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# Foundations for Natural Language Processing

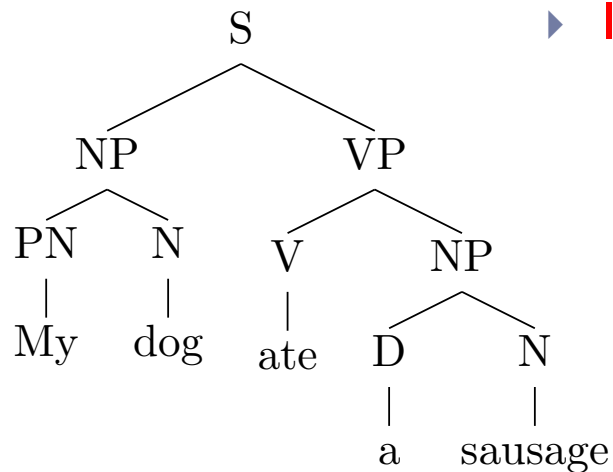
## Syntax and Parsing (part 2)

Ivan Titov

# Last time

- ▶ We discussed syntax and ambiguity
- ▶ Context free grammars
- ▶ Classes of parsing algorithms
- ▶ Today:
  - ▶ CKY algorithm
  - ▶ Probabilistic CFGs

# Recap: Constituent trees



- Internal nodes correspond to phrases

S – a sentence

NP (Noun Phrase): My dog, a sandwich, lakes, ..

VP (Verb Phrase): ate a sausage, barked, ...

PP (Prepositional phrases): with a friend, in a car, ...

- Nodes immediately above words are PoS tags

PN – pronoun

D – determiner

V – verb

N – noun

P – preposition

# Recap: An example grammar

$V = \{S, VP, NP, PP, N, V, PN, P\}$

$\Sigma = \{girl, telescope, sandwich, I, saw, ate, with, in, a, the\}$

$S = \{S\}$

$R :$

Inner rules

$S \rightarrow NP VP$  (NP A girl) (VP ate a sandwich)

$VP \rightarrow V$

$VP \rightarrow V NP$  (V ate) (NP a sandwich)

$VP \rightarrow VP PP$  (VP saw a girl) (PP with a telescope)

$NP \rightarrow NP PP$  (NP a girl) (PP with a sandwich)

$NP \rightarrow D N$  (D a) (N sandwich)

$NP \rightarrow PN$

$PP \rightarrow P NP$  (P with) (NP with a sandwich)

Preterminal rules

$N \rightarrow girl$

$N \rightarrow telescope$

$N \rightarrow sandwich$

$PN \rightarrow I$

$V \rightarrow saw$

$V \rightarrow ate$

$P \rightarrow with$

$P \rightarrow in$

$D \rightarrow a$

$D \rightarrow the$

# CKY algorithm (aka CYK)

- ▶ **Cocke-Kasami-Younger** algorithm
  - ▶ Independently discovered in late 60s / early 70s
- ▶ An efficient bottom-up parsing algorithm for CFGs
  - ▶ can be used both for the recognition and parsing problems
- ▶ Very important in NLP (and beyond)
- ▶ As we will see, it is generalizable to probabilistic modeling / PCFGs

# Constraints on the grammar

- ▶ The basic CKY algorithm supports only rules in the **Chomsky Normal Form (CNF)**:

$$C \rightarrow x$$

Unary **preterminal** rules, generation of words given PoS tags

$D \rightarrow the$      $N \rightarrow telescope$

$$C \rightarrow C_1 C_2$$

Binary **inner** rules (e.g.,  $S \rightarrow NP VP$ ,  $NP \rightarrow D N$ )

# Constraints on the grammar

- ▶ The basic CKY algorithm supports only rules in the **Chomsky Normal Form (CNF)**:

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Unary **preterminal** rules, generation of words given PoS tags

$D \rightarrow the$      $N \rightarrow telescope$

$$C \rightarrow C_1 C_2$$

Binary **inner** rules (e.g.,  $S \rightarrow NP VP$ ,  $NP \rightarrow D N$ )

- ▶ Any CFG can be converted to an equivalent CNF
  - ▶ Equivalent means that they define **the same language**
  - ▶ However (syntactic) **trees will look differently**
  - ▶ It is possible to address it but defining such transformations that allows for easy **reverse transformation**

# Transformation to CNF form

## ► What one need to do to convert to CNF form

- Get rid of empty (aka epsilon) productions:  $C \rightarrow \epsilon$
- Get rid of unary rules:  $C \rightarrow C_1$
- N-ary rules:  $C \rightarrow C_1 C_2 \dots C_n \ (n > 2)$

Generally not a problem as there are no empty production in the standard treebanks (or they can be disregarded)

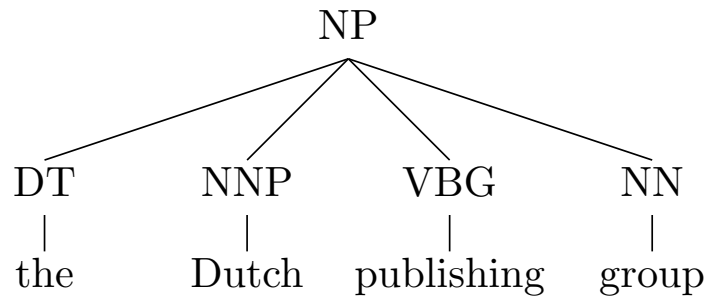
Not a problem, as our CKY algorithm will support unary rules

Crucial to process them, as required for efficient parsing



# Transformation to CNF form: binarization

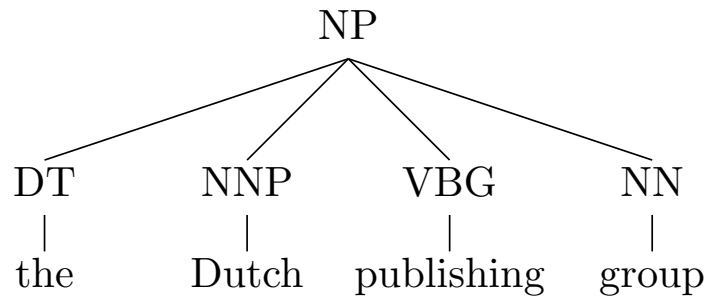
- ▶ **Consider**  $NP \rightarrow DT\ NNP\ VBG\ NN$



- ▶ **How do we get a set of binary rules which are equivalent?**

# Transformation to CNF form: binarization

- ▶ **Consider**  $NP \rightarrow DT\ NNP\ VBG\ NN$



- ▶ **How do we get a set of binary rules which are equivalent?**

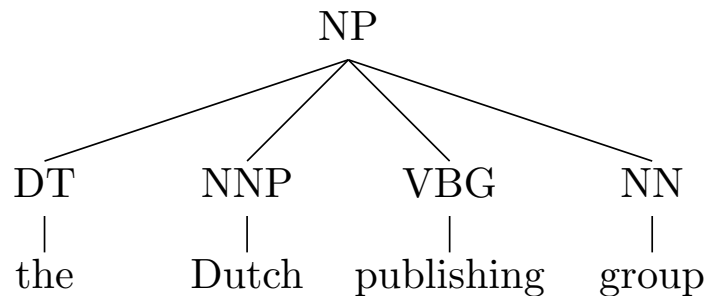
$NP \rightarrow DT\ X$

$X \rightarrow NNP\ Y$

$Y \rightarrow VBG\ NN$

# Transformation to CNF form: binarization

- ▶ **Consider**  $NP \rightarrow DT \ NNP \ VBG \ NN$



- ▶ **How do we get a set of binary rules which are equivalent?**

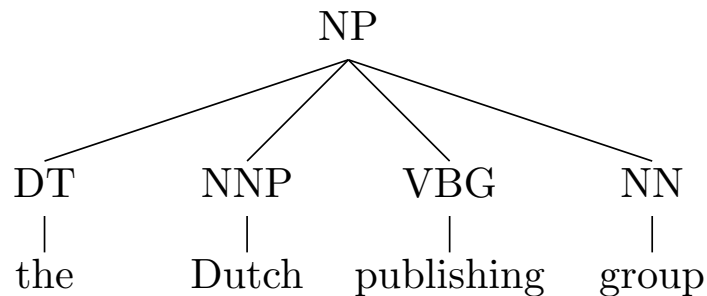
$$NP \rightarrow DT \ X$$
$$X \rightarrow NNP \ Y$$
$$Y \rightarrow VBG \ NN$$

