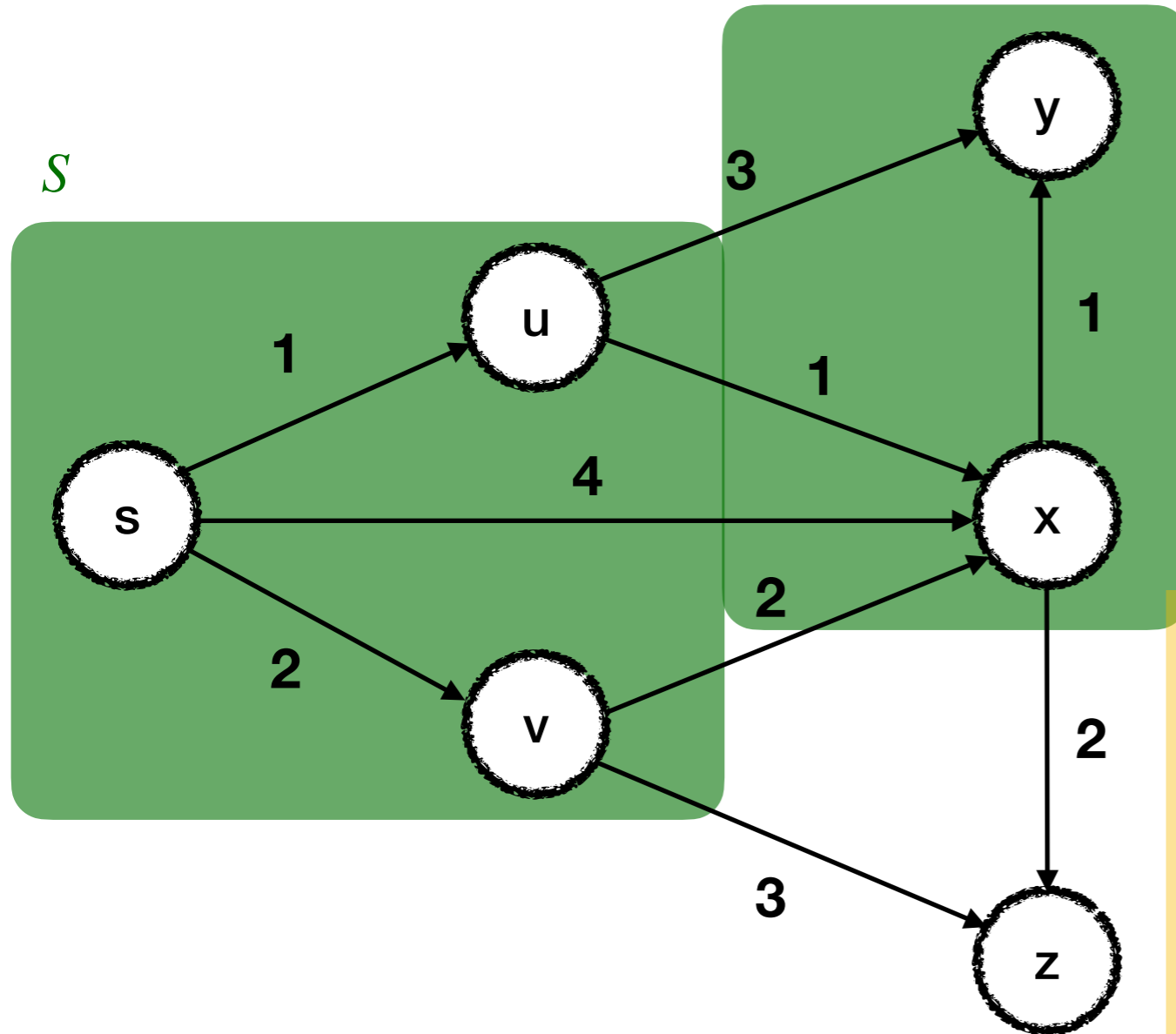
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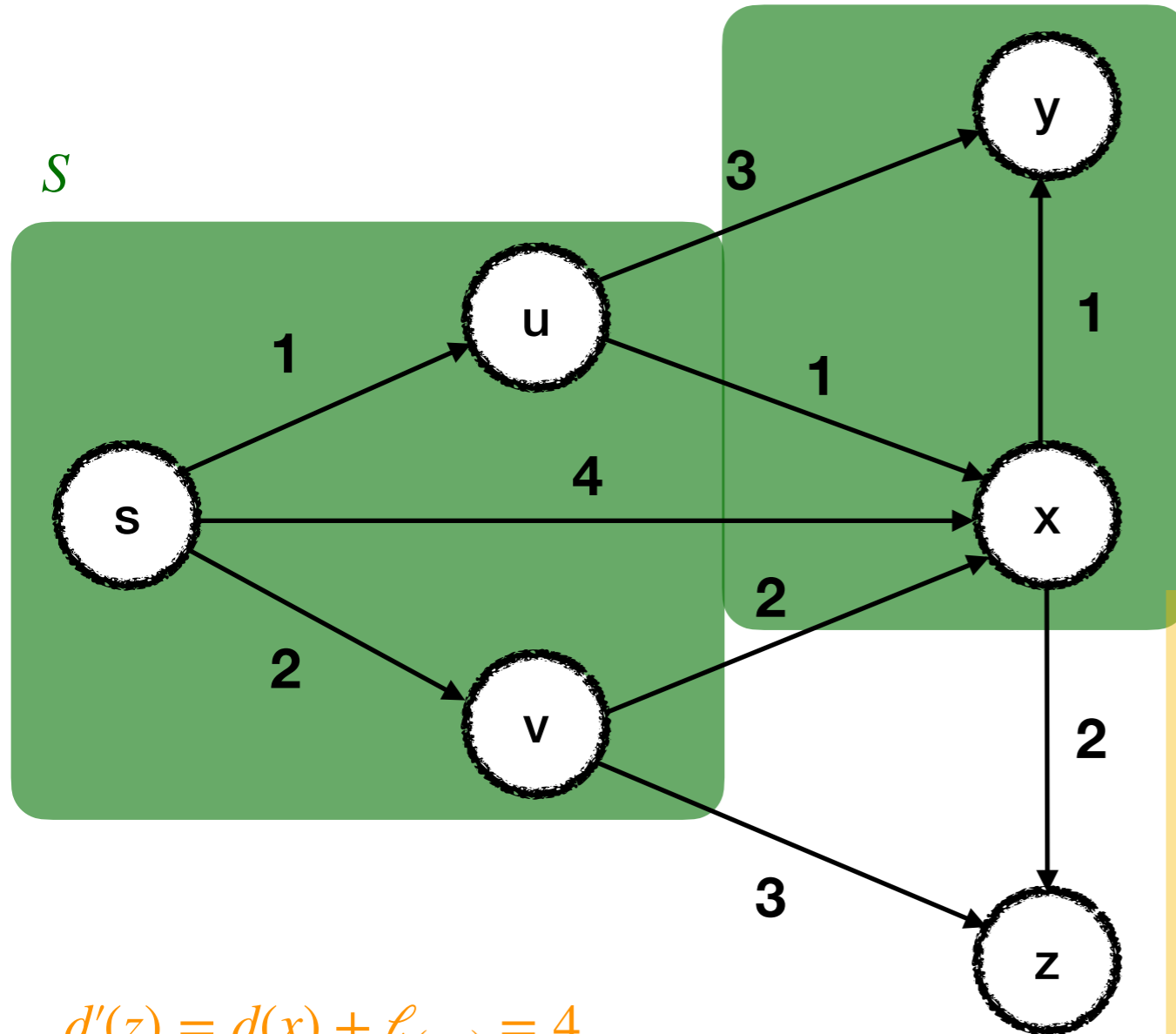
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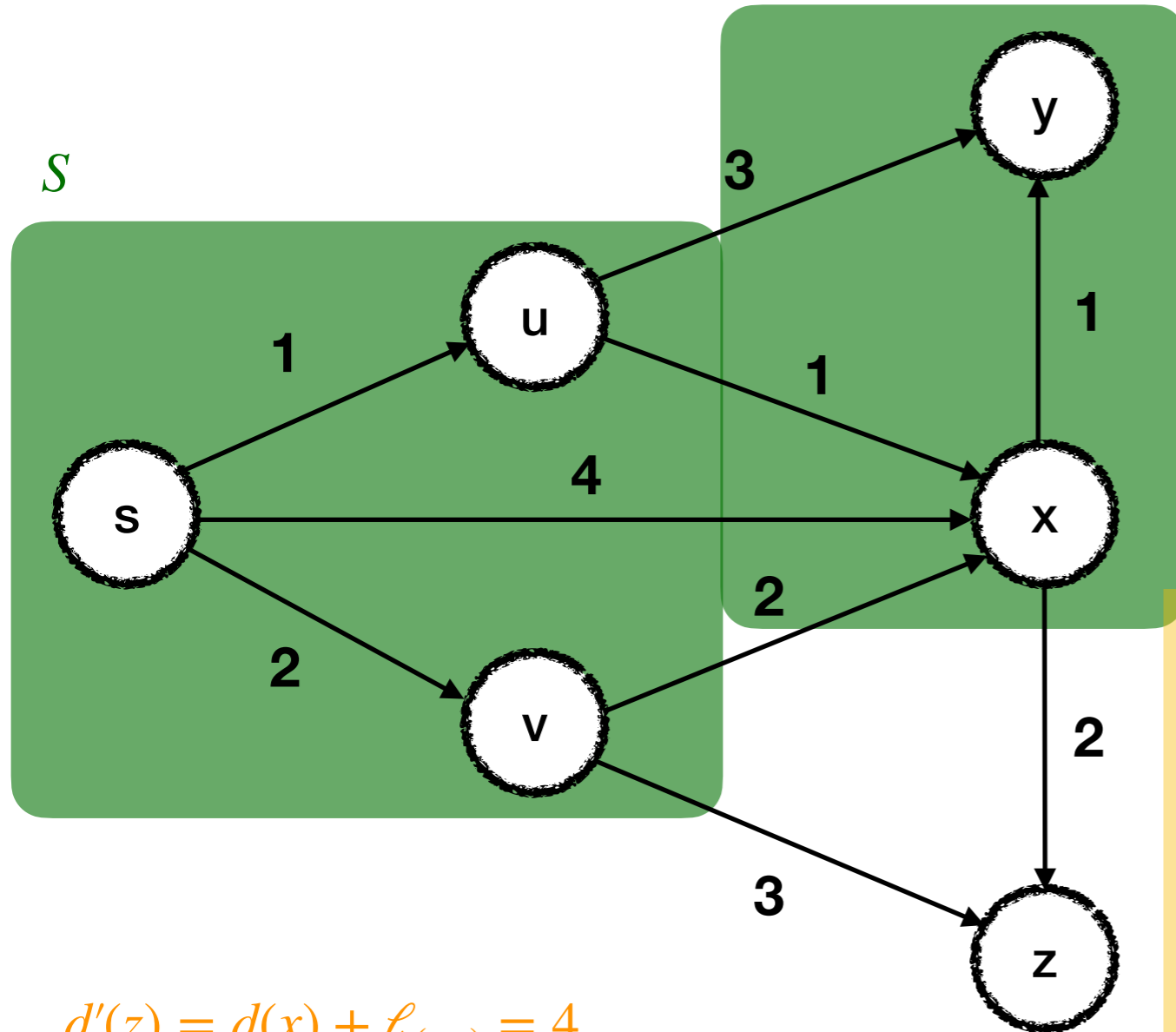
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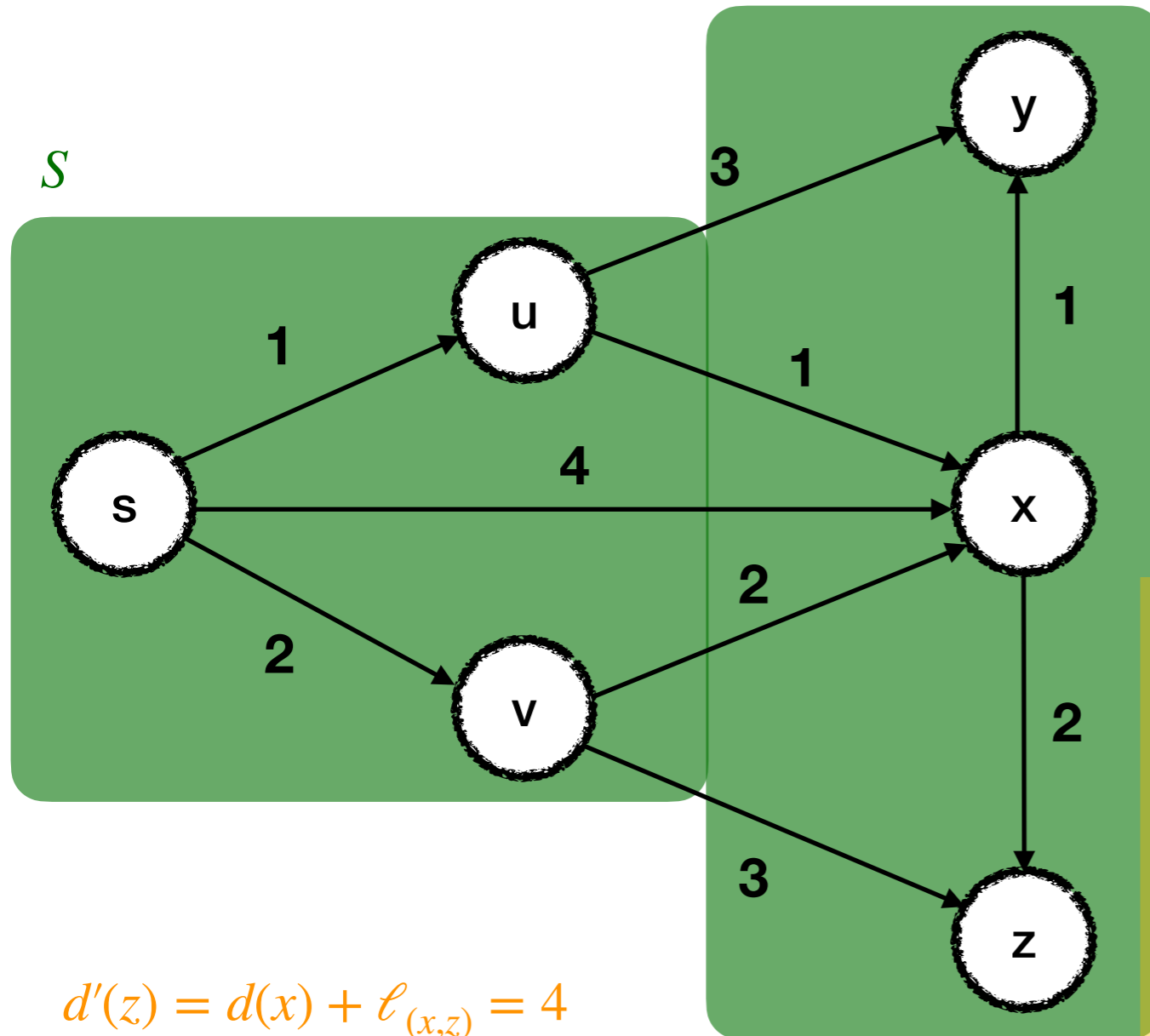
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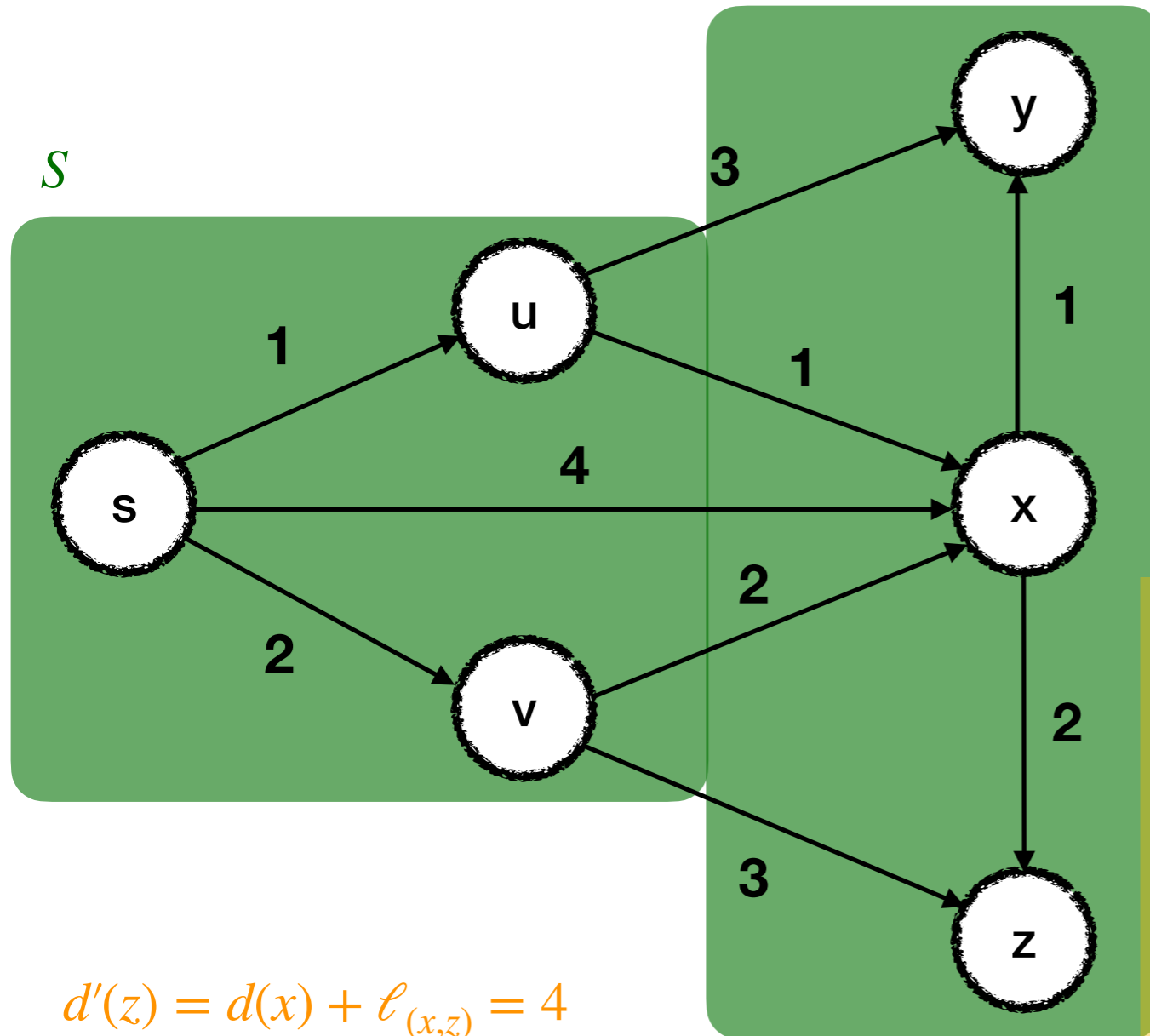
