Informatics 1A

Functional Programming Lecture 12

Data Representation and Data Abstraction

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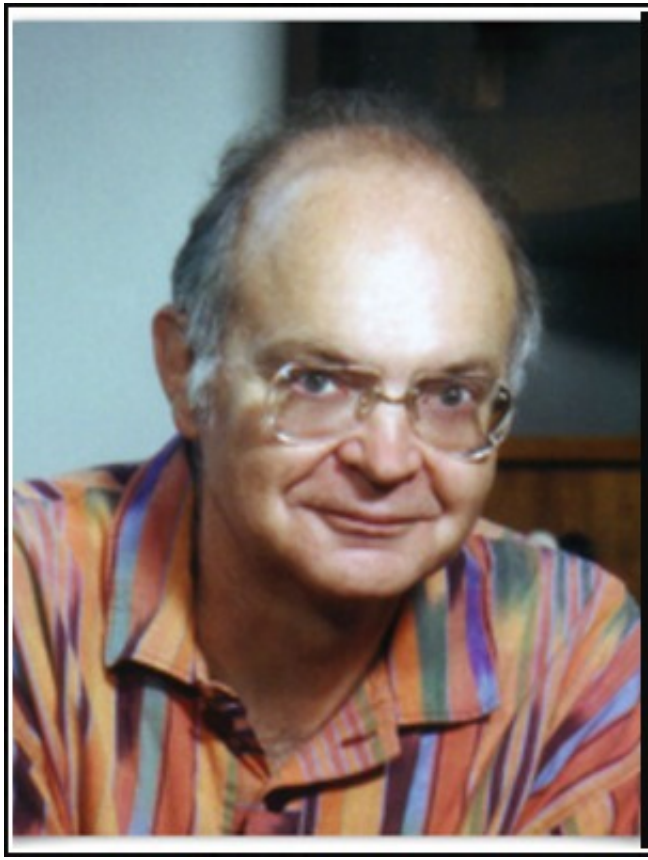
Part I

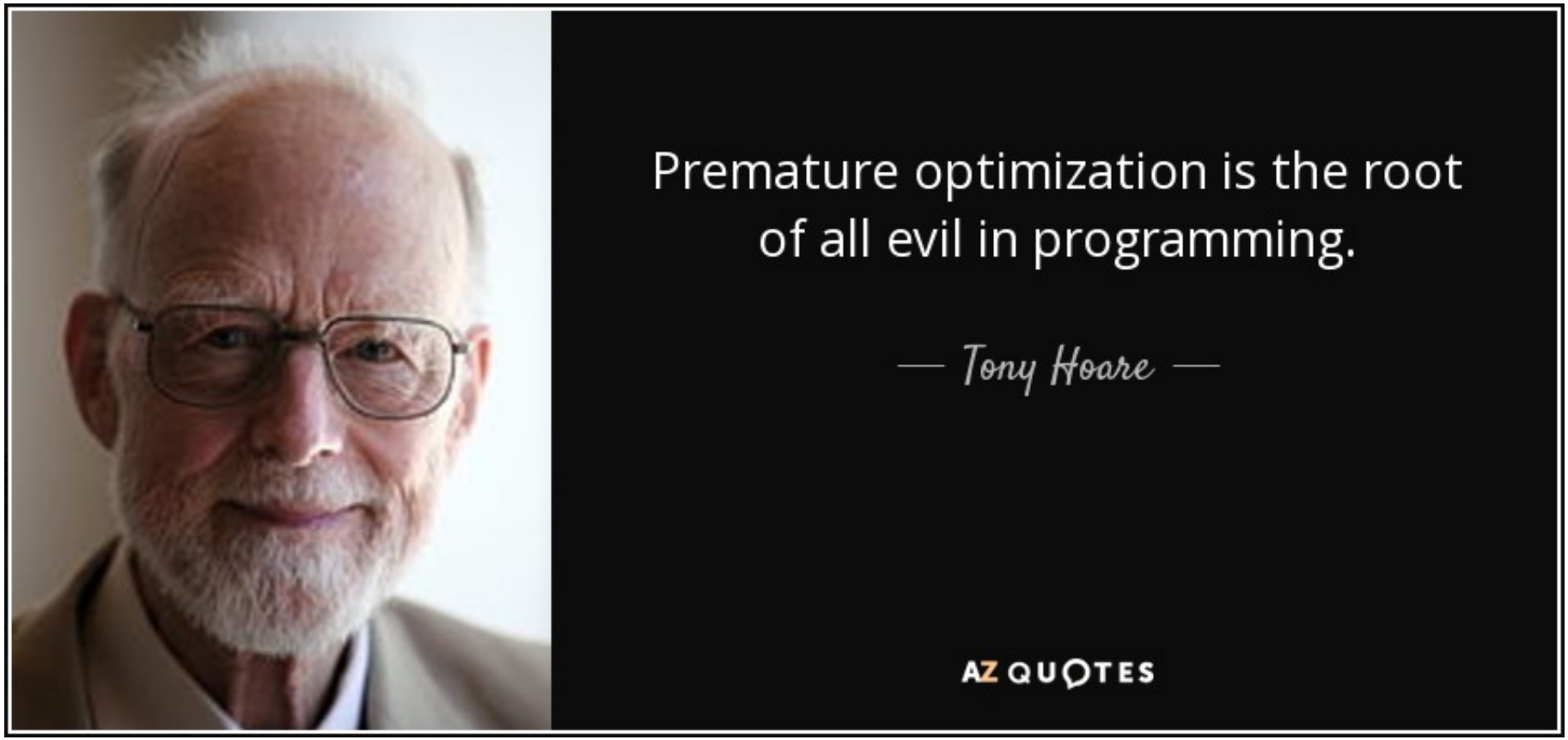
Efficiency and O-notation



Premature optimization is the root of all evil.

— Donald Knuth —

AZ QUOTES


Premature optimization is the root of all evil in programming.
— Tony Hoare —
AZ QUOTES

Left vs. Right

Let $xss = [xs_1, \ldots, xs_m]$ consist of m lists each of length n.