- ▶ **A more systematic way to refer to new non-terminals**

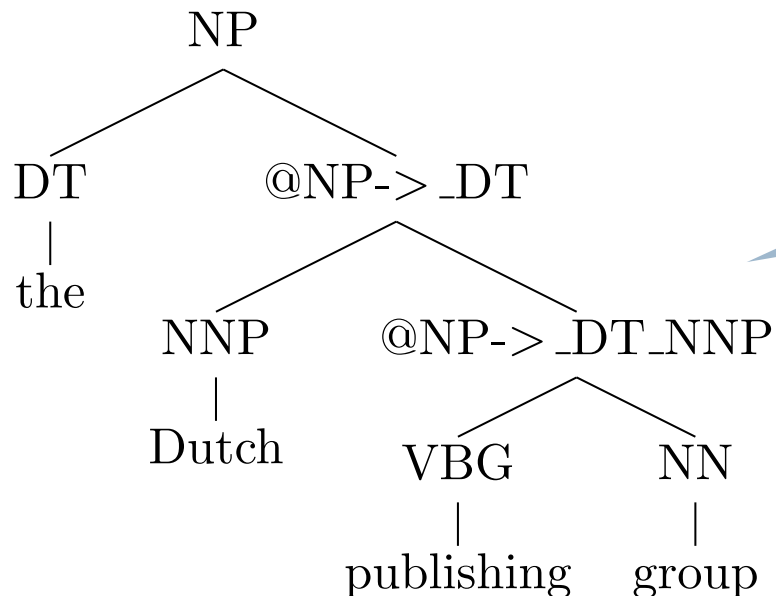
$$NP \rightarrow DT \ @NP|DT$$
$$@NP|DT \rightarrow NNP \ @NP|DT\_NNP$$
$$@NP|DT\_NNP \rightarrow VBG \ NN$$

# Transformation to CNF form: binarization

- Instead of binarizing rules we can binarize trees on preprocessing:



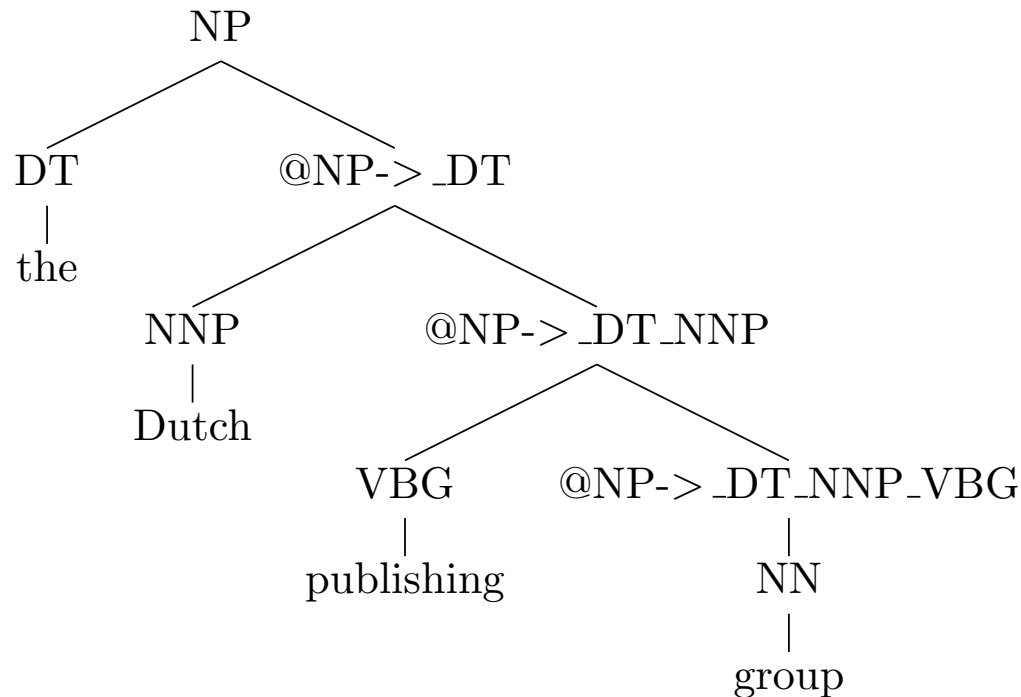
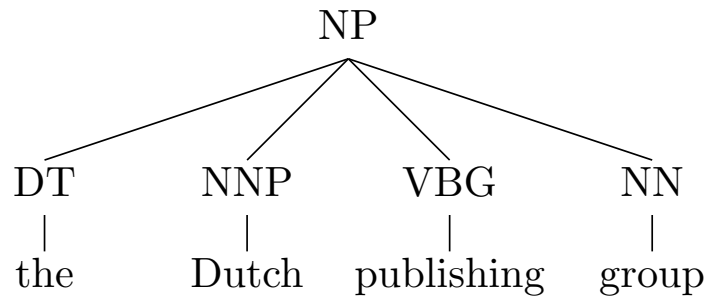
Also known as **lossless Markovization** in the context of PCFGs



Can be easily reversed on postprocessing

# Transformation to CNF form: binarization

- Instead of binarizing rules we can binarize trees on preprocessing:



# CKY: Parsing task

start symbol

- ▶ We are given
  - ▶ a grammar  $G = (V, \Sigma, R, S)$
  - ▶ a sequence of words  $w = (w_1, w_2, \dots, w_n)$
- ▶ Our goal is to produce a parse tree for  $w$

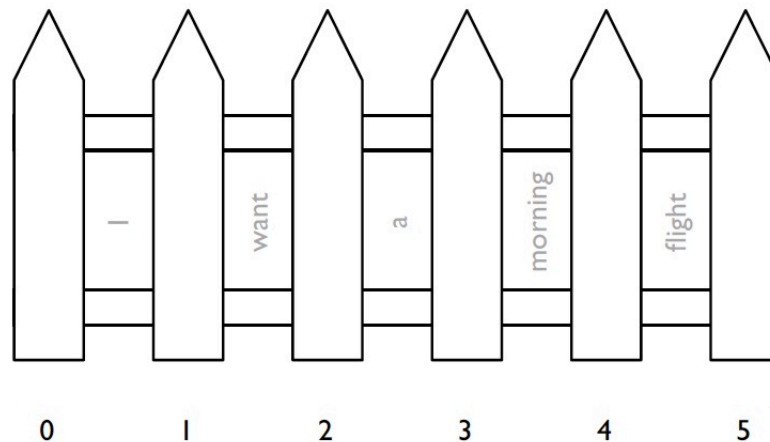
# CKY: Parsing task

- ▶ We are given

- ▶ a grammar  $G = (V, \Sigma, R, S)$
- ▶ a sequence of words  $w = (w_1, w_2, \dots, w_n)$

- ▶ Our goal is to produce a parse tree for  $w$

- ▶ We need an easy way to refer to substrings of  $w$



indices refer to fenceposts

*span*  $(i, j)$  refers to words between fence posts  $i$  and  $j$

# Recall -- Key problems

- ▶ **Recognition problem:** does the sentence belong to the language defined by CFG?
  - ▶ Is there a derivation which yields the sentence?
- ▶ **Parsing problem:** what is a derivation (tree) corresponding the sentence?
  - ▶ Probabilistic parsing: what is the most probable tree for the sentence?