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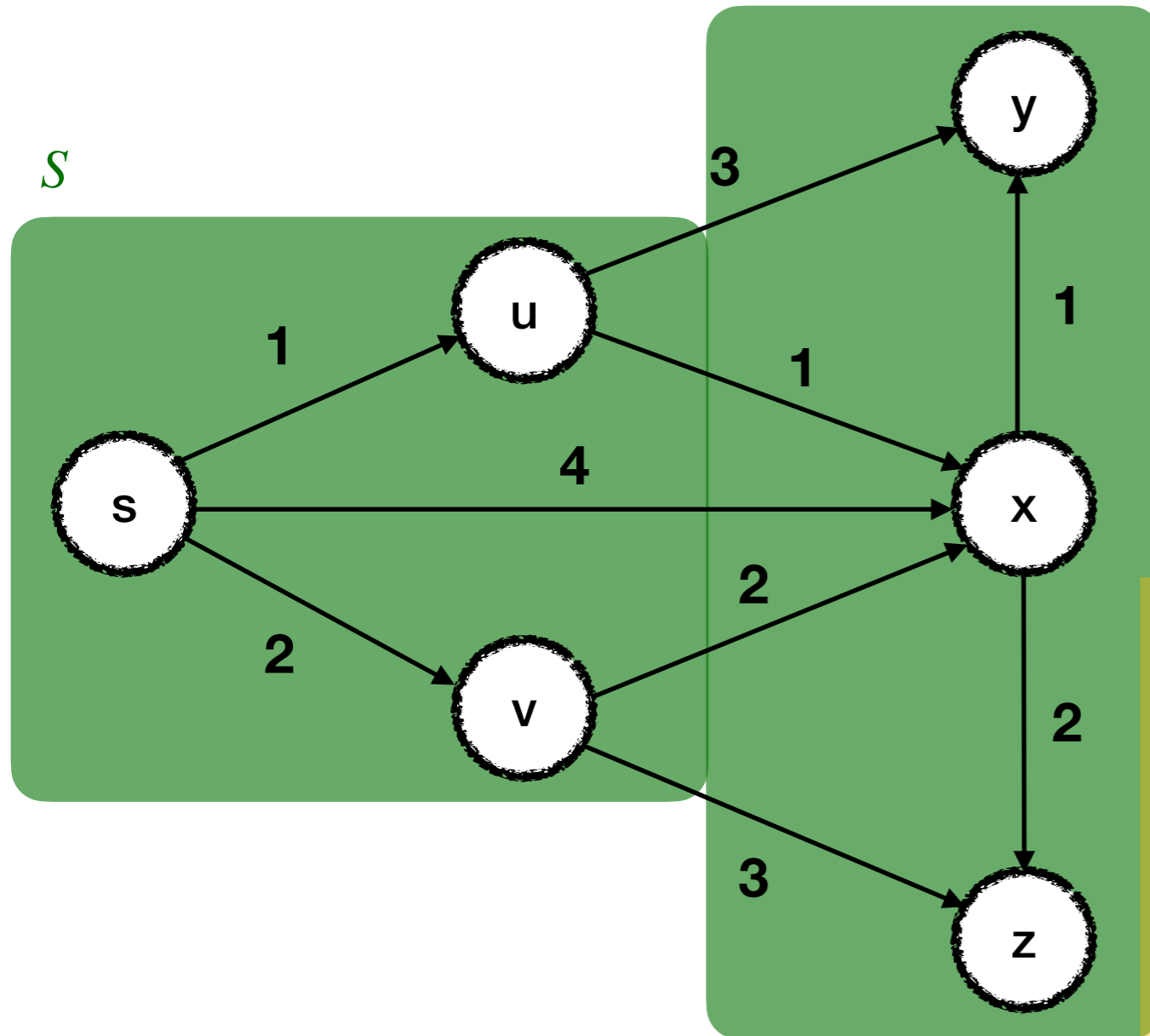
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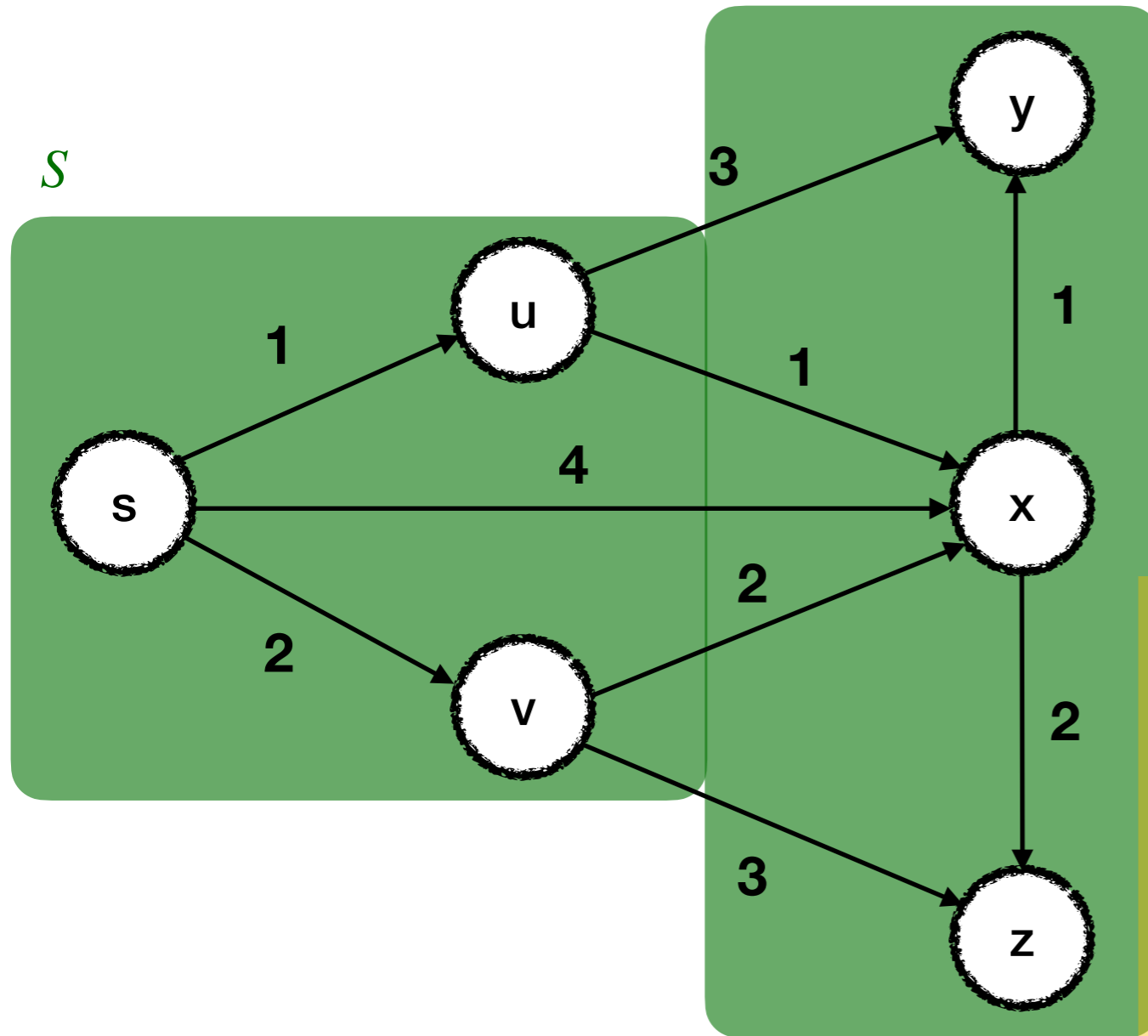
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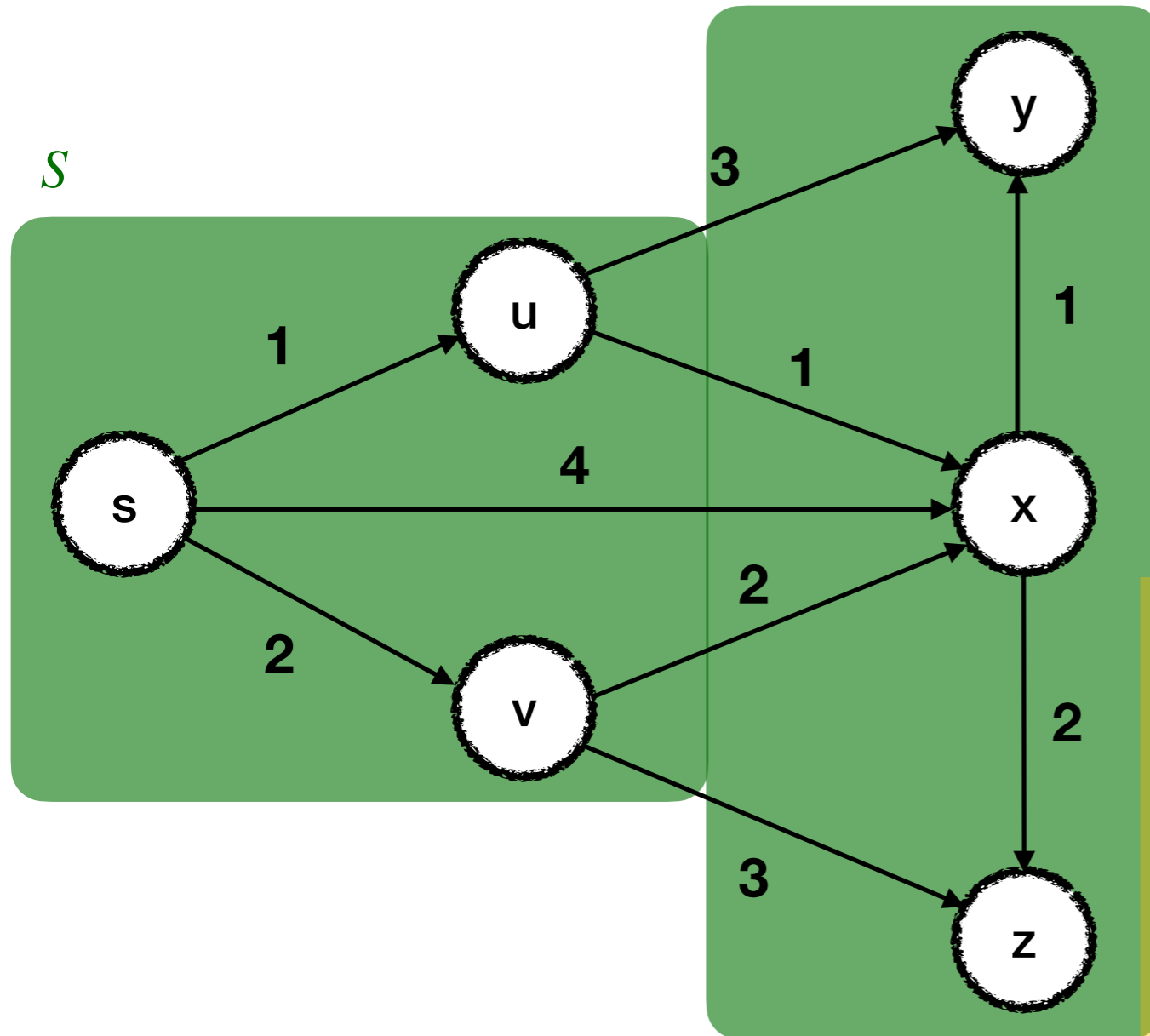
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This is only a list of shortest path lengths, not the paths themselves!