Associated to the left, `foldl (++) [] xss`.

$$((([] \mathbin{++} xs_1) \mathbin{++} xs_2) \mathbin{++} xs_3) \cdots \mathbin{++} xs_m$$

Number of steps

$$\underbrace{0 + n + 2n + 3n + \ldots + (m-1)n}_{m \text{ times}} = O(m^2 n)$$

Associated to the right, `foldr (++) [] xss`.

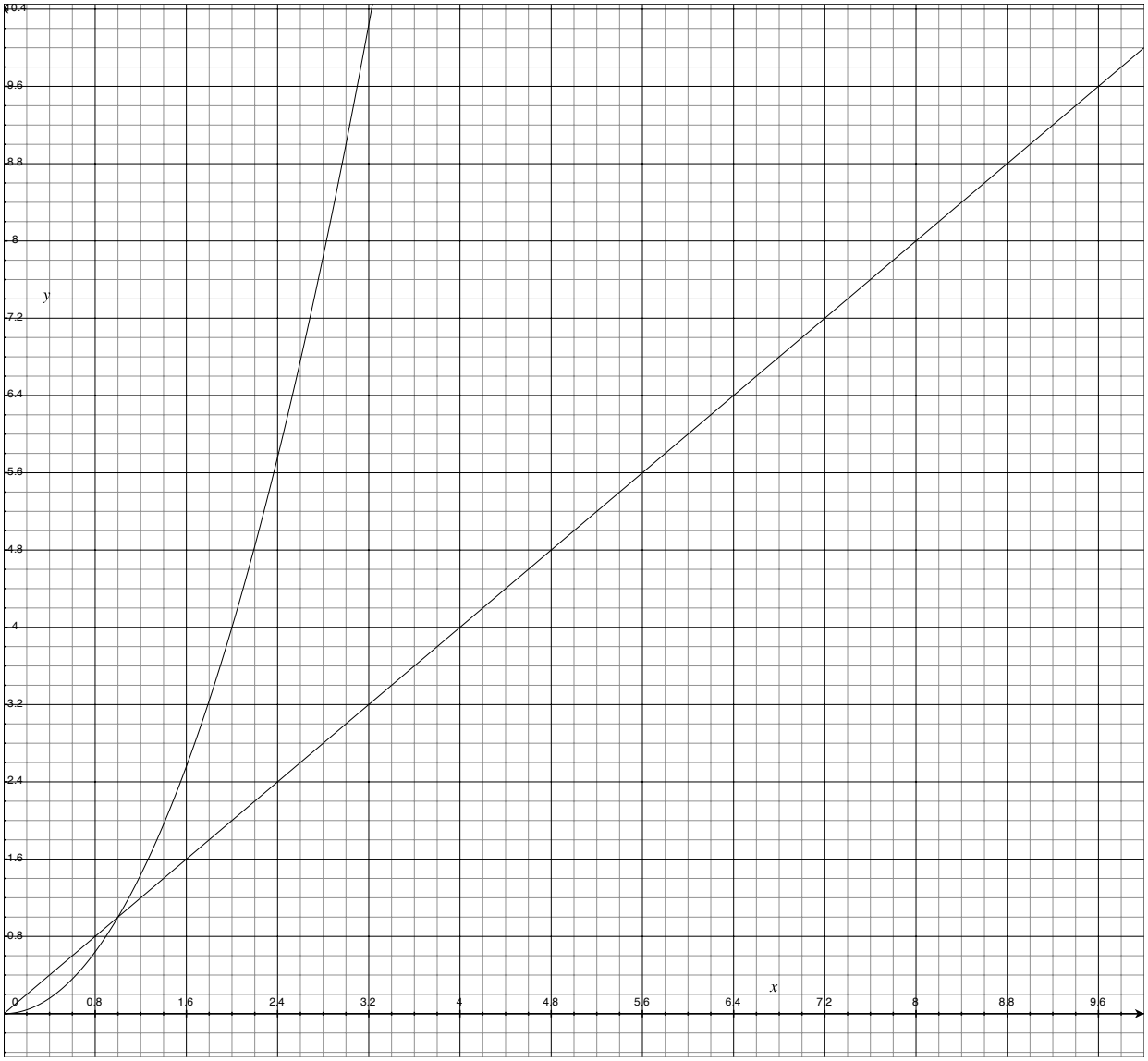
$$xs_1 \mathbin{++} \cdots (xs_{m-2} \mathbin{++} (xs_{m-1} \mathbin{++} (xs_m \mathbin{++} [])))$$