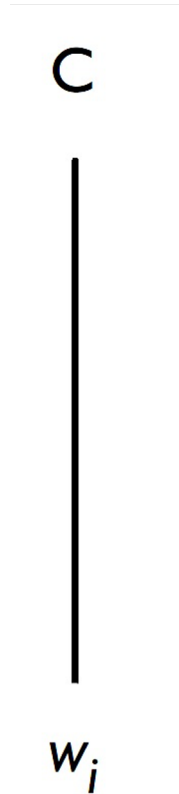


# Parsing one word

$$C \rightarrow w_i$$

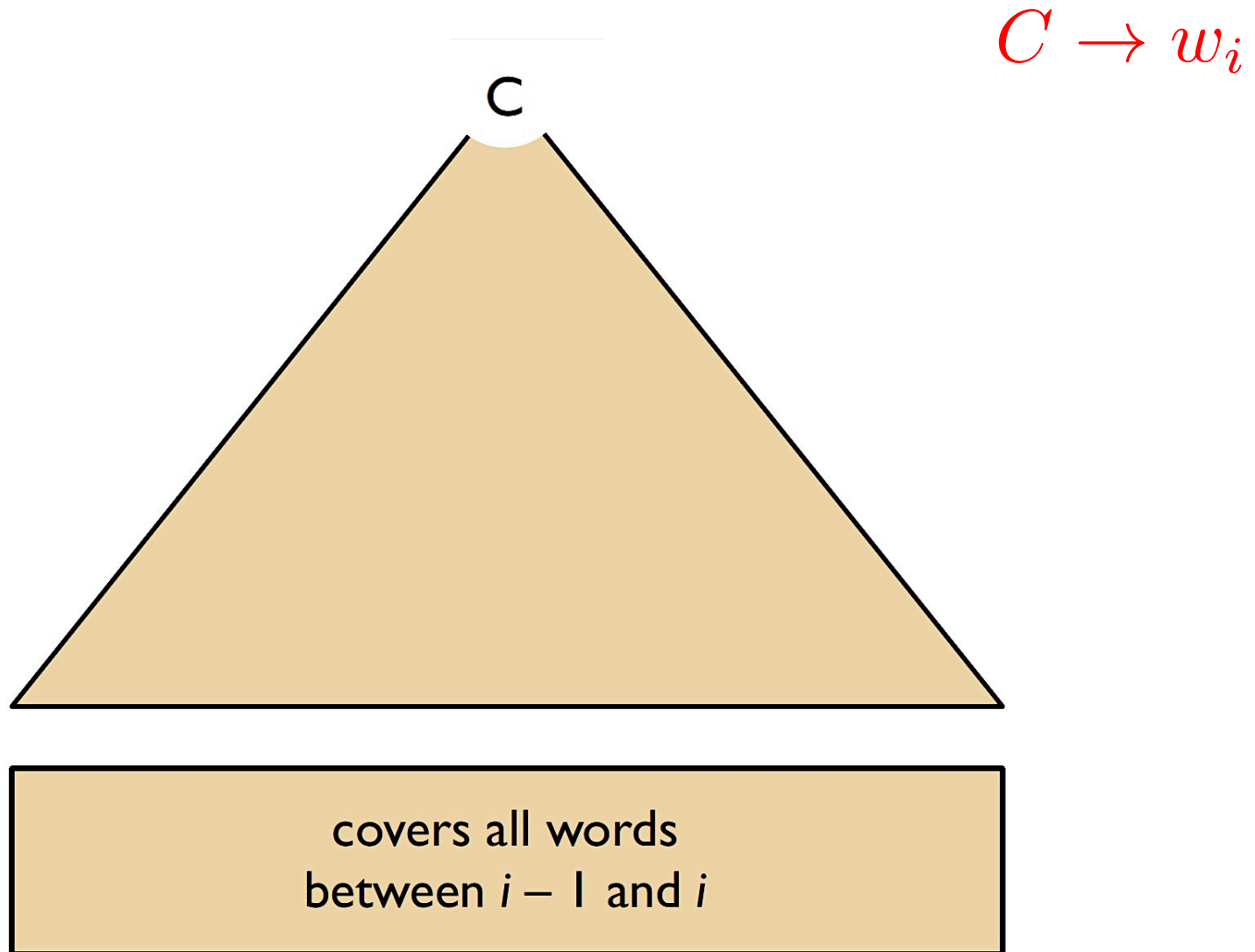
$w_i$

# Parsing one word



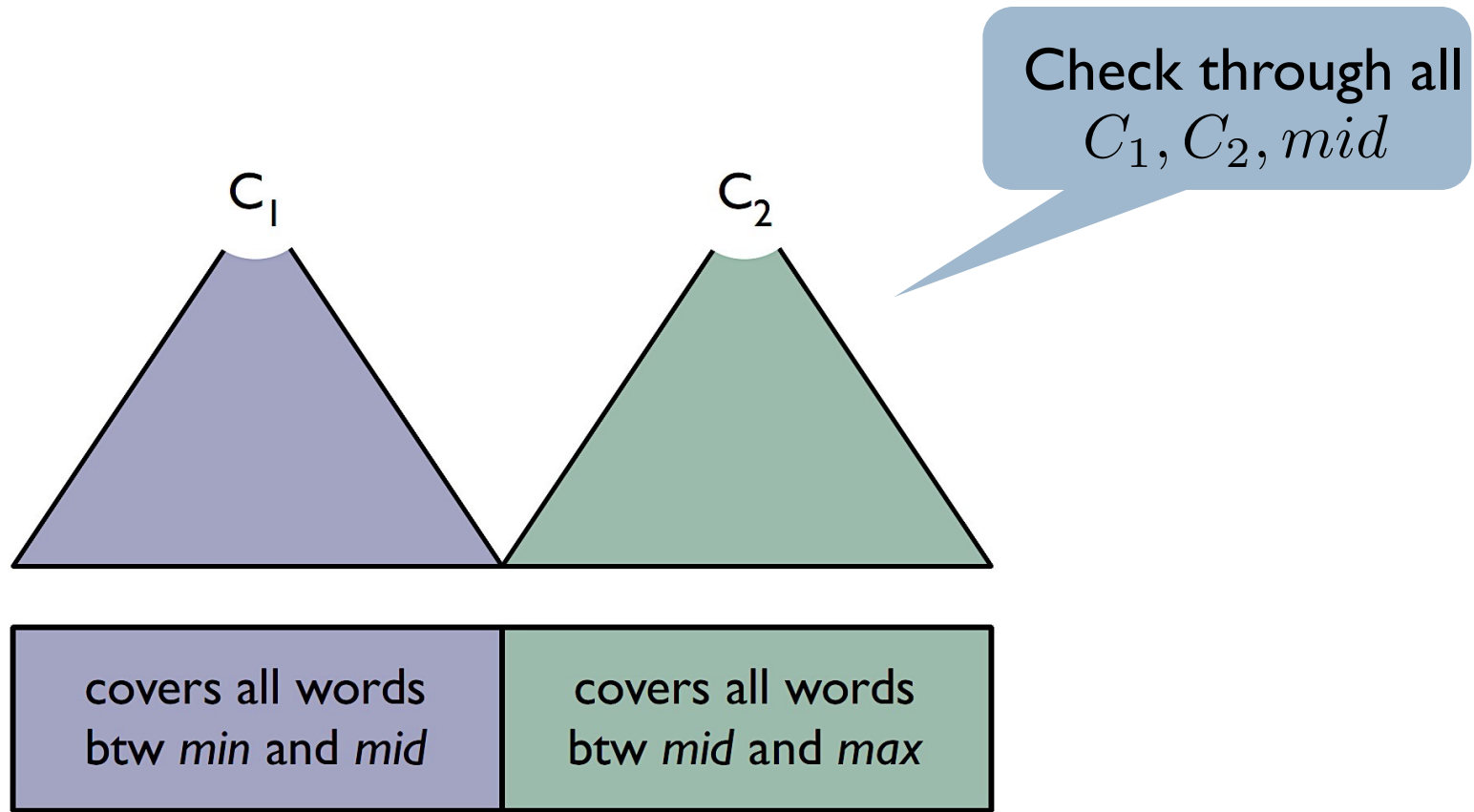
$$C \rightarrow w_i$$

# Parsing one word



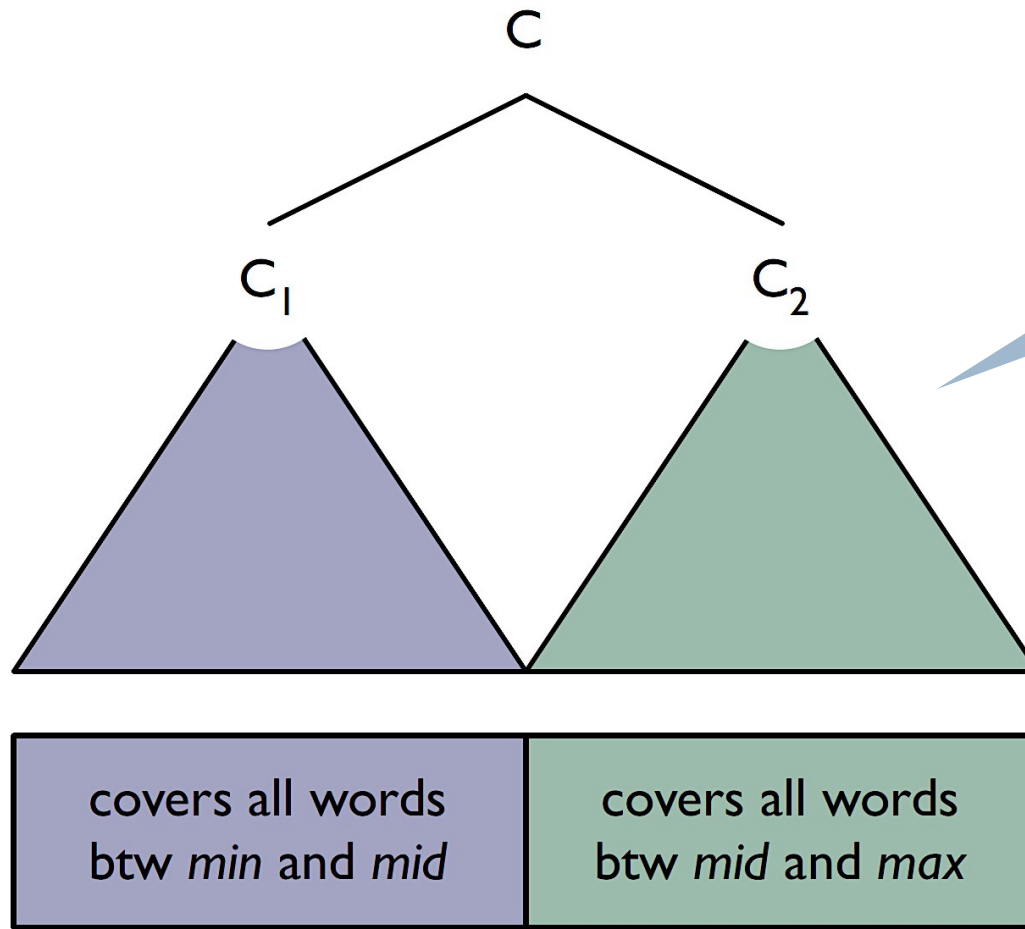
# Parsing longer spans

$$C \rightarrow C_1 \ C_2$$



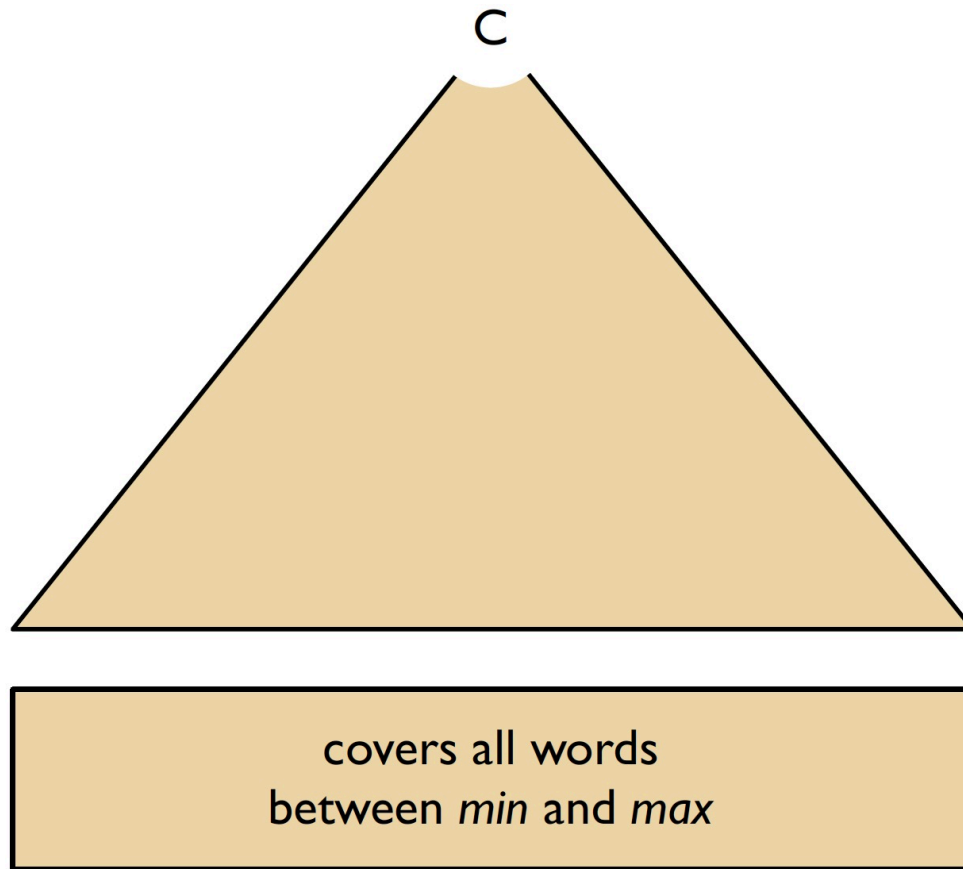
# Parsing longer spans

$$C \rightarrow C_1 \ C_2$$



Check through all  
 $C_1, C_2, mid$

# Parsing longer spans



# Signatures

- ▶ Applications of rules is **independent of inner structure of a parse tree**
- ▶ We only need to know the corresponding span and the root label of the tree
  - ▶ Its signature  $[min, max, C]$



Also known as an edge

# CKY idea

- ▶ Compute for every span a set of admissible labels (may be empty for some spans)
  - ▶ Start from small trees (single words) and proceed to larger ones
- ▶ When done, check if  $S$  is among admissible labels for the whole sentence, if yes – the sentence belong to the language