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- This is enough to recursively recover the path P_v : P_v is just $P_u + (u, v)$. In turn, P_u is $P_w + (w, u)$, where w is the node from which we explored u , and so on.

Correctness

Theorem: Consider the set S at any point in the execution of the algorithm. For each $u \in S$, the path P_u is a shortest s - u path.

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This is enough to prove correctness. **Why?**

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Proof by **induction** on the size of S .

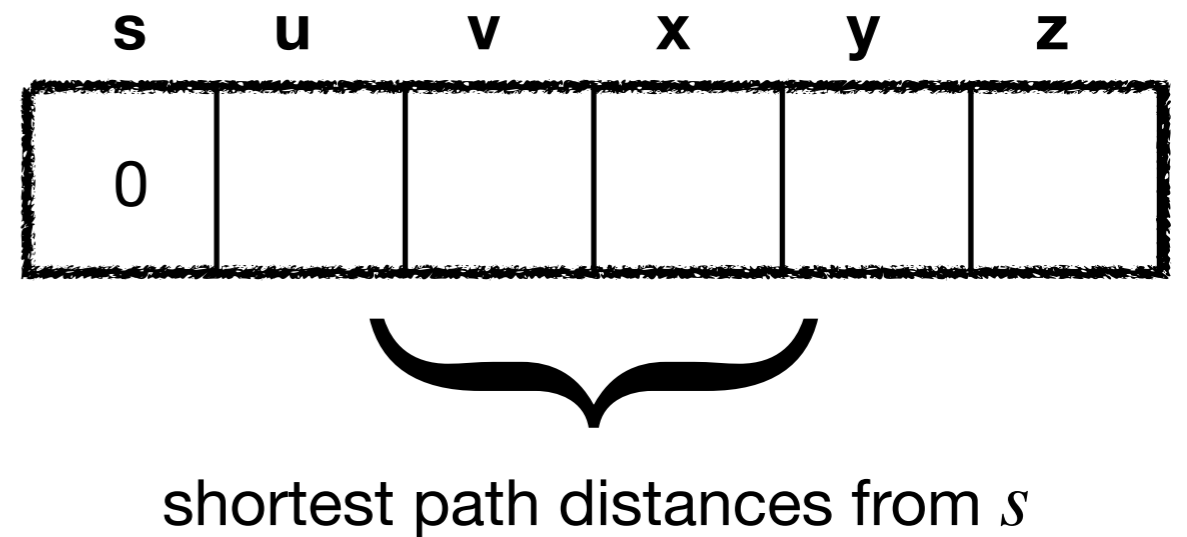
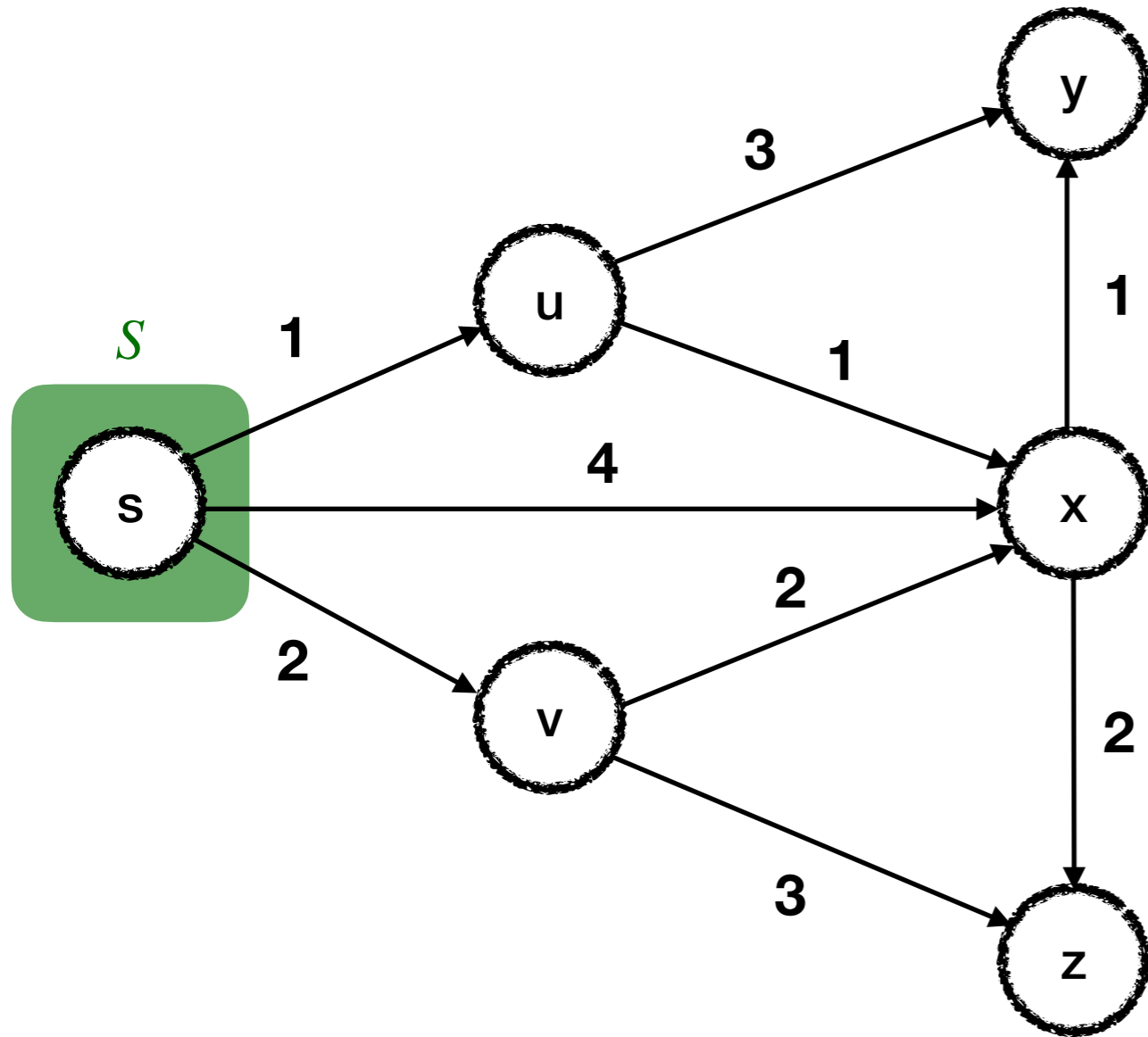
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Base Case: $|S| = 1$

Running Example (KT Figure 5.7)