Number of steps

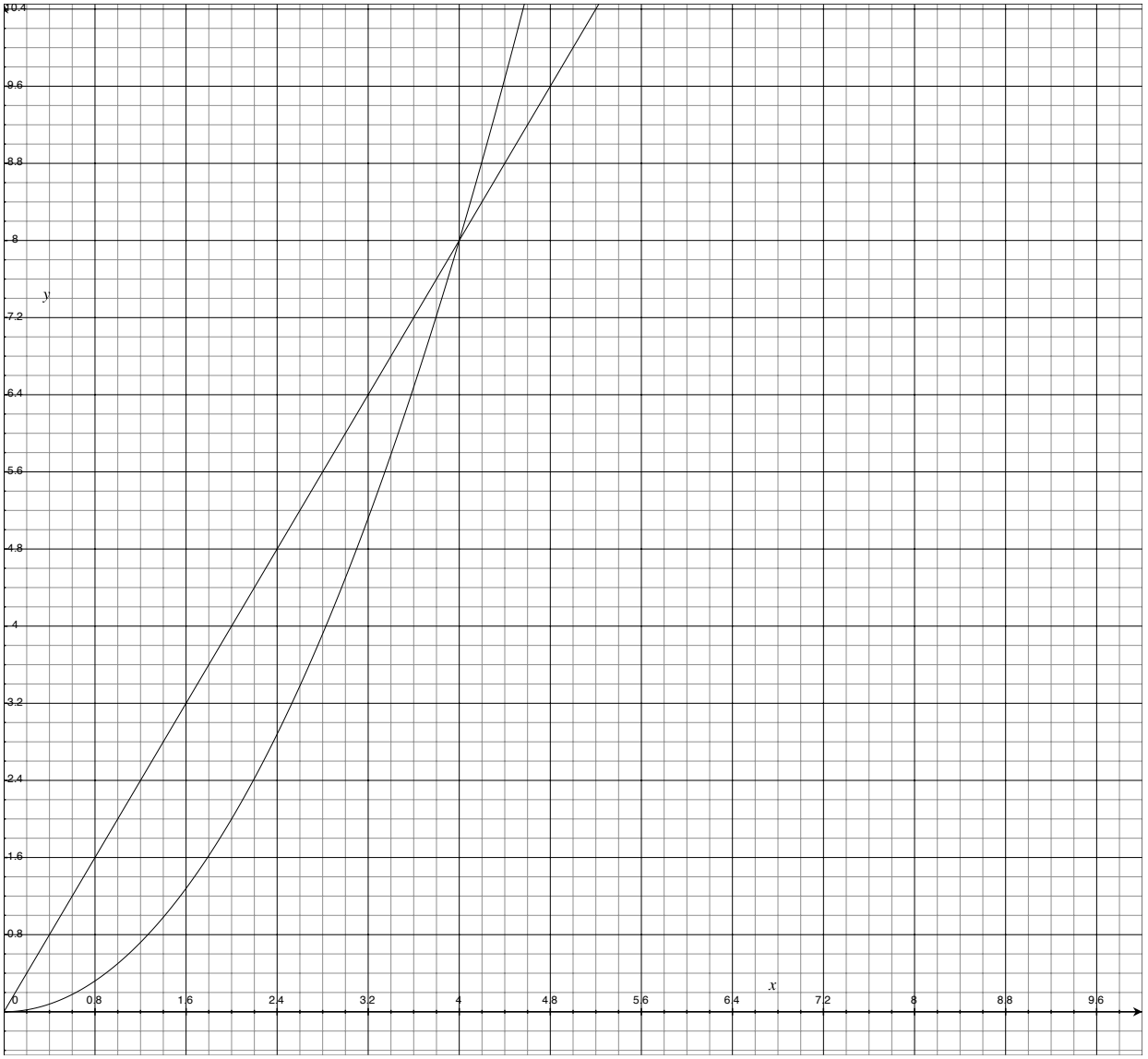
$$\underbrace{n + n + n + \cdots + n}_{m \text{ times}} = O(mn)$$

steps. When $m = 1000$, the first takes a thousand times as long as the second!

$t = n$ vs $t = n^2$


10.4
9.6
8.8
8
y
7.2
6.4
5.6
4.8
4
3.2
2.4
1.6
0.8
0
0.8
1.6
2.4
3.2
4
4.8
5.6
6.4
7.2
8
8.8
9.6
x

$t = 2n$ vs $t = 0.5n^2$


10.4
9.6
8.8
8
y
7.2
6.4
5.6
4.8
4
3.2
2.4
1.6
0.8
x
0
0.8
1.6
2.4
3.2
4
4.8
5.6
6.4
7.2
8
8.8
9.6

Big-O notation

Definition *We say f is $O(g)$ when g is an upper bound for f, for big enough inputs. To be precise, f is $O(g)$ if there are constants c and m such that $f(n) \leq cg(n)$ for all $n \geq m$.*

For instance: $2n + 10$ is $O(n)$ because $2n + 10 \leq 4n$ for all $n \geq 5$.

(We should write $(\lambda n.2n + 10)$ is $O(\lambda n.n)$, but the imprecise notation is common, so we use it.)

Big-O notation

Definition *We say f is $O(g)$ when g is an upper bound for f, for big enough inputs. To be precise, f is $O(g)$ if there are constants c and m such that $f(n) \leq cg(n)$ for all $n \geq m$.*

For instance: $2n + 10$ is $O(n)$ because $2n + 10 \leq 4n$ for all $n \geq 5$.