  - ▶ That is if a tree with signature  $[0, n, S]$  exists
- ▶ Unary rules?



# CKY in action

	lead		can		poison	
0		1		2		3

$$S \rightarrow NP \ VP$$

$$VP \rightarrow M \ V$$

$$VP \rightarrow V$$

$$NP \rightarrow N$$

$$NP \rightarrow N \ NP$$

Inner rules

$$N \rightarrow can$$

$$N \rightarrow lead$$

$$N \rightarrow poison$$

$$M \rightarrow can$$

$$M \rightarrow must$$

$$V \rightarrow poison$$

$$V \rightarrow lead$$

Preterminal rules

# CKY in action

lead	can	poison
0	1	2

	max = 1	max = 2	max = 3
min = 0			<i>S?</i>
min = 1			
min = 2			

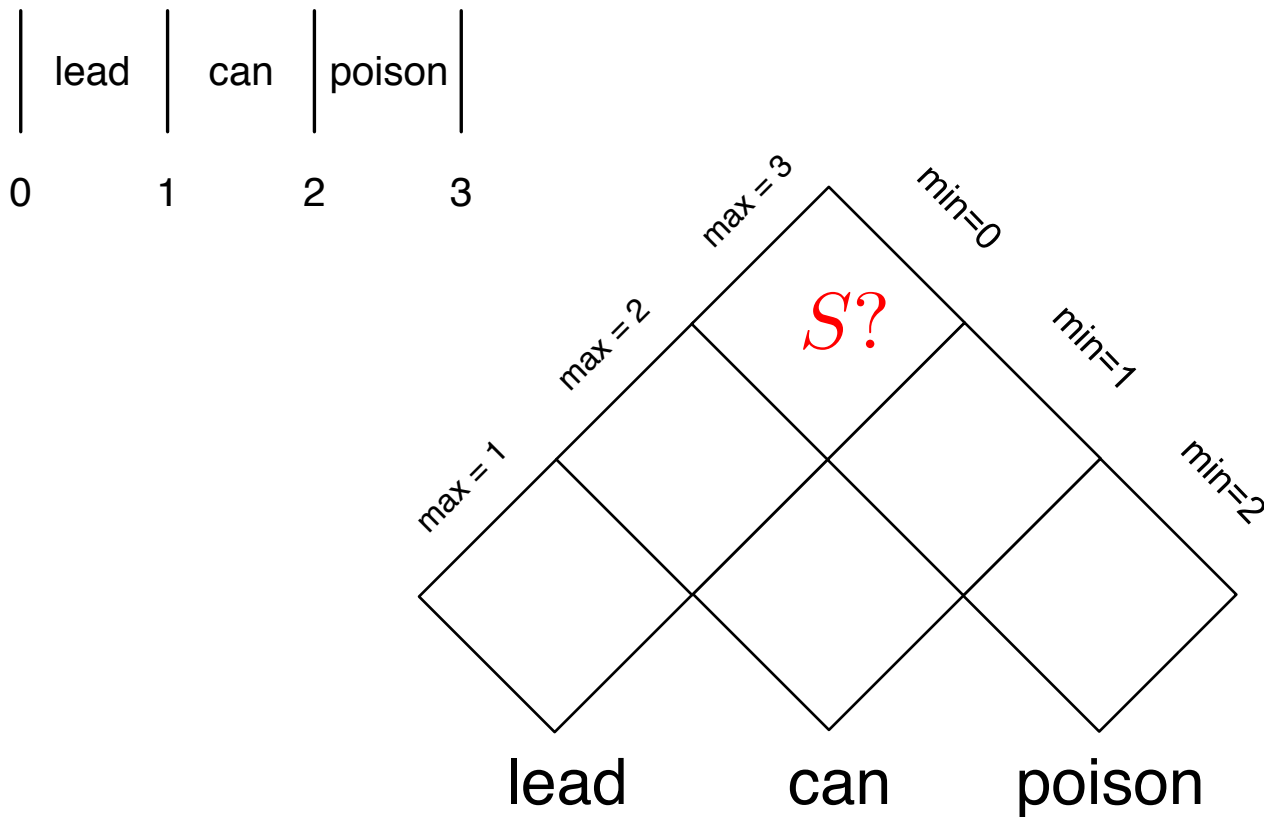
Chart (aka  
parsing  
triangle)

 $S \rightarrow NP VP$ 
 $VP \rightarrow M V$ 
 $VP \rightarrow V$ 
 $NP \rightarrow N$ 
 $NP \rightarrow N NP$ 
 $N \rightarrow can$ 
 $N \rightarrow lead$ 
 $N \rightarrow poison$ 
 $M \rightarrow can$ 
 $M \rightarrow must$ 
 $V \rightarrow poison$ 
 $V \rightarrow lead$ 