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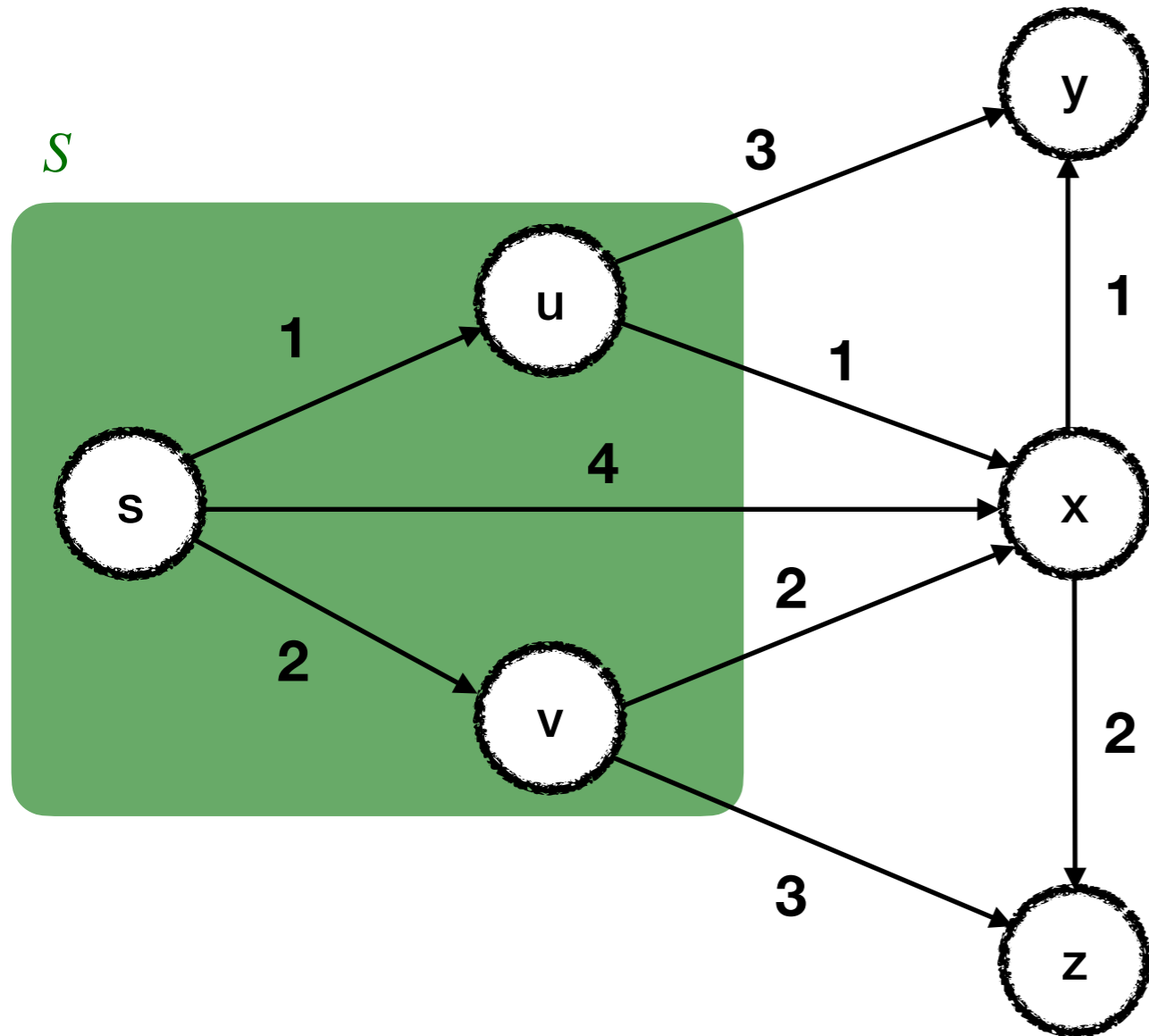
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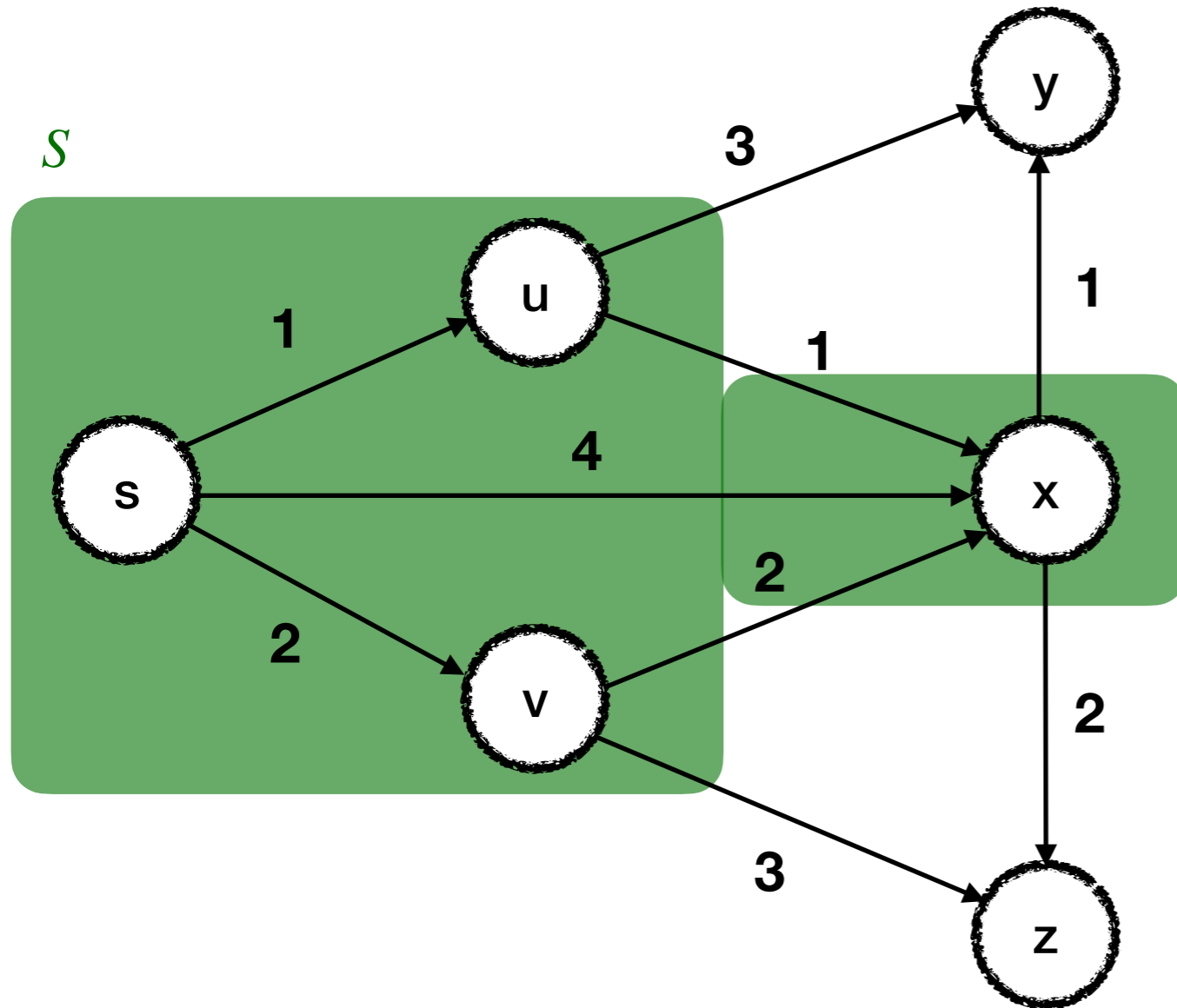


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Let y^* be the first node of P that is not in S .

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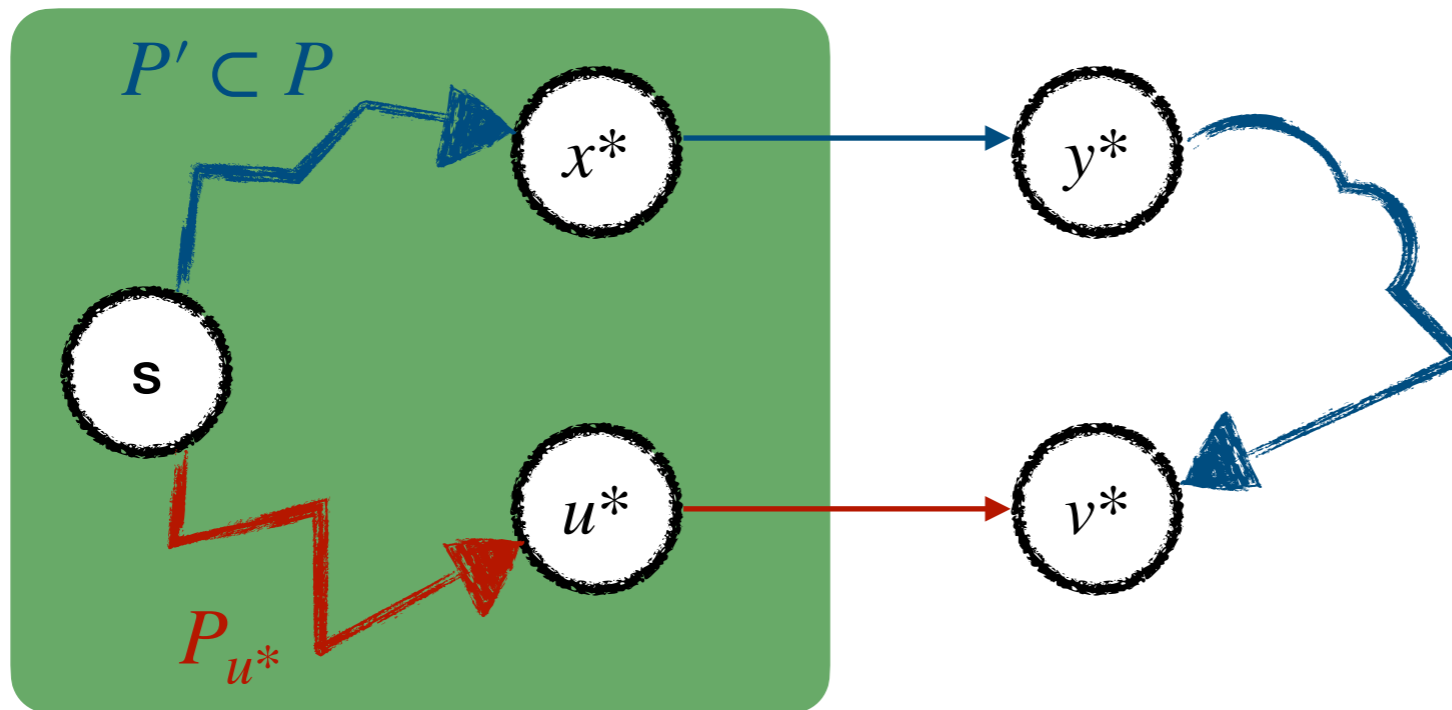
That means that there exists some other path P that is shorter than P_{v^*} .

Fact: P starts in S and must leave S at some point. **Why?**

Let y^* be the first node of P that is not in S .

Let x^* be the node “just before” y^* , i.e., the last node of P before it leaves S .

Pictorially

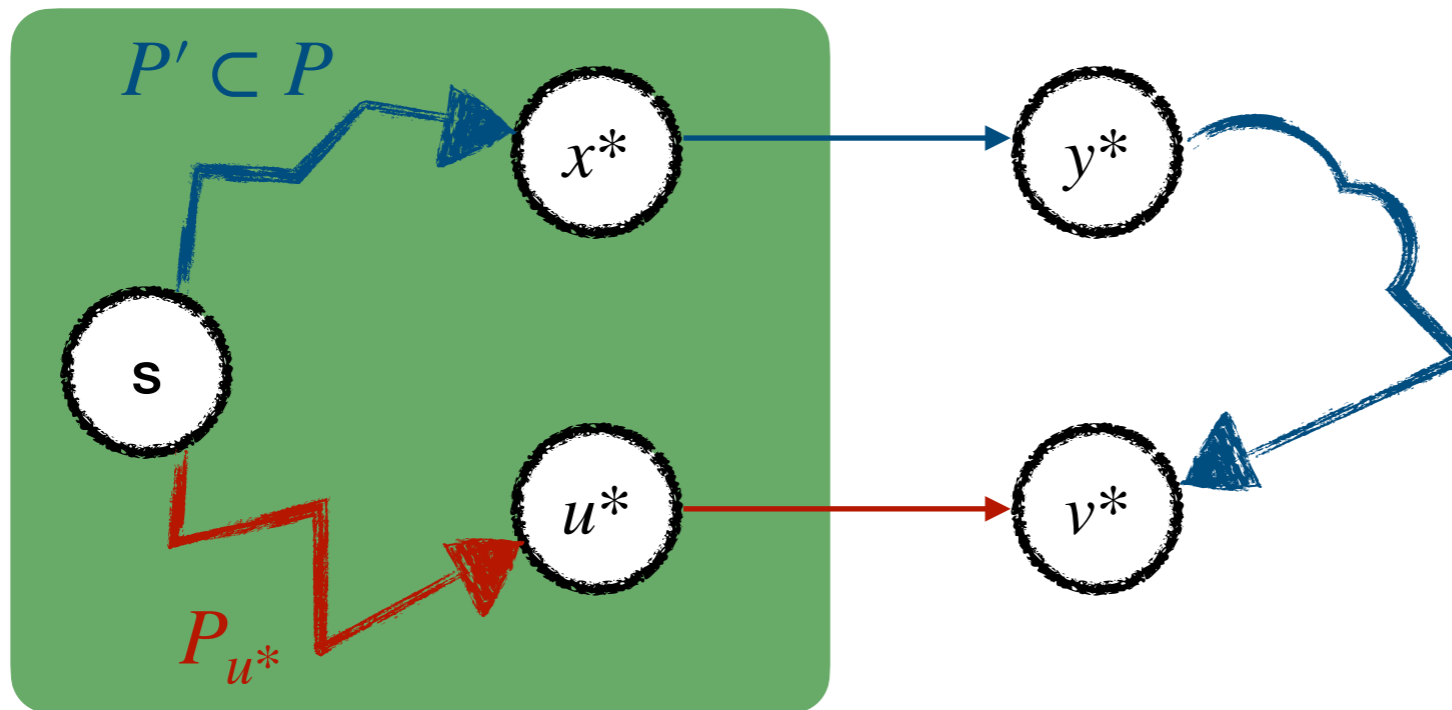


Let v^* be the node added to S . Let (u^*, v^*) be the final edge on the path P_{v^*} .