Constant factors don't matter

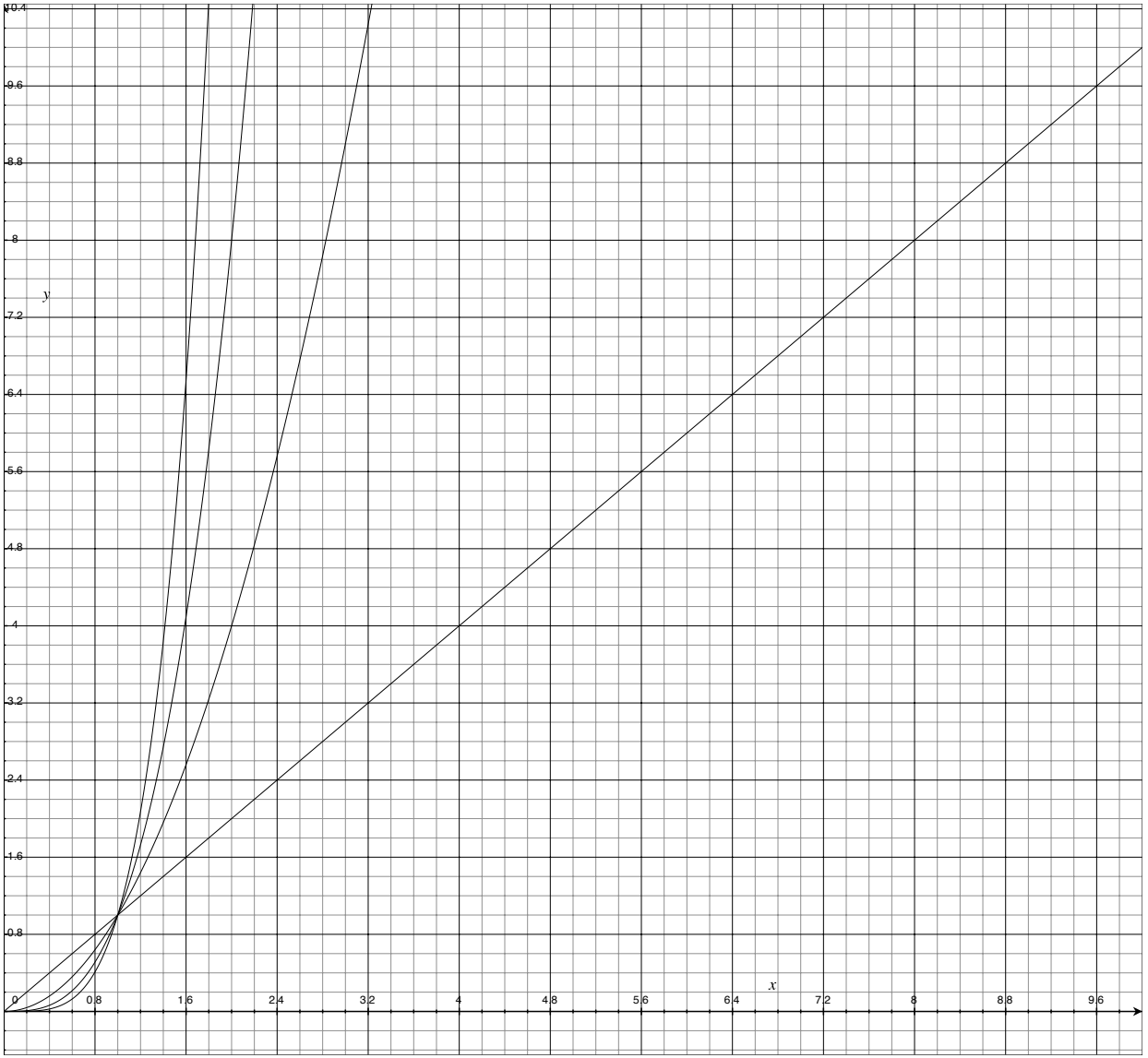
$O(n) = O(an + b)$, for any a and b

$O(n^2) = O(an^2 + bn + c)$, for any a, b, and c

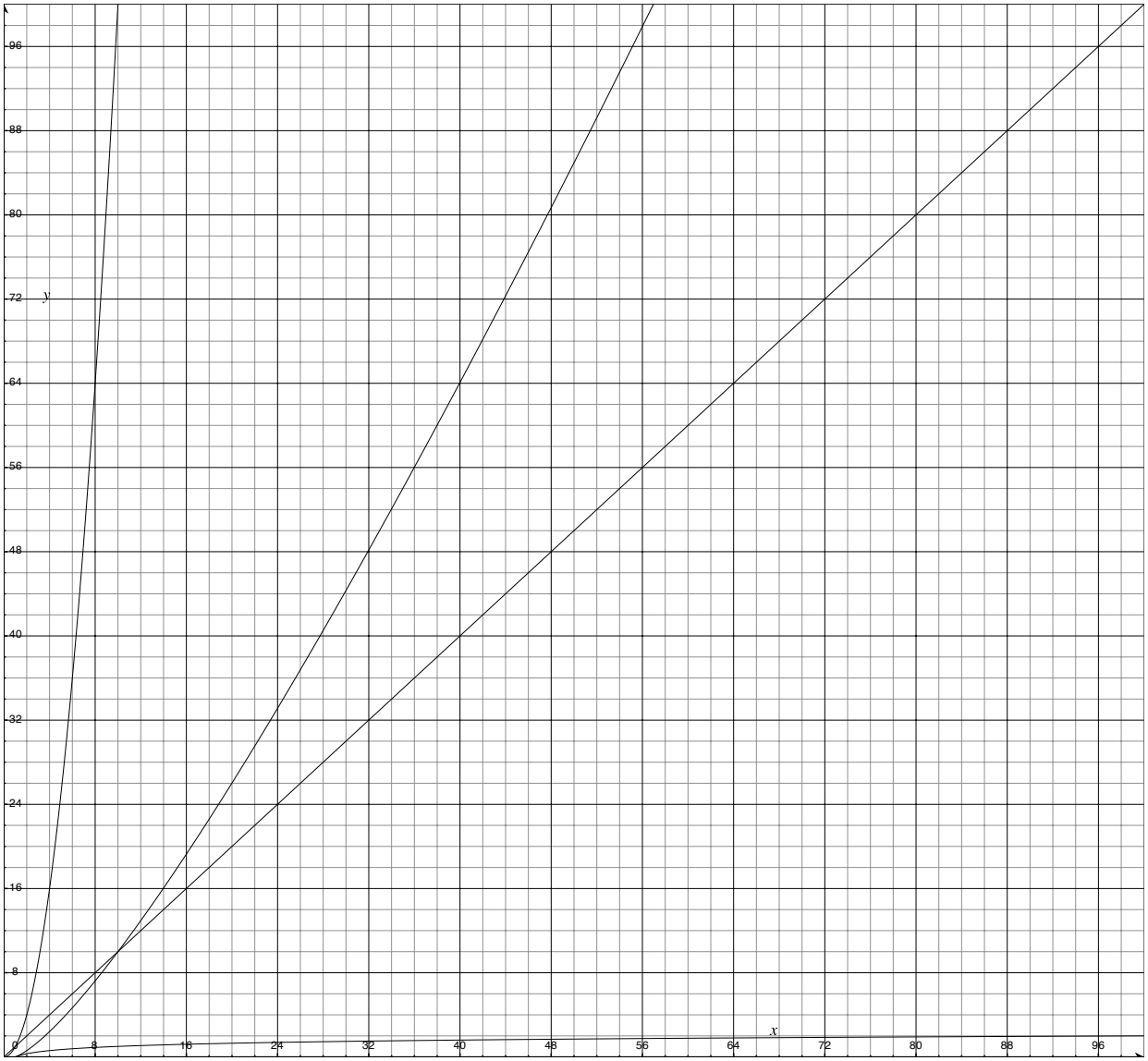
$O(n^3) = O(an^3 + bn^2 + cn + d)$, for any a, b, c, and d

$O(log_2(n)) = O(log_{10}(n))$

$$O(n), O(n^2), O(n^3), O(n^4)$$



$O(\log n), O(n), O(n \log n), O(2^n)$



$O(\log n), O(n), O(n \log n), O(2^n)$

The worst-case complexity of an algorithm is $O(f)$ when:

$$(\lambda n.\, \max \{\text{cost of running on input } x | \text{size} x \leq n\}) \text{ is } O(f)$$

$O(\log n)$ "logarithmic": divide and conquer search algorithms

$O(n)$ "linear": normal list search algorithms

$O(n \log n)$: sorting algorithms

$O(2^n)$ "exponential": tautology or satisfiability checking

We will sometimes talk about 'average-case' complexity by restricting x to be 'typical', when the worst case only happens for specific, 'a-typical', inputs.