Inner rules

Preterminal rules

# CKY in action



$$S \rightarrow NP \ VP$$

$$VP \rightarrow M \ V$$

$$VP \rightarrow V$$

$$NP \rightarrow N$$

$$NP \rightarrow N \ NP$$

$$N \rightarrow can$$

$$N \rightarrow lead$$

$$N \rightarrow poison$$

$$M \rightarrow can$$

$$M \rightarrow must$$

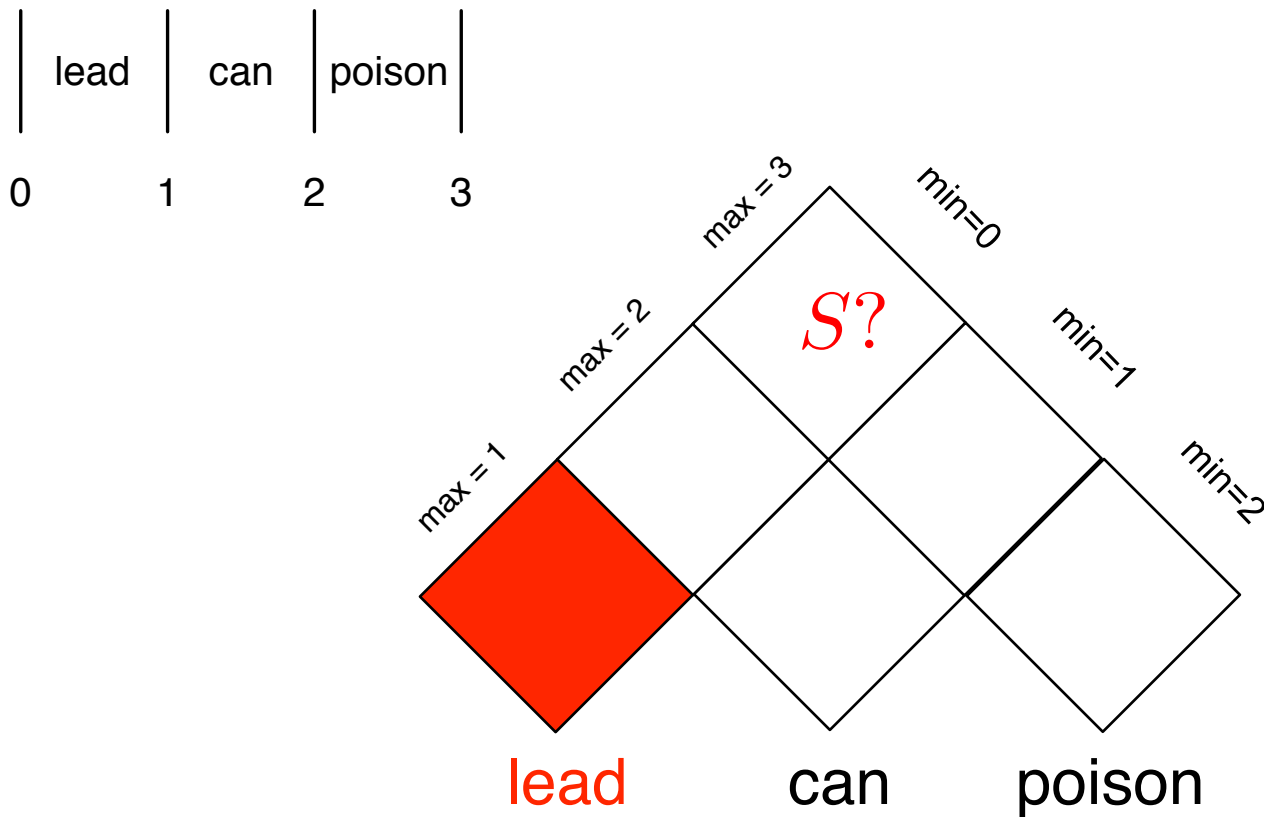
$$V \rightarrow poison$$

$$V \rightarrow lead$$

Inner rules

Preterminal rules

# CKY in action



$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

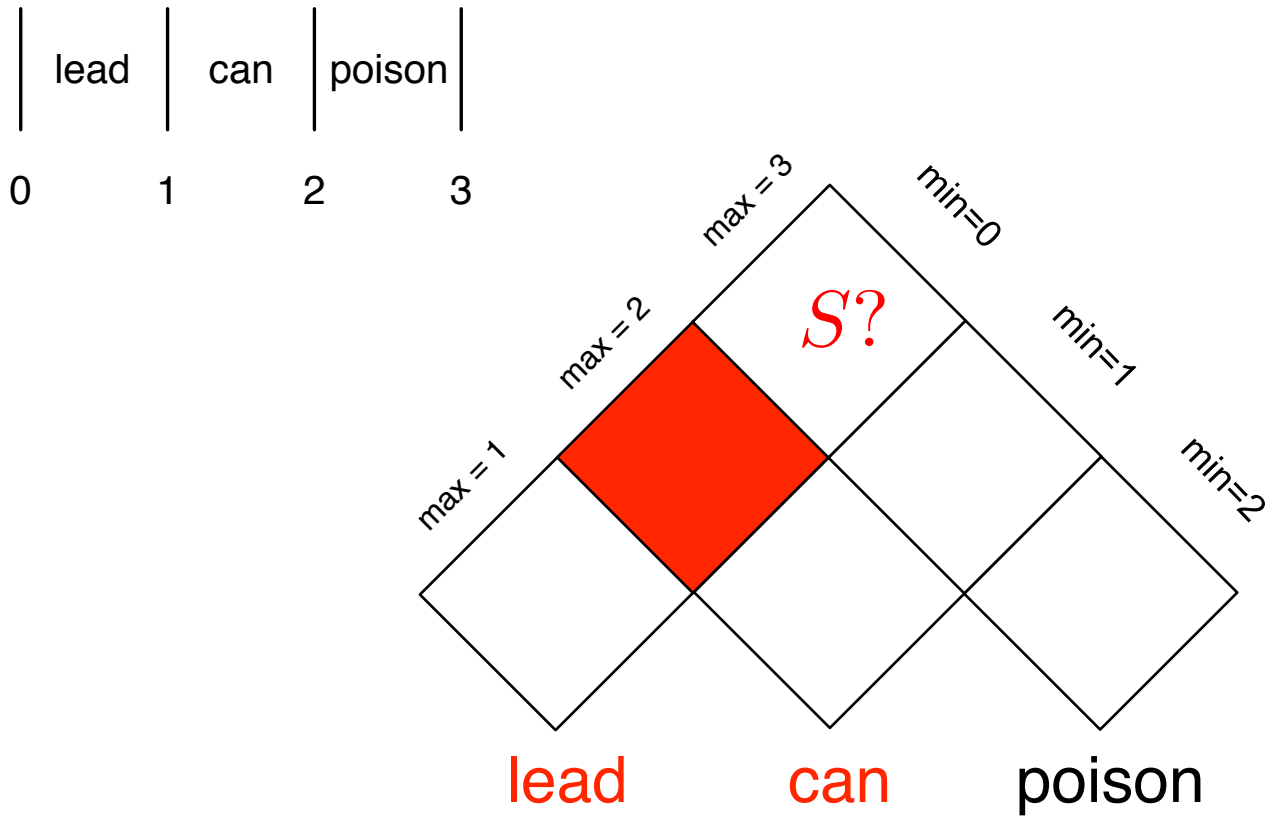
$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

## CKY in action


$$S \rightarrow NP \ VP$$
$$VP \rightarrow M \quad V$$
$$VP \rightarrow V$$
$$NP \rightarrow N$$
$$NP \rightarrow N \ NP$$
$$N \rightarrow can$$
$$N \rightarrow lead$$
$$N \rightarrow poison$$
$$M \rightarrow can$$
$$M \rightarrow must$$
$$V \rightarrow \textit{poison}$$
$$V \rightarrow lead$$

# Inner rules

# Preterminal rules

# CKY in action

lead	can	poison
0	1	2

	max = 1	max = 2	max = 3
min = 0			<i>S?</i>
min = 1			
min = 2			

$$S \rightarrow NP \ VP$$

$$VP \rightarrow M \ V$$

$$VP \rightarrow V$$

$$NP \rightarrow N$$

$$NP \rightarrow N \ NP$$

$$N \rightarrow can$$

$$N \rightarrow lead$$

$$N \rightarrow poison$$

$$M \rightarrow can$$

$$M \rightarrow must$$

$$V \rightarrow poison$$

$$V \rightarrow lead$$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison
0	1	2
		3

max = 1      max = 2      max = 3

min = 0	1	4	6
			<i>S?</i>
min = 1		2	5
min = 2			3

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison
0	1	2

max = 1      max = 2      max = 3

min = 0	1 ?		
min = 1		2 ?	
min = 2			3 ?

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules



# CKY in action

lead	can	poison
0	1	2

max = 1      max = 2      max = 3

min = 0	1 ?		
min = 1		2 ?	
min = 2			3 ?