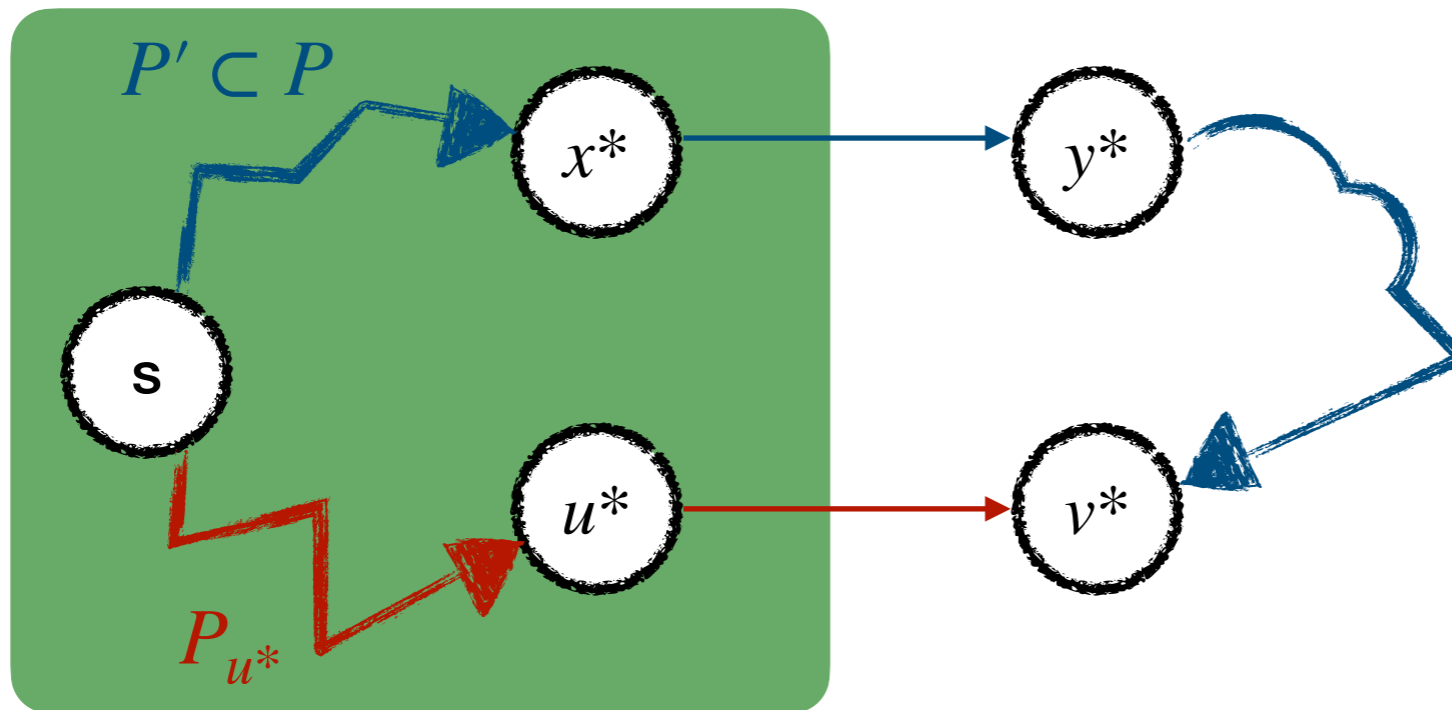
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Correctness

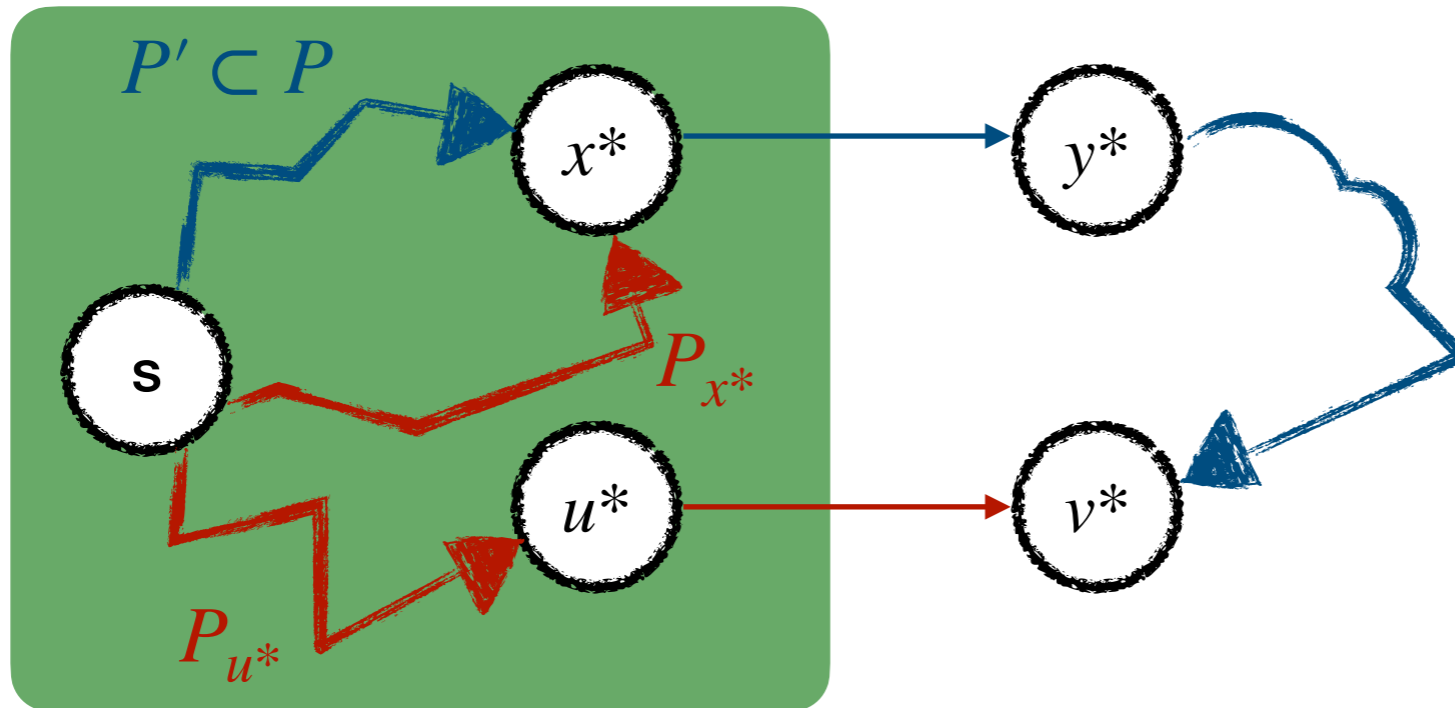


Correctness



We know that the $s-x^*$ path P_{x^*} is a shortest path. Why?

Correctness



We know that the s - x^* path P_{x^*} is a shortest path. Why?

Correctness

Theorem: Consider the set S at any point in the execution of the algorithm. For each $u \in S$, the path P_u is a shortest s - u path.

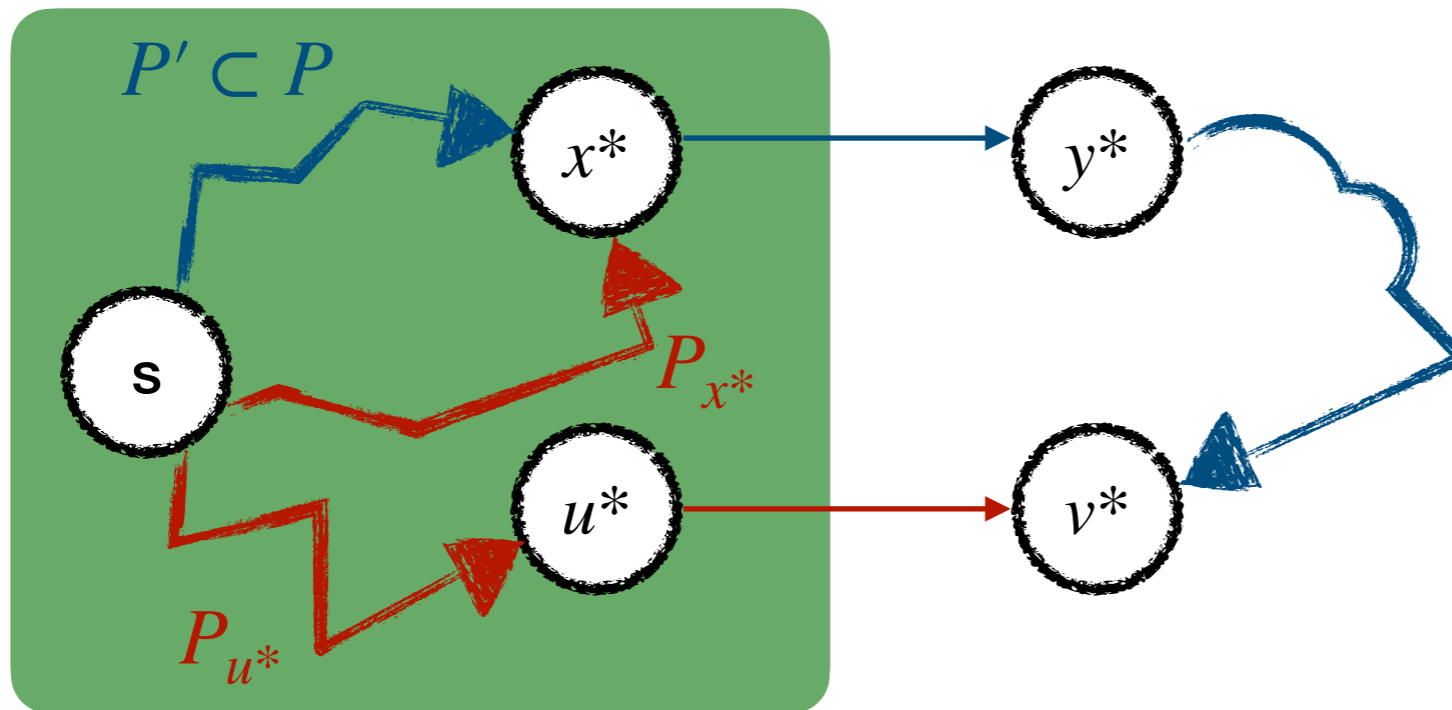
Proof by **induction** on the size of S .

Base Case: $|S| = 1$, $S = \{s\}$, $d(s) = 0$, trivially shortest path.

Induction Hypothesis: Assume that it holds for $|S| = k$ for some $k \geq 1$.

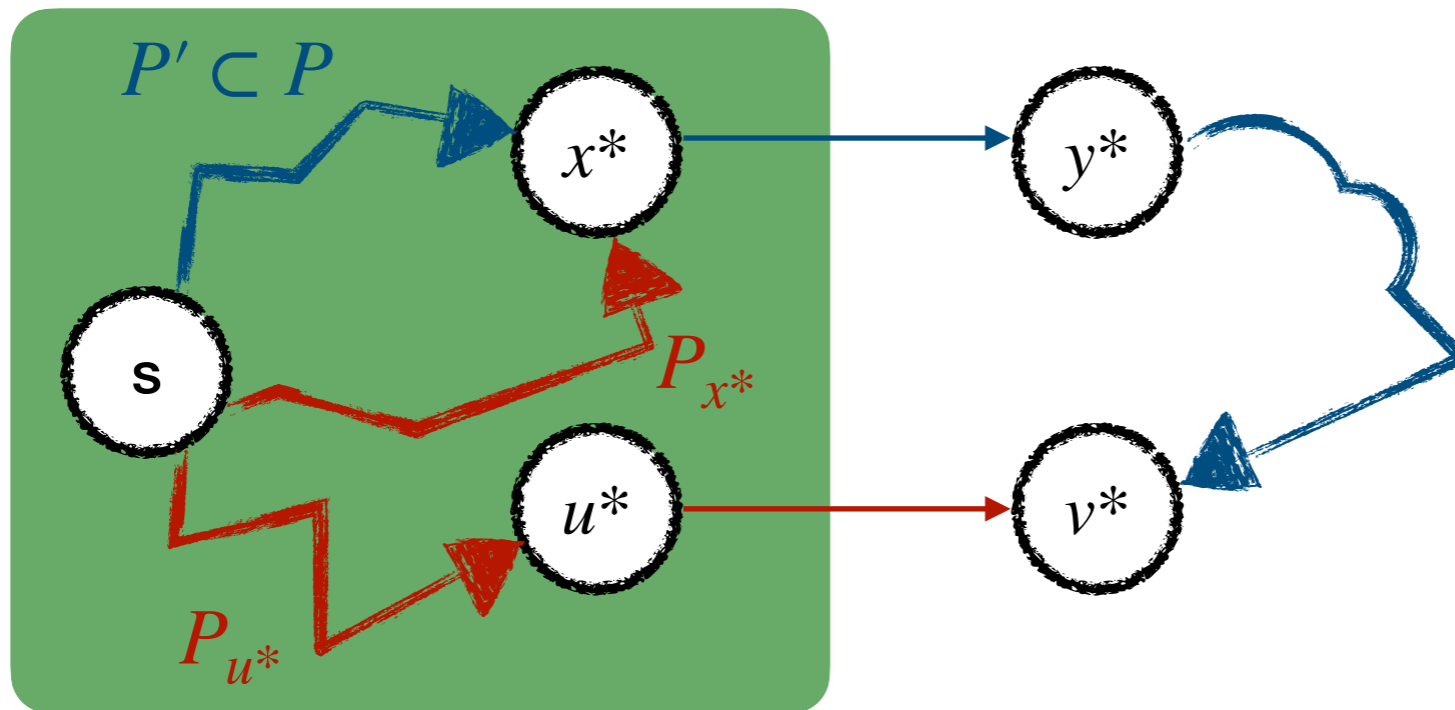
Induction Step: We will prove that it holds for $|S| = k + 1$

Correctness



We now know that the s - x^* path P_{x^*} is a shortest path. Why?
By the induction hypothesis.