Example:
quicksort is $O(n^2)$ if the list is nearly sorted (a-typical case)
quicksort is $O(n \log n)$ in other cases

Part II

Sets as lists

List.hs (1)

```
module List
  (Set,empty,insert,set,element,equal) where
import Test.QuickCheck

type Set a = [a]

empty :: Set a
empty =  []

insert :: a -> Set a -> Set a
insert x xs  =  x:xs

set :: [a] -> Set a
set xs  =  xs
```

List.hs (2)

```
element :: Eq a => a -> Set a -> Bool
x `element` xs  =  x `elem` xs

equal :: Eq a => Set a -> Set a -> Bool
xs `equal` ys  =  xs `subset` ys && ys `subset` xs
  where
  xs `subset` ys  =  and [ x `elem` ys | x <- xs ]
```

List.hs (3)

```
prop_element :: [Int] -> Bool
prop_element ys  =
  and [ x `element` oddsSet == odd x | x <- ys ]
  where
  oddsSet :: Set Int
  oddsSet = set [ x | x <- ys, odd x ]

check =
  quickCheck prop_element

-- Prelude List> check
-- +++ OK, passed 100 tests.
```

For complexity purposes, the size of a list is its length.

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Functional Programming Lecture 13

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Part III

2025 Inf1A FP Competition

2025 Inf1A FP Competition

- Prizes: Amazon vouchers. And glory!
- Number of prizes depend on number and quality of entries.
- Write a Haskell program with interesting graphics. Be creative!
- Some entries from a previous year are online:
  `https://homepages.inf.ed.ac.uk/wadler/fp-competition-2019/`
- Sponsored by Galois (`galois.com`)
- Submit code and image(s), list everyone who contributed, explain how to run. (Using process similar to tutorial submission — details to come.)
- Submission deadline: **noon, Monday 17 November**
- Prizes awarded: **2pm Tuesday 25 November**

Recap

We say f is $O(g)$ when g is an upper bound for f, for big enough inputs. To be precise, f is $O(g)$ if there are constants c and m such that $f(n) \leq cg(n)$ for all $n \geq m$.

The worst-case complexity of an algorithm is $O(f)$ when:

$$(\lambda n.\, \max \{\text{cost of running on input } x | \text{size} x \leq n\}) \text{ is } O(f)$$

The `Set` API

- `List.hs` implementation

Part IV

Sets as *ordered* lists

OrderedList.hs (1)

```
module OrderedList
  (Set,empty,insert,set,element,equal) where

import Data.List(nub,sort)
import Test.QuickCheck

type Set a = [a]

-- invariant [1,2,3] == True
-- invariant [3,1,2] == False
-- invariant [3,2,1] == False

invariant :: Ord a => Set a -> Bool
invariant xs  =
  and [ x < y | (x,y) <- zip xs (tail xs) ]
```

OrderedList.hs (2)

```
empty :: Set a
empty =  []

insert :: Ord a => a -> Set a -> Set a
insert x []                 =  [x]
insert x (y:ys) | x < y     =  x : y : ys
                | x == y    =  y : ys
                | x > y     =  y : insert x ys

set :: Ord a => [a] -> Set a
set xs  =  nub (sort xs)
```

OrderedList.hs (3)

```
element :: Ord a => a -> Set a -> Bool
x `element` []                  =  False
x `element` (y:ys) | x < y      =  False
                   | x == y     =  True
                   | x > y      =  x `element` ys

equal :: Eq a => Set a -> Set a -> Bool
xs `equal` ys  =  xs == ys
```

OrderedList.hs (4)

```
prop_invariant :: [Int] -> Bool
prop_invariant xs  =  invariant s
  where
  s = set xs

prop_element :: [Int] -> Bool
prop_element ys  =
  and [ x `element` oddsSet == odd x | x <- ys ]
  where
  oddsSet :: Set Int
  oddsSet = set [ x | x <- ys, odd x ]

check =
  quickCheck prop_invariant >>
  quickCheck prop_element
```

```
Prelude OrderedList> check
+++ OK, passed 100 tests.
+++ OK, passed 100 tests.
```

NB: For complexity purposes, the size of a list is its length.

Part V

Sets as ordered trees

Tree.hs (1)

```
module Tree
  (Set(Nil,Node),empty,insert,set,element,equal) where
import Test.QuickCheck

data  Set a  =  Nil | Node (Set a) a (Set a)

ex1 :: Set Int
ex1 = Node (Node Nil 1 Nil) 2 (Node (Node Nil 3 Nil) 4 Nil)

list :: Set a -> [a]
list Nil            =  []
list (Node l x r)   =  list l ++ [x] ++ list r

invariant :: Ord a => Set a -> Bool
invariant Nil    =  True
invariant (Node l x r)  =
  invariant l && invariant r &&
  and [ y < x | y <- list l ] &&
  and [ y > x | y <- list r ]
```

Tree.hs (2)

```
empty :: Set a
empty  =  Nil

insert :: Ord a => a -> Set a -> Set a
insert x Nil  =  Node Nil x Nil
insert x (Node l y r)
  | x == y     =  Node l y r
  | x < y      =  Node (insert x l) y r
  | x > y      =  Node l y (insert x r)

set :: Ord a => [a] -> Set a
set  =  foldr insert empty

ex2 :: Set Int
ex2 = set [3,1,4,2]
```