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$   
 $N \rightarrow lead$   
 $N \rightarrow poison$

$M \rightarrow can$   
 $M \rightarrow must$

$V \rightarrow poison$   
 $V \rightarrow lead$

Preterminal rules

# CKY in action

	lead		can		poison	
0		1		2		3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i>		
min = 1		2 <i>N, M</i>	
min = 2			3 <i>N, V</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$   
 $N \rightarrow lead$   
 $N \rightarrow poison$

$M \rightarrow can$   
 $M \rightarrow must$

$V \rightarrow poison$   
 $V \rightarrow lead$

Preterminal rules

# CKY in action

	lead		can		poison	
0		1		2		3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>		
min = 1		2 <i>N, M</i> <i>NP</i>	
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 ?	
min = 1		2 <i>N, M</i> <i>NP</i>	
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 ?	
min = 1		2 <i>N, M</i> <i>NP</i>	
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	
min = 1		2 <i>N, M</i> <i>NP</i>	
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

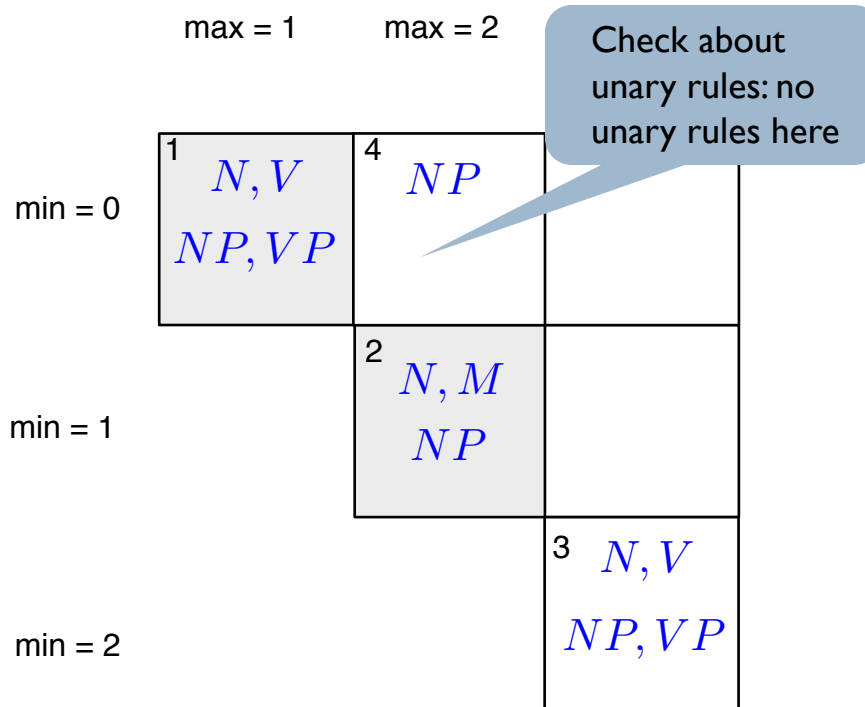
$V \rightarrow poison$

$V \rightarrow lead$

Preterminal rules

# CKY in action

	lead		can		poison	
0		1		2		3



$$S \rightarrow NP VP$$

$$VP \rightarrow M V$$

$$VP \rightarrow V$$

$$NP \rightarrow N$$

$$NP \rightarrow N NP$$

$$N \rightarrow can$$

$$N \rightarrow lead$$

$$N \rightarrow poison$$

$$M \rightarrow can$$

$$M \rightarrow must$$

$$V \rightarrow poison$$

$$V \rightarrow lead$$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	
min = 1		2 <i>N, M</i> <i>NP</i>	5 ?
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules



# CKY in action

	lead	can	poison
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	
min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1      max = 2      max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	
min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

Check about  
unary rules: no  
unary rules here

$S \rightarrow NP \ VP$

$VP \rightarrow M \ V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N \ NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	6 ?
min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison	
0	1	2	3

max = 1

max = 2

max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	6 ?
	min = 1	2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
		3 <i>N V</i> <i>NP VP</i>	
min = 2			

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Inner rules

Preterminal rules

# CKY in action

lead	can	poison
0	1	2

max = 1      max = 2      max = 3

min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	6 <i>S, NP</i>
min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

mid = 1

$S \rightarrow NP VP$

$VP \rightarrow M V$

$VP \rightarrow V$

$NP \rightarrow N$

$NP \rightarrow N NP$

Inner rules

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

$V \rightarrow poison$

$V \rightarrow lead$

Preterminal rules

# CKY in action

$S \rightarrow NP VP$

lead	can	poison
0	1	2

$VP \rightarrow M V$

$VP \rightarrow V$

Inner rules

$NP \rightarrow N$

$NP \rightarrow N NP$

max = 1

max = 2

max = 3

mid = 2

min = 0	1 $N, V$ $NP, VP$	4 $NP$	6 $S, NP$ $S(?!)$
min = 1		2 $N, M$ $NP$	5 $S, VP,$ $NP$
min = 2			3 $N, V$ $NP, VP$

$N \rightarrow can$

$N \rightarrow lead$

$N \rightarrow poison$

$M \rightarrow can$

$M \rightarrow must$

Preterminal rules

$V \rightarrow poison$

$V \rightarrow lead$

# CKY in action

$S \rightarrow NP VP$

lead	can	poison
0	1	2

$VP \rightarrow M V$   
 $VP \rightarrow V$

Inner rules

$NP \rightarrow N$   
 $NP \rightarrow N NP$

max = 1      max = 2      max = 3

min = 0	1 $N, V$ $NP, VP$	4 $NP$	6 $S, NP$ $S(?!)$
min = 1		2 $N, M$ $NP$	5 $S, VP,$ $NP$
			3 $N V$

mid = 2

Apparently the sentence is ambiguous with the grammar

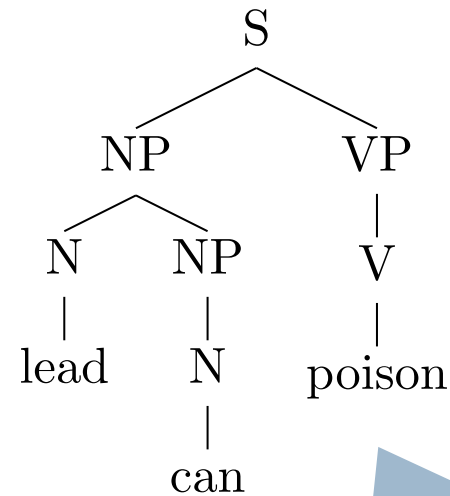
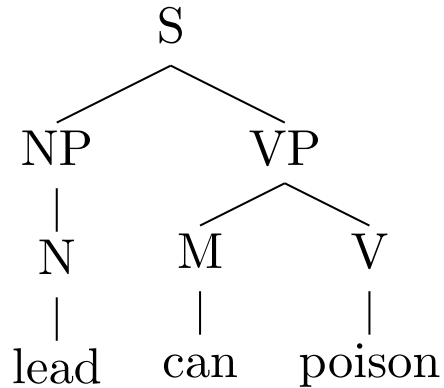
$N \rightarrow can$   
 $N \rightarrow lead$   
 $N \rightarrow poison$

$M \rightarrow can$   
 $M \rightarrow must$

$V \rightarrow poison$   
 $V \rightarrow lead$

Preterminal rules

# Ambiguity



No subject-verb agreement, and *poison* used as an intransitive verb

Apparently the sentence is ambiguous with the grammar



# CKY more formally

Here we assume that labels (C) are integer indices

- ▶ Chart can be represented by a Boolean array `chart [min] [max] [C]`
  - ▶ Relevant entries have  $0 \leq \min < \max \leq n$
- ▶ `chart [min] [max] [C] = true` if the signature ( $\min, \max, C$ ) is already added to the chart; false otherwise.

	max = 1	max = 2	max = 3
min = 0	1 <i>N, V</i> <i>NP, VP</i>	4 <i>NP</i>	6 <i>S, VP,</i> <i>NP</i>
min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

# CKY more formally

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min = 1		2 <i>N, M</i> <i>NP</i>	5 <i>S, VP,</i> <i>NP</i>
min = 2			3 <i>N, V</i> <i>NP, VP</i>

# Implementation: preterminal rules

```
for each  $w_i$  from left to right
```

```
  for each preterminal rule  $C \rightarrow w_i$ 
```

```
    chart[i - 1][i][C] = true
```

# Implementation: binary rules

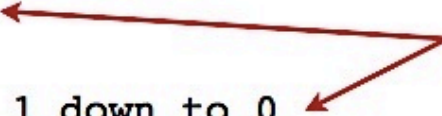
```
for each max from 2 to n
  for each min from max - 2 down to 0
    for each syntactic category C
      for each binary rule  $C \rightarrow C_1 C_2$ 
        for each mid from min + 1 to max - 1
          if chart[min][mid][ $C_1$ ] and chart[mid][max][ $C_2$ ] then
            chart[min][max][C] = true
```

# Unary rules

- ▶ How to integrate unary rules  $C \rightarrow C_1$  ?

# Implementation: unary rules

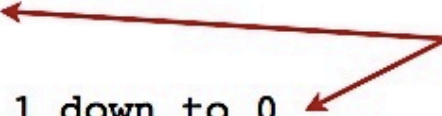
```
for each max from 1 to n
  for each min from max - 1 down to 0
    // First, try all binary rules as before.
    ...
    // Then, try all unary rules.
    for each syntactic category C
      for each unary rule  $C \rightarrow C_1$ 
        if chart[min][max][ $C_1$ ] then
          chart[min][max][C] = true
```



The text "new bounds!" is written in red. Two red arrows originate from it: one points to the "max" variable in the first loop "for each max from 1 to n", and the other points to the "max" variable in the second loop "for each min from max - 1 down to 0".

# Implementation: unary rules

```
for each max from 1 to n
  for each min from max - 1 down to 0
    // First, try all binary rules as before.
    ...
    // Then, try all unary rules.
    for each syntactic category C
      for each unary rule  $C \rightarrow C_1$ 
        if chart[min][max][ $C_1$ ] then
          chart[min][max][C] = true
```



But we forgot something!