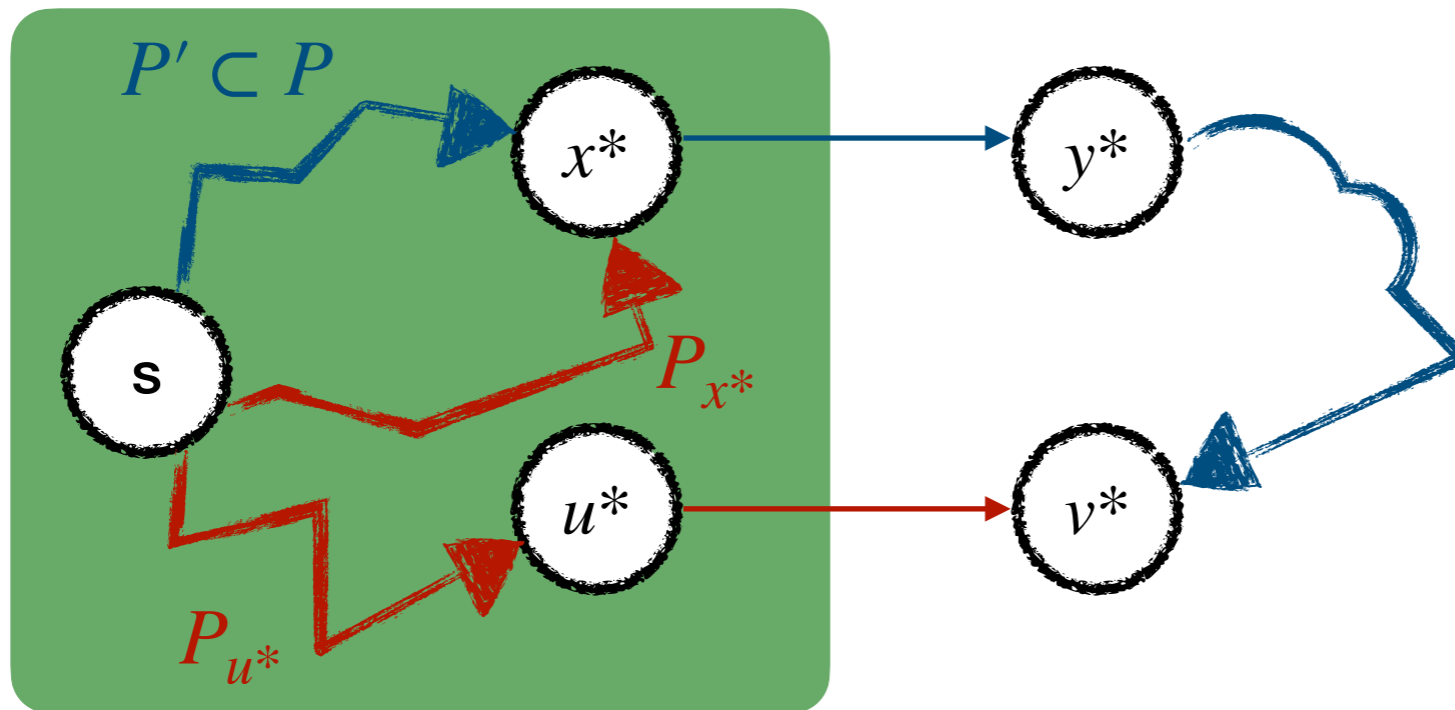
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By the induction hypothesis.

This means that $\ell(P') \geq \ell(P_{x^*}) = d(x^*)$

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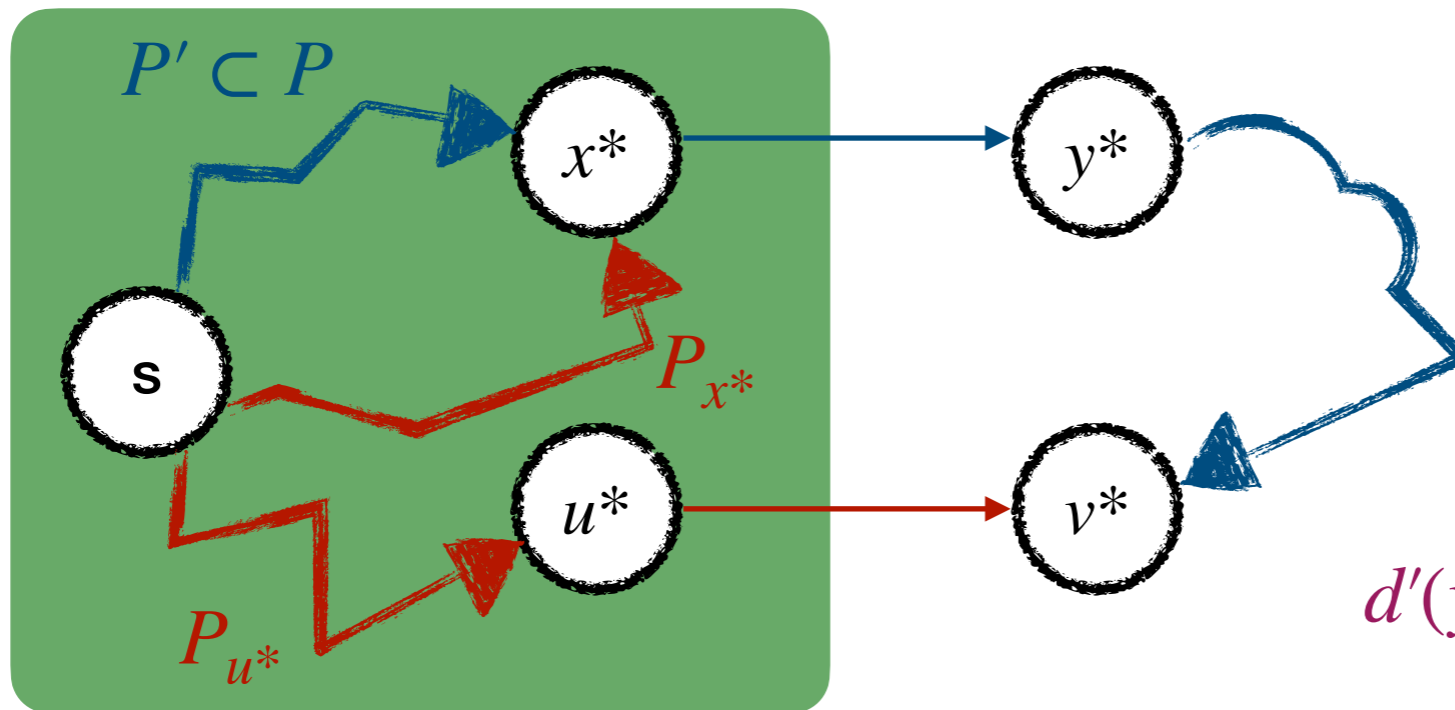


We now know that the s - x^* path P_{x^*} is a shortest path. **Why?**
By the induction hypothesis.

This means that $\ell(P') \geq \ell(P_{x^*}) = d(x^*)$

Therefore $\ell(P) \geq \ell(P') + \ell(x^*, y^*) \geq \ell(x^*, y^*) + d(x^*) \geq d'(y^*)$

Correctness



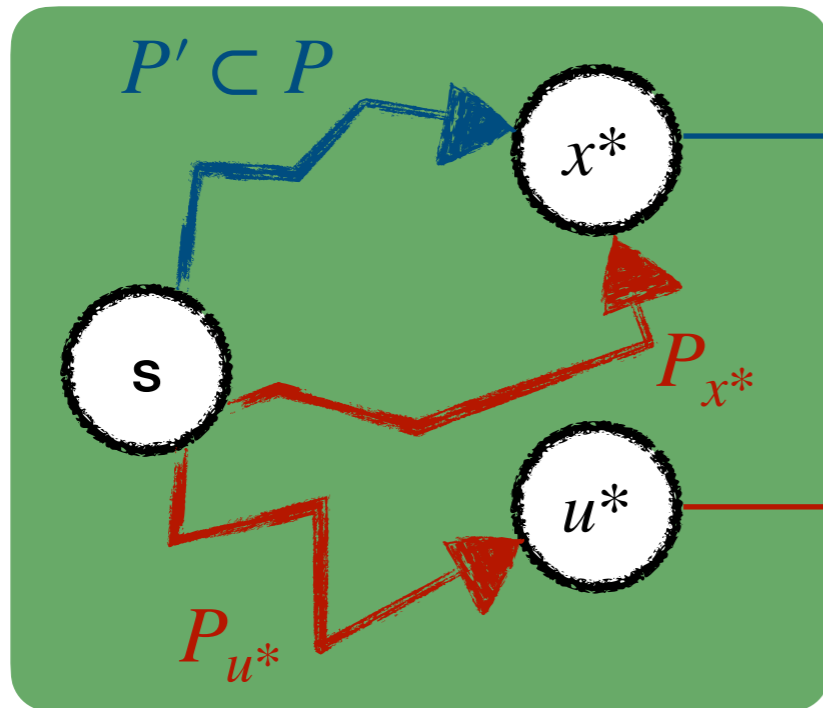
$$d'(y^*) = \min_{e=(u,y^*):u \in S} d(u) + \ell_e$$

We now know that the s - x^* path P_{x^*} is a shortest path. Why?
By the induction hypothesis.

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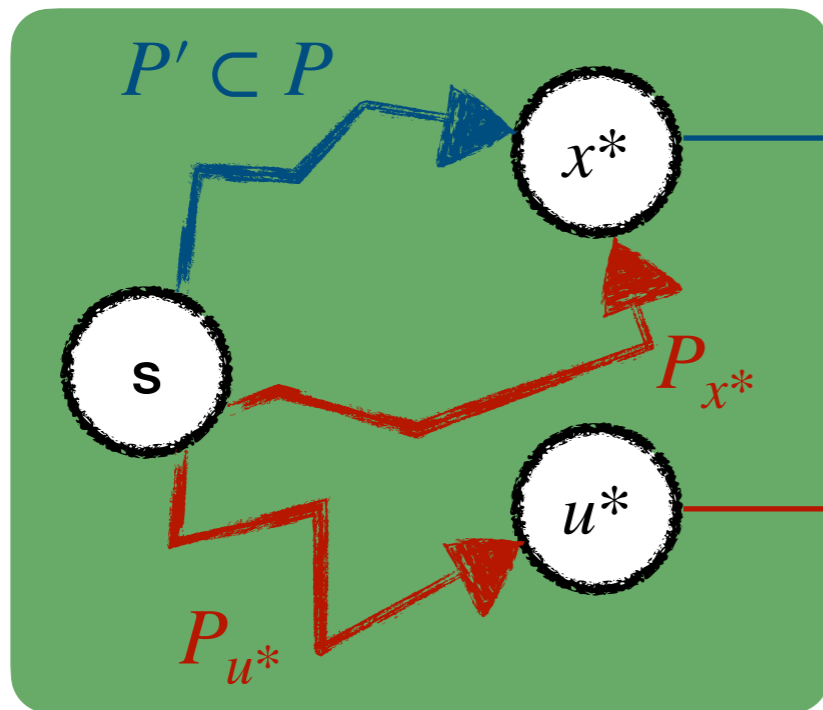
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Correctness



$$d'(y^*) = \min_{e=(u,y^*):u \in S} d(u) + \ell_e$$

Therefore $\ell(P) \geq d'(y^*)$

At the same time, we know that $d'(y^*) \geq d'(v^*) = \ell(P_{v^*})$.

Why?

Dijkstra's Algorithm (Pseudocode)

Dijkstra (G, ℓ)

Let S be the set of explored nodes, A be a list of distances.