Tree.hs (3)

```
element :: Ord a => a -> Set a -> Bool
x `element` Nil  =  False
x `element` (Node l y r)
   | x == y      =  True
   | x < y       =  x `element` l
   | x > y       =  x `element` r

equal :: Ord a => Set a -> Set a -> Bool
s `equal` t  =  list s == list t
```

Tree.hs (4)

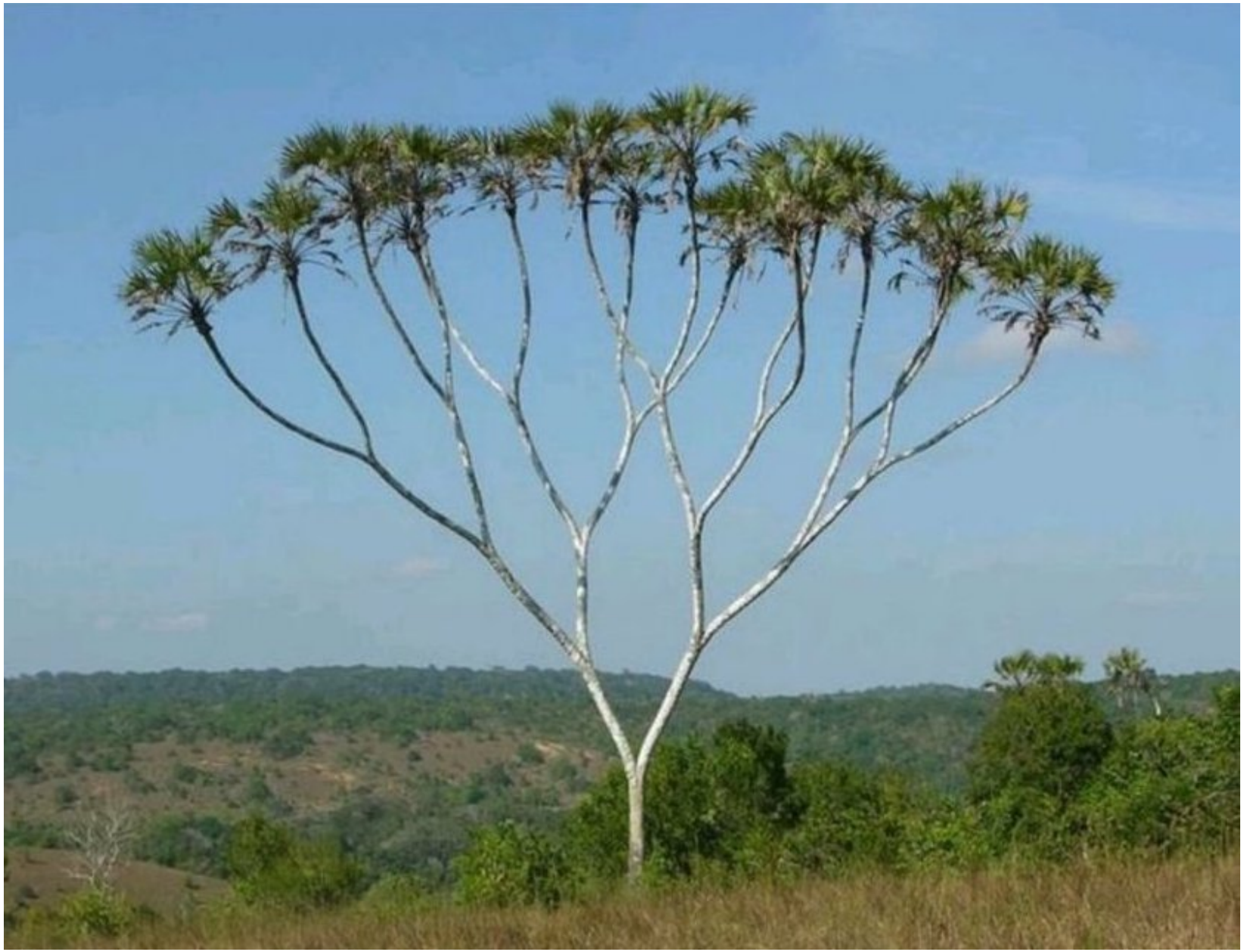
```
prop_invariant :: [Int] -> Bool
prop_invariant xs  =  invariant s
  where
  s = set xs

prop_element :: [Int] -> Bool
prop_element ys  =
  and [ x `element` oddsSet == odd x | x <- ys ]
  where
  oddsSet :: Set Int
  oddsSet = set [ x | x <- ys, odd x ]

check =
  quickCheck prop_invariant >>
  quickCheck prop_element

-- Prelude Tree> check
-- +++ OK, passed 100 tests.
-- +++ OK, passed 100 tests.
```

The size of a tree is its number of nodes. It will be useful to also define its depth.

Part VI

Sets as *balanced* trees

A balanced binary tree in real life



A balanced binary tree, Computer Science version

BalancedTree.hs (1)

```
module BalancedTree
  (Set(Nil,Node),empty,insert,set,element,equal) where
import Test.QuickCheck

type  Depth  =  Int
data  Set a  =  Nil | Node (Set a) a (Set a) Depth

node :: Set a -> a -> Set a -> Set a
node l x r  =  Node l x r (1 + (depth l `max` depth r))

depth :: Set a -> Int
depth Nil  =  0
depth (Node _ _ _ d) = d
```

BalancedTree.hs (2)