# Unary closure

- ▶ What if the grammar contained 2 rules:

$$A \rightarrow B$$

$$B \rightarrow C$$

- ▶ But  $C$  can be derived from  $A$  by a chain of rules:

$$A \rightarrow B \rightarrow C$$

- ▶ One could support chains in the algorithm but it is easier to extend the grammar, to get the **transitive closure**

$$\begin{array}{l} A \rightarrow B \\ B \rightarrow C \end{array} \quad \Rightarrow \quad \begin{array}{l} A \rightarrow B \\ B \rightarrow C \\ A \rightarrow C \end{array}$$



# Implementation: skeleton

```
// int n = number of words in the sequence

// int m = number of syntactic categories in the grammar

// int s = the (number of the) grammar's start symbol

boolean[][][] chart = new boolean[n + 1][n + 1][m]

// Recognize all parse trees built with with preterminal rules.

// Recognize all parse trees built with inner rules.

return chart[0][n][s]
```

# Algorithm analysis

- ▶ Time complexity?

# Algorithm analysis

## ► Time complexity?

```
for each max from 2 to n
```

```
  for each min from max - 2 down to 0
```

```
    for each syntactic category C
```

```
      for each binary rule  $C \rightarrow C_1 C_2$ 
```

```
        for each mid from min + 1 to max - 1
```

# Algorithm analysis

## ► Time complexity?

**for each** max from 2 to n

**for each** min from max - 2 down to 0

**for each** syntactic category C

**for each** binary rule  $C \rightarrow C_1 C_2$

**for each** mid from min + 1 to max - 1

- $\theta(n^3|R|)$  , where  $|R|$  is the number of rules in the grammar

A few seconds for sentences under < 20 words for a non-optimized parser

# Algorithm analysis

## ► Time complexity?

**for each** max from 2 to n

**for each** min from max - 2 down to 0

**for each** syntactic category C

**for each** binary rule  $C \rightarrow C_1 C_2$

**for each** mid from min + 1 to max - 1

►  $\theta(n^3|R|)$  , where  $|R|$  is the number of rules in the grammar

A few seconds for sentences under < 20 words for a non-optimized parser

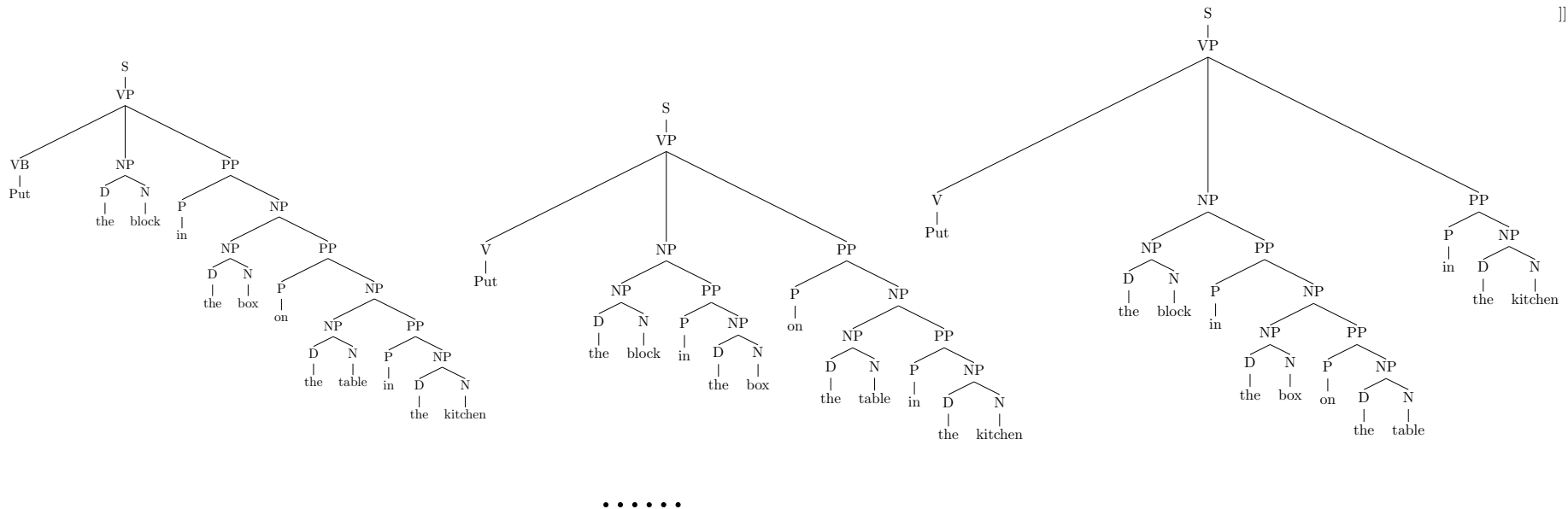
► There exist algorithms with better asymptotical time complexity but the 'constant' makes them slower in practice (in general)

# Today

- ▶ CKY for the recognition problem
- ▶ Probabilistic PCFGs

# How to deal with ambiguity?

- There are (exponentially) many derivations for a typical sentence



*Put the block in the box on the table in the kitchen*

- We want to **score all the derivations** to encode how plausible they are

# An example probabilistic CFG

Associate probabilities with the rules  $p(X \rightarrow \alpha)$ :

$$\forall X \rightarrow \alpha \in R: \quad 0 \leq p(X \rightarrow \alpha) \leq 1$$

$$\forall X \in N: \quad \sum_{\alpha: X \rightarrow \alpha \in R} p(X \rightarrow \alpha) = 1$$

Now we can score a tree as a product of probabilities corresponding to the used rules

$S \rightarrow NP VP$	1.0	(NP A girl) (VP ate a sandwich)	$N \rightarrow girl$	0.2
$VP \rightarrow V$	0.2		$N \rightarrow telescope$	0.7
$VP \rightarrow V NP$	0.4	(VP ate) (NP a sandwich)	$N \rightarrow sandwich$	0.1
$VP \rightarrow VP PP$	0.4	(VP saw a girl) (PP with ...)	$PN \rightarrow I$	1.0
$NP \rightarrow NP PP$	0.3	(NP a girl) (PP with ....)	$V \rightarrow saw$	0.5
$NP \rightarrow D N$	0.5	(D a) (N sandwich)	$V \rightarrow ate$	0.5
$NP \rightarrow PN$	0.2		$P \rightarrow with$	0.6
$PP \rightarrow P NP$	1.0	(P with) (NP with a sandwich)	$P \rightarrow in$	0.4
			$D \rightarrow a$	0.3
			$D \rightarrow the$	0.7



# CFGs

S

$S \rightarrow NP VP$  1.0

$VP \rightarrow V$  0.2

$VP \rightarrow V NP$  0.4

$VP \rightarrow VP PP$  0.4

$NP \rightarrow NP PP$  0.3

$NP \rightarrow D N$  0.5

$NP \rightarrow PN$  0.2

$PP \rightarrow P NP$  1.0

$N \rightarrow girl$  0.2

$N \rightarrow telescope$  0.7

$N \rightarrow sandwich$  0.1

$PN \rightarrow I$  1.0

$V \rightarrow saw$  0.5

$V \rightarrow ate$  0.5

$P \rightarrow with$  0.6

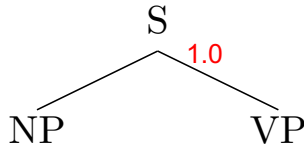
$P \rightarrow in$  0.4

$D \rightarrow a$  0.3

$D \rightarrow the$  0.7

$p(T) =$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

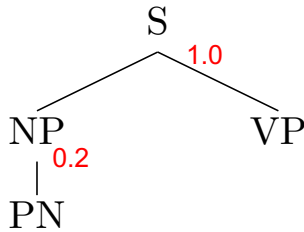
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

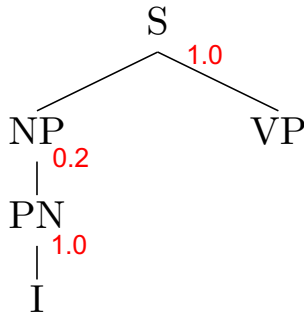
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

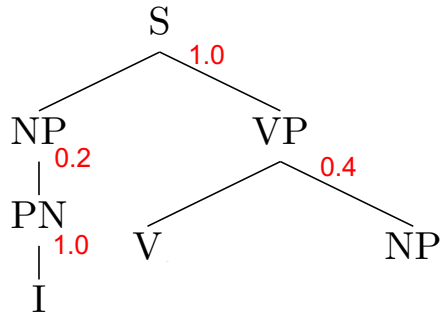
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times$$

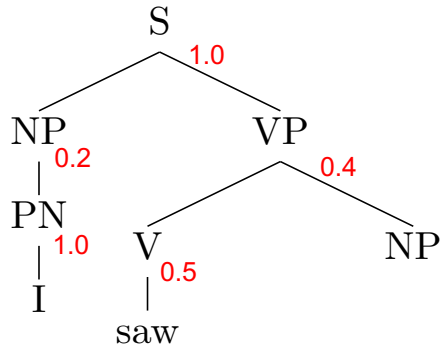
# CFGs



$S \rightarrow NP \ VP$	1.0	$N \rightarrow girl$	0.2
$VP \rightarrow V$	0.2	$N \rightarrow telescope$	0.7
$VP \rightarrow V \ NP$	0.4	$N \rightarrow sandwich$	0.1
$VP \rightarrow VP \ PP$	0.4	$PN \rightarrow I$	1.0
$NP \rightarrow NP \ PP$	0.3	$V \rightarrow saw$	0.5
$NP \rightarrow D \ N$	0.5	$V \rightarrow ate$	0.5
$NP \rightarrow PN$	0.2	$P \rightarrow with$	0.6
$PP \rightarrow P \ NP$	1.0	$P \rightarrow in$	0.4
		$D \rightarrow a$	0.3
		$D \rightarrow the$	0.7