Initially $S = \{s\}$ and $d(s) = 0, A[s] = d(s) = 0$

While $S \neq V$

Select a node $v \in V - S$ connected via an edge with at least one node in S such that

$$d'(v) = \min_{e=(u,v):u \in S} d(u) + \ell_e$$

Add v to S and define $d(v) = d'(v)$

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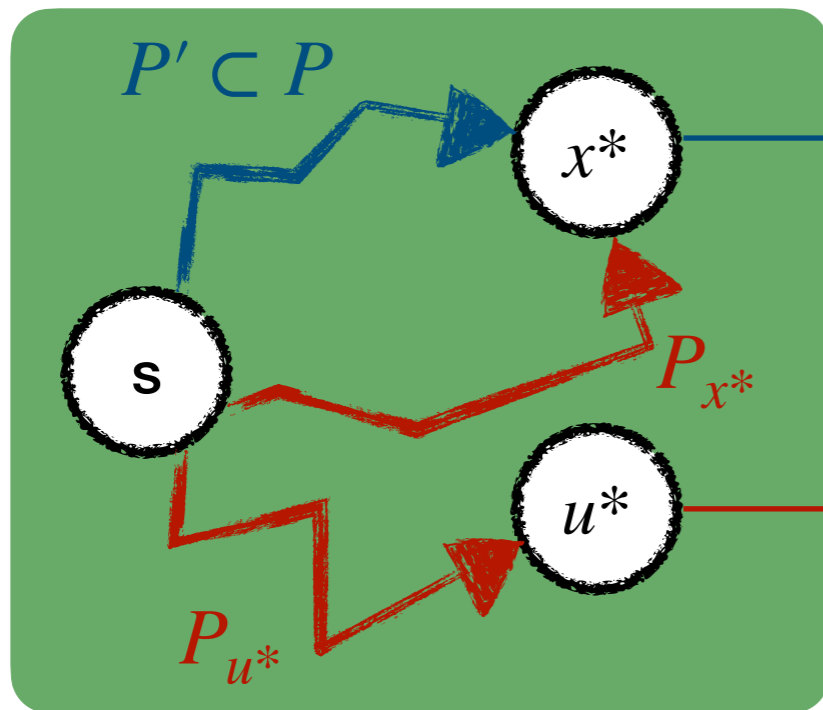
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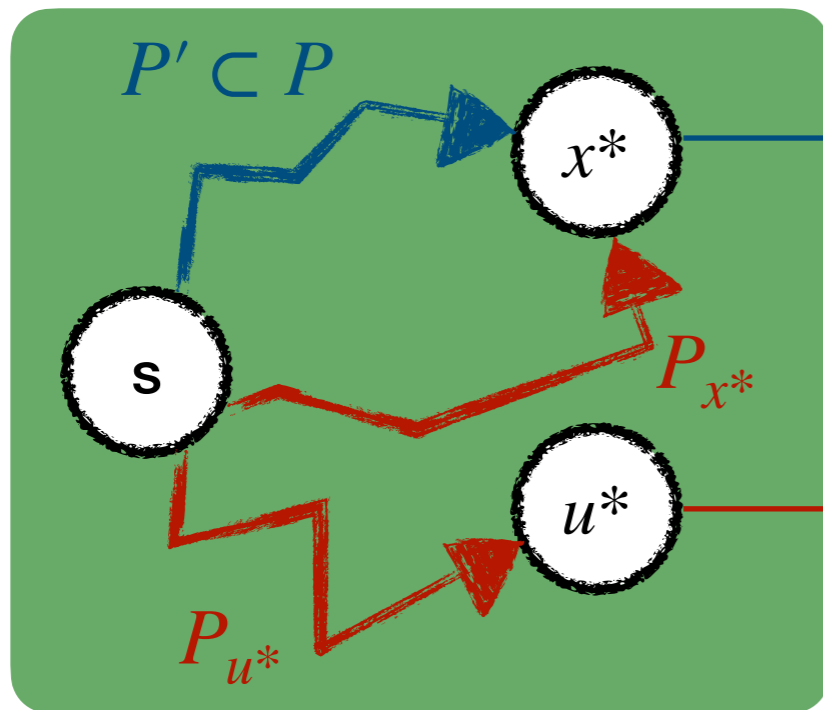
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Therefore $\ell(P) \geq d'(y^*)$

At the same time, we know that $d'(y^*) \geq d'(v^*) = \ell(P_{v^*})$.

Why? Because v^* was chosen by Dijkstra's Algorithm.

Putting it together



$$d'(y^*) = \min_{e=(u,y^*):u \in S} d(u) + \ell_e$$

Therefore $\ell(P) \geq d'(y^*)$

At the same time, we know that $d'(y^*) \geq \ell(P_{v^*})$.

That implies that $\ell(P) \geq \ell(P_{v^*})$, a contradiction.

Running Time

Lets look at the pseudocode.

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While $S \neq V$ **How many iterations here?** $|V| - 1 = n - 1$

Select a node $v \in V - S$ connected via an edge with at least one node in S such that

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**Here, consider every node v outside S ,
and then consider all edges between S
and v .**

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$$|E| = m$$

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Overall: $O(nm)$.

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**Here, consider every node v outside S ,
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$$|E| = m$$

Overall: $O(nm)$.

Not terrible, not great.

Running Time

Lets look at the pseudocode.

That was somewhat naive. Can we do better?

Priority Queues



Tue 31 Oct

Lecture Aris
12

[Lecture 12 - Heap operations and Priority Queues](#)

CLRS 6.5

KT 2.5 (uses min-heap)

[Lecture 12 - Heap operations and Priority Queues \(.key file, better animations\)](#)

Roughgarden 10.2, 10.5 (caution: RG uses min-heap and the terms "Heapify" for Build-Max-Heap and implements Min-Heapify as part of "ExtractMin").

[Recording from previous years](#)

(caution: different lecturer, different slides, presents all heap operations in Lecture 11 and Heapsort in Lecture 12).

• The values denote *priorities*.

- For Max-Priority Queues, the elements with the largest values are those with the highest priority.

Priority Queues

- **Priority queue:** A data structure that maintains
 - A set of elements S .
 - Each with an associated value, $\text{key}(v)$.
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Priority Queues

- **Priority queue:** A data structure that maintains
 - A set of elements S .
 - Each with an associated value, $\text{key}(v)$.
 - The values denote *priorities*.
 - For **Min-Priority** Queues, the elements with the **smallest** values are those with the highest priority.

Priority Queue Operations

- **Insert(Q, v)** inserts a new item v in the priority queue.
- **FindMin(Q)** finds the element with the maximum priority (the smallest value) in the priority queue and returns it (but does not remove it).
- **ExtractMin(Q)** finds the element with the maximum priority (smallest value) in the priority queue, returns it, and deletes it from the queue.

Priority Queue Operations

- **ExtractMin(Q)** finds the element with the maximum priority (smallest value) in the priority queue, returns it, and deletes it from the queue.
- **ChangeKey(Q, v, a)** changes the key value of element v to $\text{key}(v) = a$.

Running Time

Lets look at the pseudocode.

That was somewhat naive. Can we do better?

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1. Once we have computed $d'(v) = \min_{(u,v):u \in S} d(u) + \ell_e$, we store it, so we don't have to compute it again.

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1. Once we have computed $d'(v) = \min_{(u,v):u \in S} d(u) + \ell_e$, we store it, so we don't have to compute it again.
2. Place the nodes in a **priority queue**, with $d'(v)$ as the key of v .

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How do we get the node v to be added to S ?

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ExtractMin(Q)

Running Time

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ExtractMin(Q)

Issue: Once we add nodes to S , we need to update the values $d'(v)$, i.e., the keys in the priority queue.

Running Time

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Case 1: $(v, w) \notin E$.

Running Time

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Let $w \in V - S$ be a node that remains in the priority queue.

Case 1: $(v, w) \notin E$.

$\min_{e=(u,w):u \in S} d(u) + \ell_e$ has not changed!

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Running Time

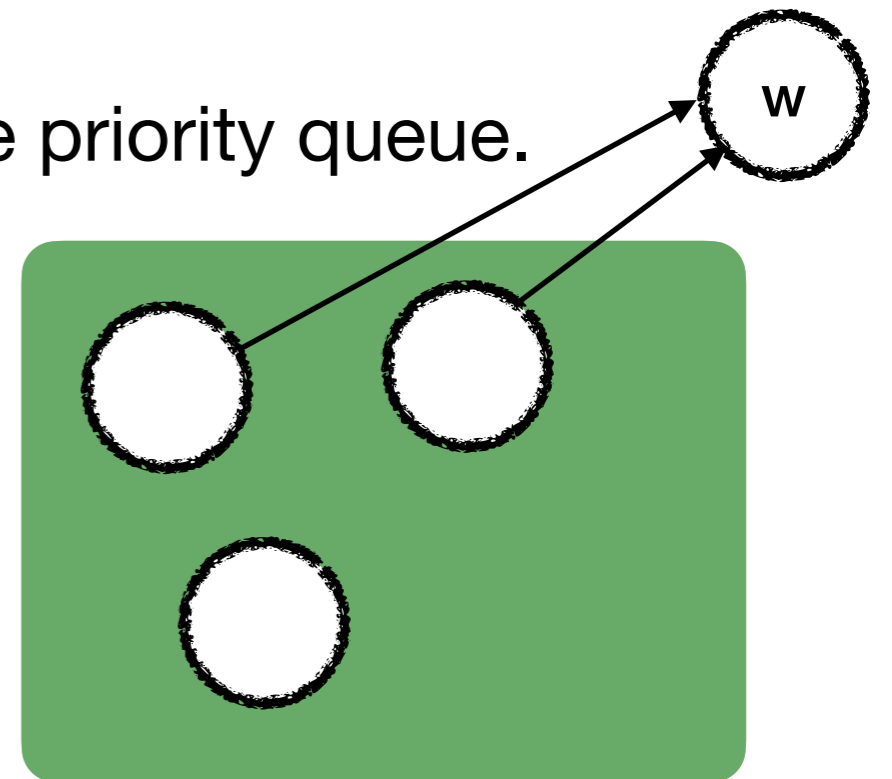
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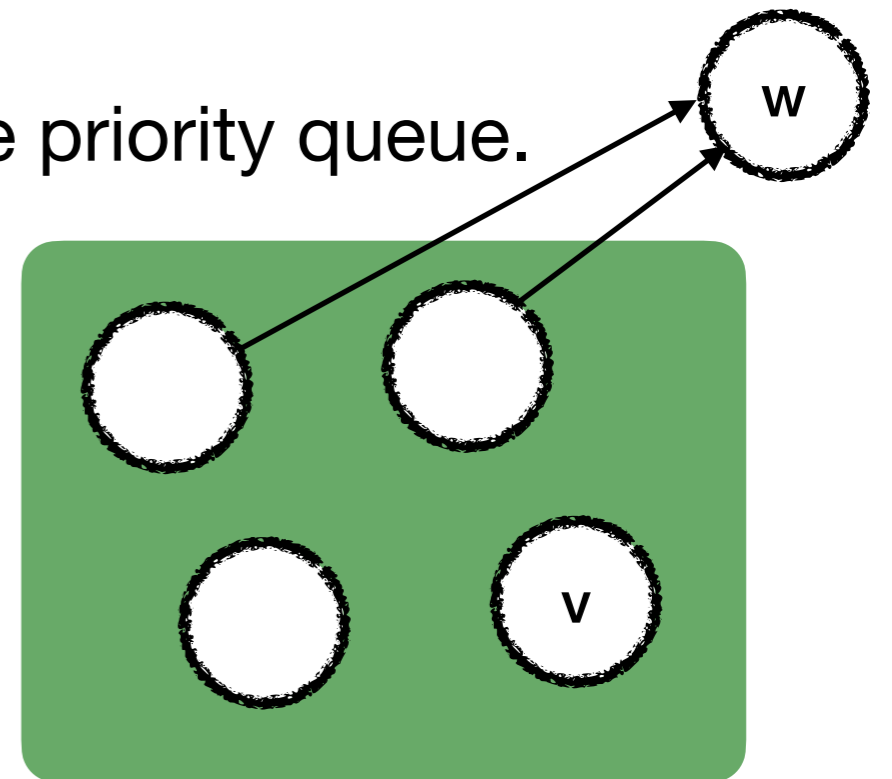
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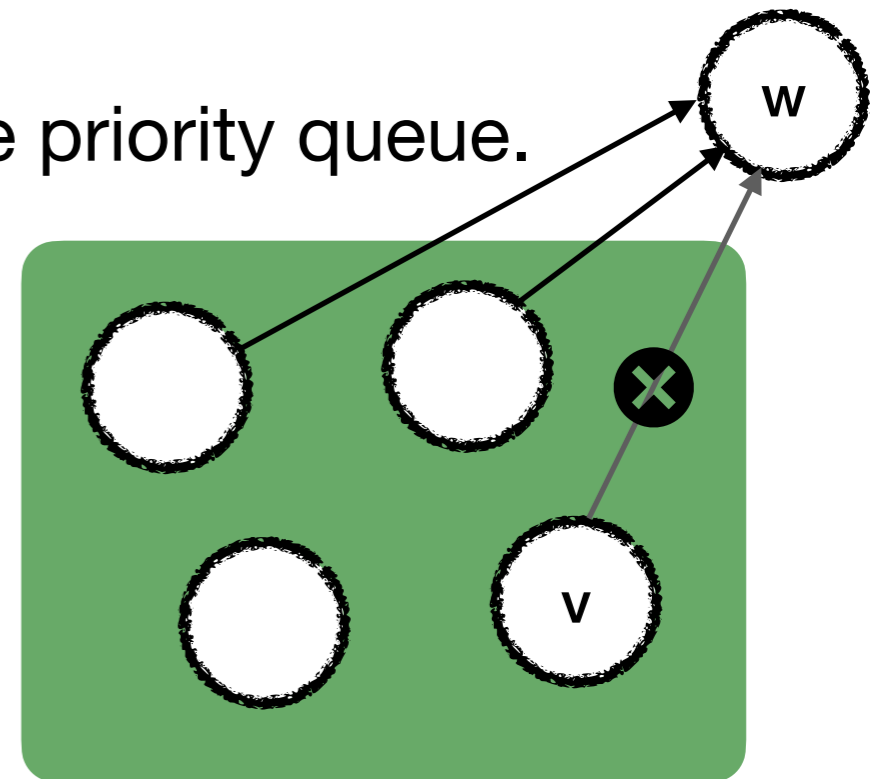
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Consider an iteration when v is added to S .