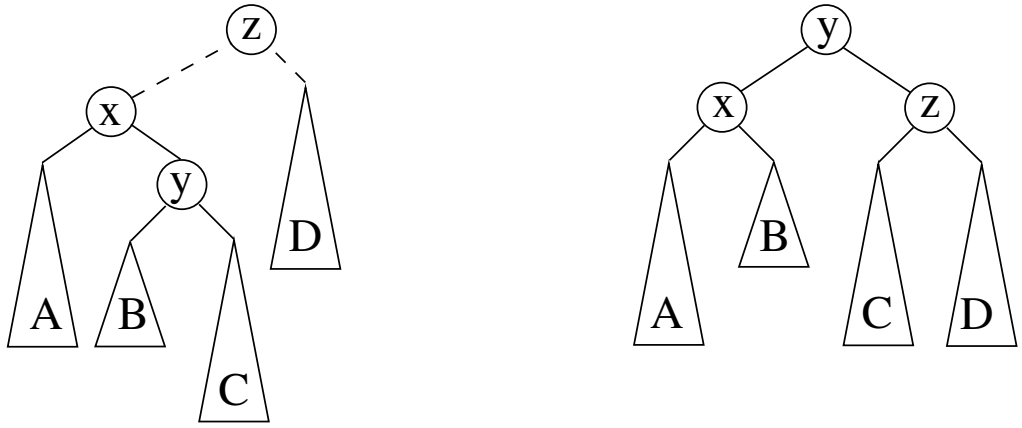
```
list :: Set a -> [a]
list Nil              =  []
list (Node l x r _)   =  list l ++ [x] ++ list r

invariant :: Ord a => Set a -> Bool
invariant Nil    =  True
invariant (Node l x r d)  =
  invariant l && invariant r &&
  and [ y < x | y <- list l ] &&
  and [ y > x | y <- list r ] &&
  abs (depth l - depth r) <= 1 &&
  d == 1 + (depth l `max` depth r)
```

BalancedTree.hs (3)

```
empty :: Set a
empty  =  Nil

insert :: Ord a => a -> Set a -> Set a
insert x Nil  =  node empty x empty
insert x (Node l y r _)
  | x == y     =  node l y r
  | x < y      =  rebalance (node (insert x l) y r)
  | x > y      =  rebalance (node l y (insert x r))

set :: Ord a => [a] -> Set a
set  =  foldr insert empty
```

Rebalancing


```
Node (Node a x b) y c   -->   Node a x (Node b y c)
```




```
Node (Node a x (Node b y c) z d)
                --> Node (Node a x b) y (Node c z d)
```

BalancedTree.hs (4)

```
rebalance :: Set a -> Set a
rebalance (Node (Node a x b _) y c _)
  | depth a >= depth b && depth a > depth c
  = node a x (node b y c)
rebalance (Node a x (Node b y c _) _)
  | depth c >= depth b && depth c > depth a
  = node (node a x b) y c
rebalance (Node (Node a x (Node b y c _) _) z d _)
  | depth (node b y c) > depth d
  = node (node a x b) y (node c z d)
rebalance (Node a x (Node (Node b y c _) z d _) _)
  | depth (node b y c) > depth a
  = node (node a x b) y (node c z d)
rebalance a  =  a
```

BalancedTree.hs (5)

```
element :: Ord a => a -> Set a -> Bool
x `element` Nil  =  False
x `element` (Node l y r _)
  | x == y      =  True
  | x < y       =  x `element` l
  | x > y       =  x `element` r

equal :: Ord a => Set a -> Set a -> Bool
s `equal` t  =  list s == list t
```

BalancedTree.hs (6)

```
prop_invariant :: [Int] -> Bool
prop_invariant xs  =  invariant s
  where
  s = set xs

prop_element :: [Int] -> Bool
prop_element ys  =
  and [ x `element` oddsSet == odd x | x <- ys ]
  where
  oddsSet :: Set Int
  oddsSet = set [ x | x <- ys, odd x ]

check =
  quickCheck prop_invariant >>
  quickCheck prop_element

-- Prelude BalancedTree> check
-- +++ OK, passed 100 tests.
-- +++ OK, passed 100 tests.
```

Part VII

Complexity, revisited

Summary

	`insert`	`set`	`element`	`equal`
`List`	$O(1)$	$O(1)$	$O(n)$	$O(n^2)$
`OrderedList`	$O(n)$	$O(n \log n)$	$O(n)$	$O(n)$
`Tree`	$O(\log n)^*$ $O(n)^\dagger$	$O(n \log n)^*$ $O(n^2)^\dagger$	$O(\log n)^*$ $O(n)^\dagger$	$O(n)$
`BalancedTree`	$O(\log n)$	$O(n \log n)$	$O(\log n)$	$O(n)$

* average case / † worst case

Part VIII

Data Abstraction

Ordered lists: remember the invariant?

```
module OrderedList
  (Set,empty,insert,set,element,equal) where

import Data.List(nub,sort)
import Test.QuickCheck

type Set a = [a]

invariant :: Ord a => Set a -> Bool
invariant xs  =
  and [ x < y | (x,y) <- zip xs (tail xs) ]
```

Ordered lists: breaking the invariant!

```
module OrderedListTest where
import OrderedList

test :: Int -> Bool
test n =
  s `equal` t
  where
  s = set [1,2..n]
  t = set [n,n-1..1]

badtest :: Int -> Bool
badtest n =
  s `equal` t
  where
  s = [1,2..n]      -- no call to set!
  t = [n,n-1..1]    -- no call to set! breaks the invariant!
```

Ordered trees: remember the invariant?

```
module Tree
  (Set(Nil,Node),empty,insert,set,element,equal) where
import Test.QuickCheck

data  Set a  =  Nil | Node (Set a) a (Set a)

list :: Set a -> [a]
list Nil            =  []
list (Node l x r)   =  list l ++ [x] ++ list r

invariant :: Ord a => Set a -> Bool
invariant Nil    =  True
invariant (Node l x r)  =
  invariant l && invariant r &&
  and [ y < x | y <- list l ] &&
  and [ y > x | y <- list r ]
```

Ordered trees: breaking the invariant!

```
module TreeTest where
import Tree

test :: Int -> Bool
test n =
  s `equal` t
  where
  s = set [1,2..n]
  t = set [n,n-1..1]

badtest :: Bool
badtest =
  s `equal` t
  where
  s = set [1,2,3]
  t = Node Nil 1 (Node Nil 3 (Node Nil 2 Nil))
  -- breaks the invariant!
```