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

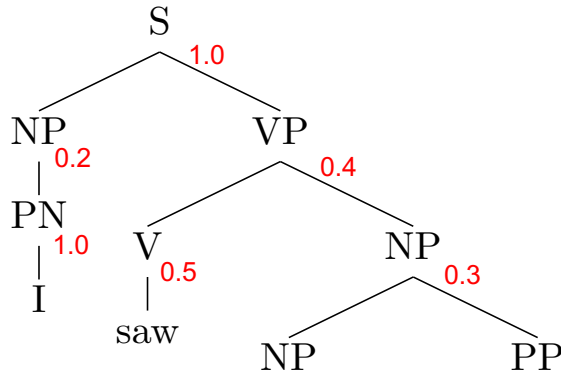
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

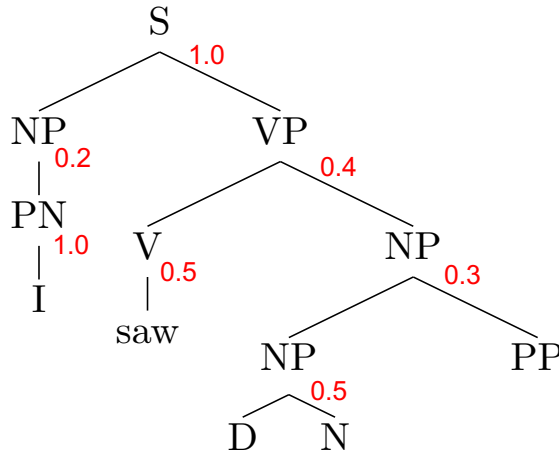
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times 0.3 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

$P \rightarrow in \ 0.4$

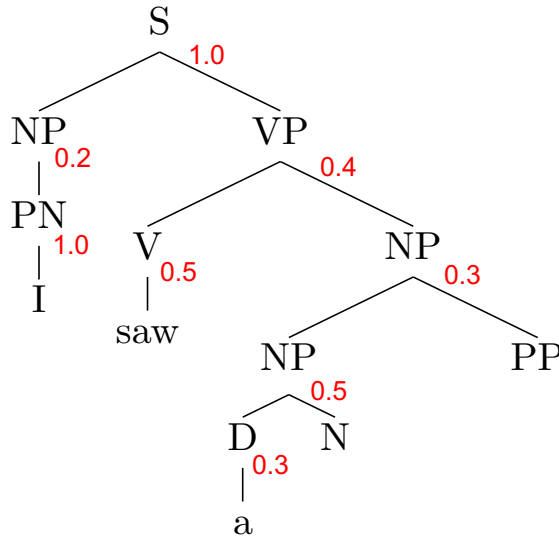
$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times 0.3 \times 0.5 \times$$



# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

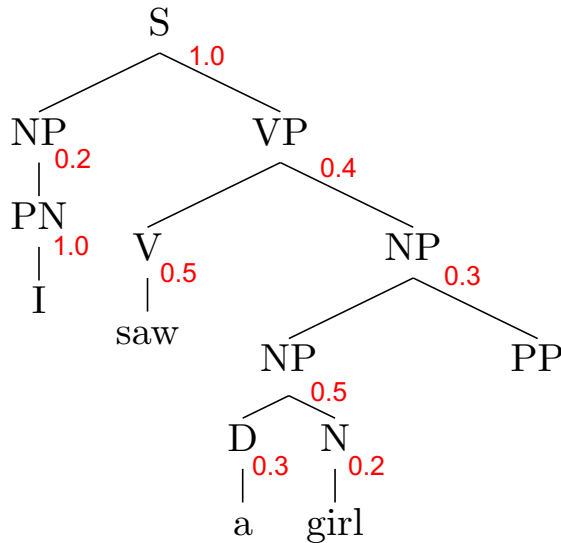
$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times 0.3 \times \\ 0.5 \times 0.3 \times$$

# CFGs



$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow \text{girl} \ 0.2$

$N \rightarrow \text{telescope} \ 0.7$

$N \rightarrow \text{sandwich} \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow \text{saw} \ 0.5$

$V \rightarrow \text{ate} \ 0.5$

$P \rightarrow \text{with} \ 0.6$

$P \rightarrow \text{in} \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow \text{the} \ 0.7$

$$p(T) = 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times 0.3 \times \\ 0.5 \times 0.3 \times 0.2$$

# CFGs

$S \rightarrow NP \ VP \ 1.0$

$VP \rightarrow V \ 0.2$

$VP \rightarrow V \ NP \ 0.4$

$VP \rightarrow VP \ PP \ 0.4$

$NP \rightarrow NP \ PP \ 0.3$

$NP \rightarrow D \ N \ 0.5$

$NP \rightarrow PN \ 0.2$

$PP \rightarrow P \ NP \ 1.0$

$N \rightarrow girl \ 0.2$

$N \rightarrow telescope \ 0.7$

$N \rightarrow sandwich \ 0.1$

$PN \rightarrow I \ 1.0$

$V \rightarrow saw \ 0.5$

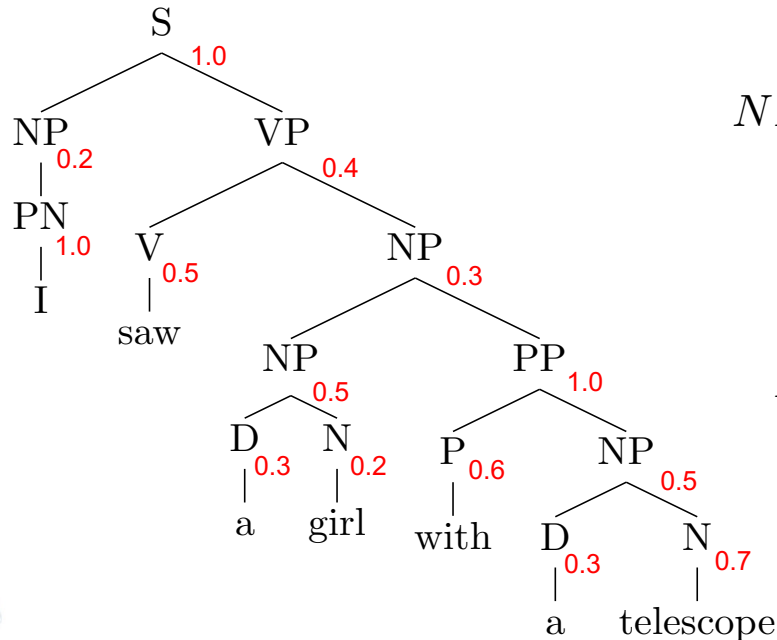
$V \rightarrow ate \ 0.5$

$P \rightarrow with \ 0.6$

$P \rightarrow in \ 0.4$

$D \rightarrow a \ 0.3$

$D \rightarrow the \ 0.7$



$$\begin{aligned}
 p(T) &= 1.0 \times 0.2 \times 1.0 \times 0.4 \times 0.5 \times 0.3 \times \\
 &\quad 0.5 \times 0.3 \times 0.2 \times 1.0 \times 0.6 \times 0.5 \times 0.3 \times 0.7 \\
 &= 2.26 \times 10^{-5}
 \end{aligned}$$

# Distribution over trees

- ▶ We defined a distribution **over production rules for each nonterminal**
- ▶ Our goal was to define **a distribution over parse trees**

Unfortunately, not all PCFGs give rise to a proper distribution over trees, i.e. the sum over probabilities of all trees the grammar can generate may be less than 1:  $\sum_T P(T) < 1$

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Unfortunately, not all PCFGs give rise to a proper distribution over trees, i.e. the sum over probabilities of all trees the grammar can generate may be less than 1:  $\sum_T P(T) < 1$

- ▶ **Good news:** any PCFG estimated with the maximum likelihood procedure are always proper [Chi and Geman, 98]

# Distribution over trees

- ▶ Let us denote by  $G(x)$  the set of derivations for the sentence  $x$
- ▶ The probability distribution defines the scoring  $P(T)$  over the trees  $T \in G(x)$
- ▶ Finding the best parse for the sentence according to PCFG:

$$\arg \max_{T \in G(x)} P(T)$$

# Summary

- ▶ CKY is an important tool, used in many applications
- ▶ PCFGs
- ▶ Next time:
  - ▶ Estimation of PCFGs, CKY for PCFGs,
  - ▶ ‘Vanilla’ PCFGs weakness and how to address them
  - ▶ Grammar refinement and neutralized models
- ▶ Next week
  - ▶ Last lecture on Tuesday
  - ▶ No lecture on Wednesday
  - ▶ Revision and Q&A session on Friday