Let $w \in V - S$ be a node that remains in the priority queue.

Case 2: $(v, w) \in E$.

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Consider an iteration when v is added to S .

Let $w \in V - S$ be a node that remains in the priority queue.

Case 2: $(v, w) \in E$.

$$d'(w) = \min(d'(w), d(v) + \ell_{(v,w)})$$

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(the distance is as before, except if the path via v is shorter).

Running Time

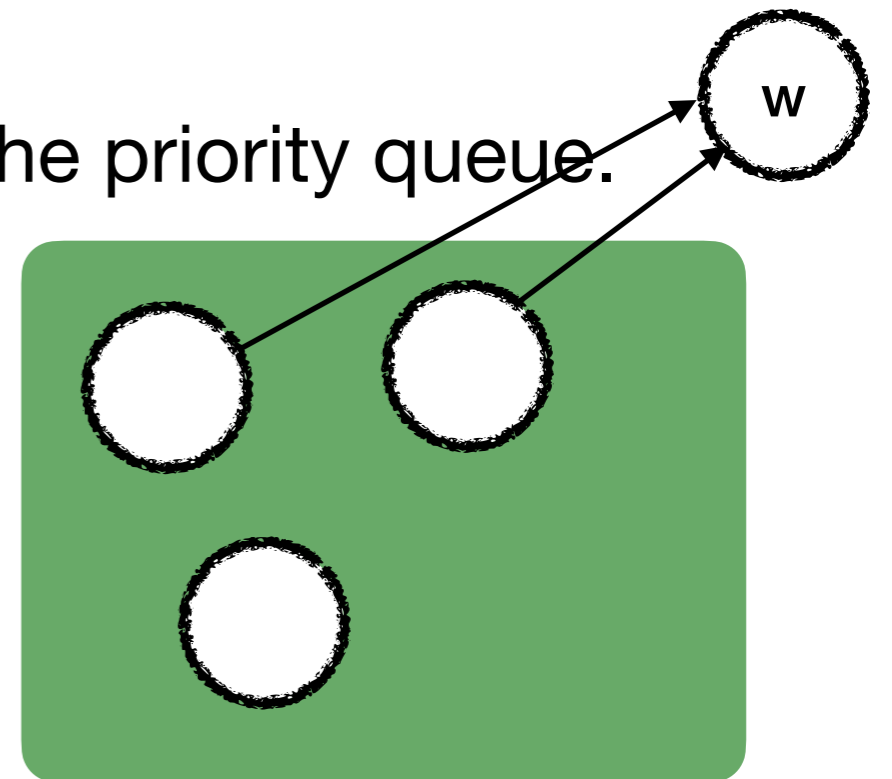
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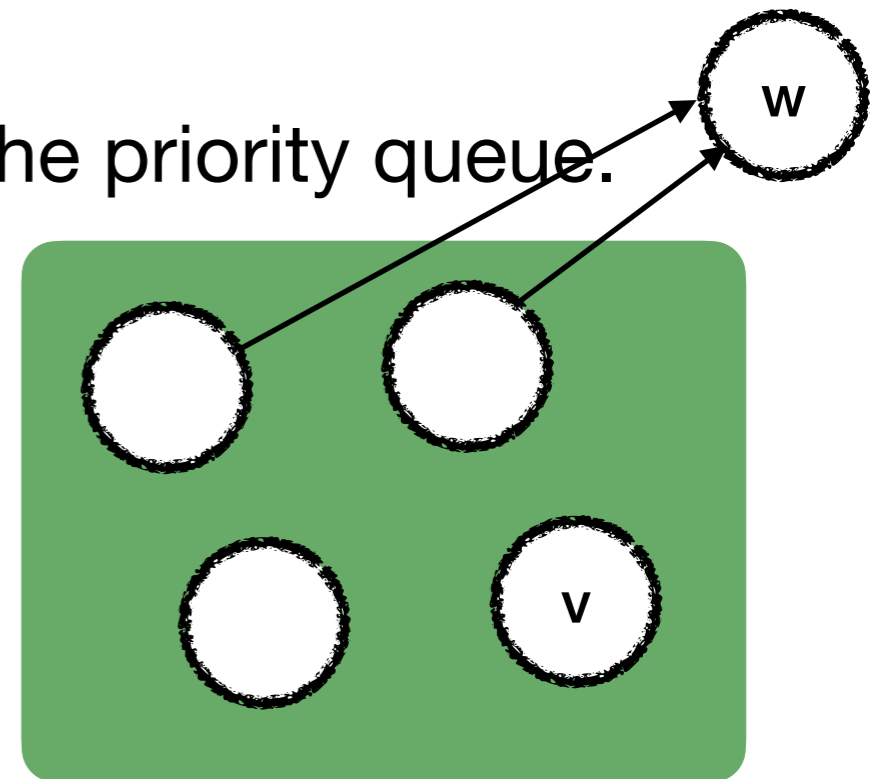
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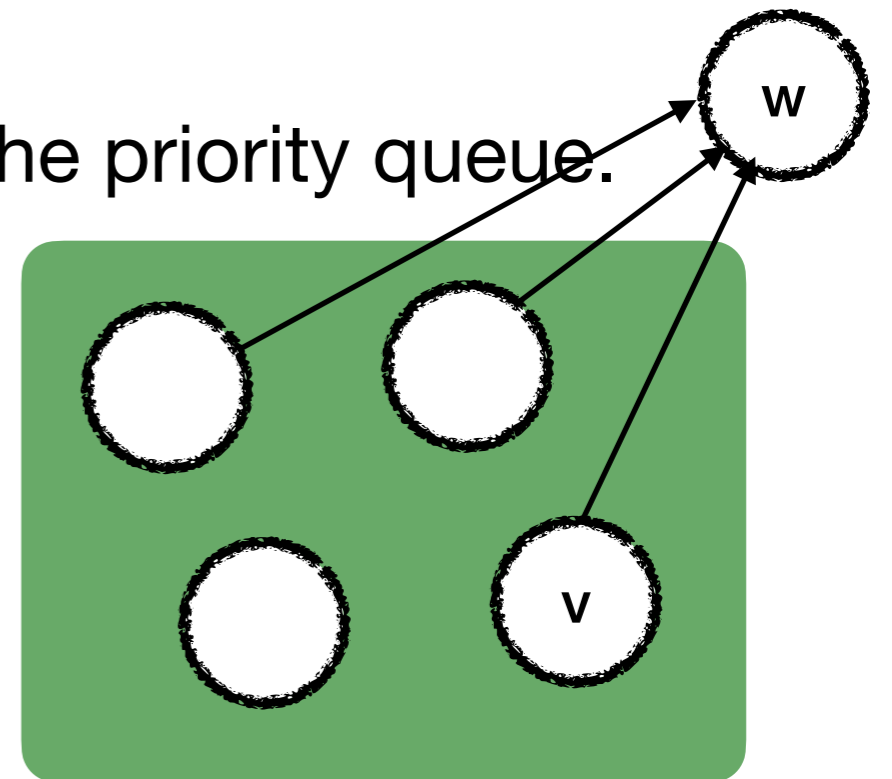
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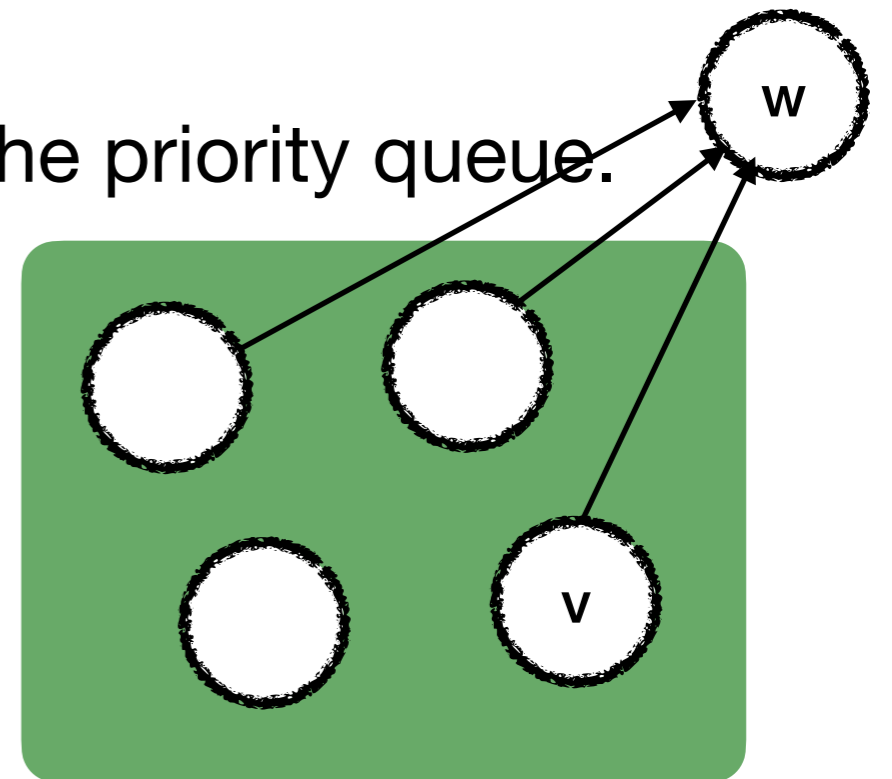
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ChangeKey(Q, v, a)

Running Time

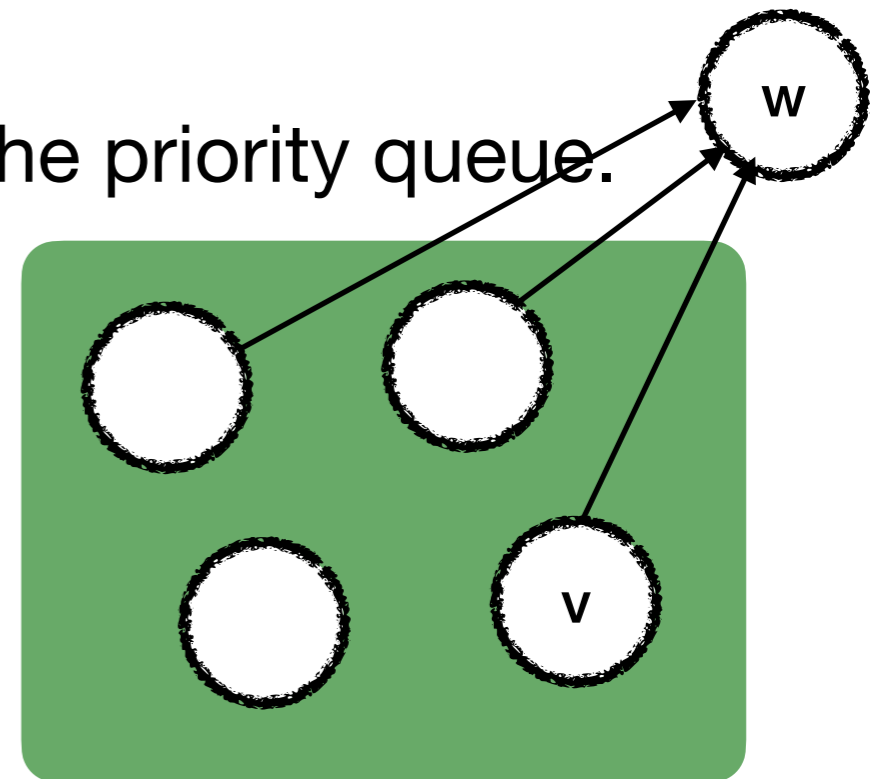
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(the distance is as before, except if the path via v is shorter).

ChangeKey(Q, v, a) **At most once per edge!**

Running Time

Lets look at the pseudocode.

That was somewhat naive. Can we do better?

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We need n $\text{ExtractMin}(Q)$ and m $\text{ChangeKey}(Q, v, a)$ operations, plus $O(m)$ time for computing the distances.

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How much time do we need for the priority queue operations?

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$O(\log n)$

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Overall: $O(m \log n)$

Reading

Kleinberg and Tardos Chapter 5.4. (or 4.4. in the online weird version). **Slides follow this religiously.**

Roughgarden 9.2., 9.3.

CLRS 24.3.

You can also find visualisers online and play around with them, e.g., <https://www.cs.usfca.edu/~galles/visualization/Dijkstra.html> and the more general <https://visualgo.net/en/sssp?slide=1>