Ordered lists: add a hidden constructor!

```
module OrderedListAbs
  (Set,empty,insert,set,element,equal) where

import Data.List(nub,sort)
import Test.QuickCheck

data Set a = MkSet [a]

invariant :: Ord a => Set a -> Bool
invariant (MkSet xs)  =
  and [ x < y | (x,y) <- zip xs (tail xs) ]
```

OrderedListAbs.hs (2)

```
empty :: Set a
empty =  MkSet []

insert :: Ord a => a -> Set a -> Set a
insert x (MkSet ys)  =  MkSet (ins x ys)
  where
  ins x []                  =  [x]
  ins x (y:ys) | x < y      =  x : y : ys
               | x == y     =  y : ys
               | x > y      =  y : ins x ys

set :: Ord a => [a] -> Set a
set xs  =  MkSet (nub (sort xs))
```

OrderedListAbs.hs (3)

```
element :: Ord a => a -> Set a -> Bool
x `element` MkSet ys  =  x `elt` ys
  where
  x `elt` []                  =  False
  x `elt` (y:ys) | x < y      =  False
                 | x == y     =  True
                 | x > y      =  x `elt` ys

equal :: Eq a => Set a -> Set a -> Bool
MkSet xs `equal` MkSet ys  =  xs == ys
```

OrderedListAbs.hs (4)

```
prop_invariant :: [Int] -> Bool
prop_invariant xs  =  invariant s
  where
  s = set xs

prop_element :: [Int] -> Bool
prop_element ys  =
prop_element ys  =
  and [ x `element` oddsSet == odd x | x <- ys ]
  where
  oddsSet :: Set Int
  oddsSet = set [ x | x <- ys, odd x ]

check =
  quickCheck prop_invariant >>
  quickCheck prop_element
```

```
Prelude OrderedListAbs> check
+++ OK, passed 100 tests.
+++ OK, passed 100 tests.
```

Ordered lists: can't break the invariant now!

```
module OrderedListAbsTest where
import OrderedListAbs

badtest :: Int -> Bool
badtest n =
  s `equal` t
  where
  s = [1,2..n]      -- no call to set!
  t = [n,n-1..1]    -- no call to set! breaks the invariant!
```

```
OrderedListAbsTest:7:3: error:
    • Couldn't match expected type 'Set a0' with actual type '[
    • In the first argument of 'equal', namely 's'
      In the expression: s `equal` t

OrderedListAbsTesttest.hs:7:13: error:
    • Couldn't match expected type 'Set a0' with actual type '[
    • In the second argument of 'equal', namely 't'
      In the expression: s `equal` t
```

Ordered lists: can't break the invariant now! (2)

```
module OrderedListAbsTest where
import OrderedListAbs

badtest :: Int -> Bool
badtest n =
  s `equal` t
  where
  s = MkSet [1,2..n]
  t = MkSet [n,n-1..1]   -- breaks the invariant!
```

```
OrderedListAbsTest.hs:8:7-11: error:
    Data constructor not in scope: MkSet :: [Int] -> Set t0
OrderedListAbsTest.hs:9:7-11: error:
    Data constructor not in scope: MkSet :: [Int] -> Set t0
```

Ordered trees: hide the constructor!

```
module TreeAbs
  (Set,empty,insert,set,element,equal) where
import Test.QuickCheck

data  Set a  =  Nil | Node (Set a) a (Set a)

list :: Set a -> [a]
list Nil            =  []
list (Node l x r)   =  list l ++ [x] ++ list r

invariant :: Ord a => Set a -> Bool
invariant Nil    =  True
invariant (Node l x r)  =
  invariant l && invariant r &&
  and [ y < x | y <- list l ] &&
  and [ y > x | y <- list r ]
```

Ordered trees: can't break the invariant now!

```
module TreeAbsTest where
import TreeAbs

badtest :: Bool
badtest =
  s `equal` t
  where
  s = set [1,2,3]
  t = Node Nil 1 (Node Nil 3 (Node Nil 2 Nil))
  -- breaks the invariant!

TreeAbsTest.hs:9:7-10: error:
    Data constructor not in scope: Node :: t0 -> Integer -> t3
TreeAbsTest.hs:9:13-16: error:
    Data constructor not in scope: Node :: t1 -> Integer -> t2
TreeAbsTest.hs:9:18-20: error:
    Data constructor not in scope: Nil
etc. etc.
```

Hiding—the secret of abstraction

```
module OrderedListAbs(Set,empty,insert,set,element,equal)

$ ghci OrderedListAbs.hs
> let s0 = MkSet [2,7,1,8,2,8]
Not in scope: data constructor `MkSet'
```

VS.

```
module OrderedList(Set(MkSet),empty,insert,element,equal)

$ ghci OrderedList.hs
> let s0 = MkSet [2,7,1,8,2,8]
> invariant s0
False
> 1 `element` s0
False
```

Hiding—the secret of abstraction

```
module TreeAbs(Set,empty,insert,set,element,equal)

$ ghci TreeAbs.hs
> let s0 = Node Nil 1 (Node Nil 3 (Node Nil 2 Nil))
Not in scope: data constructor ‘Node’, ‘Nil’
```

VS.

```
module Tree(Set(Node,Nil),empty,insert,element,equal)

$ ghci TreeUnabs.hs
> let s0 = Node Nil 1 (Node Nil 3 (Node Nil 2 Nil))
> invariant s0
False
> 2 `element` s0
False
```

Preserving the invariant

```
module TreeAbsInvariantTest where
import TreeAbs

prop_invariant_empty = invariant empty

prop_invariant_insert x s =
  invariant s ==> invariant (insert x s)

prop_invariant_set xs = invariant (set xs)

check =
  quickCheck prop_invariant_empty >>
  quickCheck prop_invariant_insert >>
  quickCheck prop_invariant_set

-- Prelude TreeAbsInvariantTest> check
-- +++ OK, passed 1 tests.
-- +++ OK, passed 100 tests.
-- +++ OK, passed 100 tests.
```

It’s mine!