

## Tutorial 3: Solutions

### Problem 1 Entropies of quantum states

Consider the four bipartite states (of systems  $A$  and  $B$ ), whose representations in the computational basis are given by the following density matrices:

$$\rho_1 = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}, \quad \rho_2 = \frac{1}{4} \begin{pmatrix} 1 & 0 & 0 & \sqrt{3} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \sqrt{3} & 0 & 0 & 3 \end{pmatrix}, \quad \rho_3 = \frac{1}{4} \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix},$$

$$\rho_4 = \frac{1}{4} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \rho_5 = \frac{1}{4} \begin{pmatrix} 1 & -1 & 1 & -1 \\ -1 & 1 & -1 & 1 \\ 1 & -1 & 1 & -1 \\ -1 & 1 & -1 & 1 \end{pmatrix}.$$

- (a) For each state, compute the von Neumann entropies  $S(A)$  and  $S(B)$  of the reduced states, as well as the von Neumann entropy  $S(A, B)$  of the whole state.

*Solution.* Let us consider only  $\rho_2$  as an example. Calculations for the other states are similar.

The state  $\rho_2$  can also be written in Dirac notation as

$$\rho_2 = \frac{1}{4} |00\rangle \langle 00| + \frac{\sqrt{3}}{4} |00\rangle \langle 11| + \frac{\sqrt{3}}{4} |11\rangle \langle 00| + \frac{3}{4} |11\rangle \langle 11|.$$

Applying the definition of partial trace, we find the reduced state of each system

$$\begin{aligned} \rho_2^A &= \text{tr}_B \rho_2 \\ &= \frac{1}{4} |0\rangle \langle 0| \langle 0|0\rangle + \frac{\sqrt{3}}{4} |0\rangle \langle 1| \langle 1|0\rangle + \frac{\sqrt{3}}{4} |1\rangle \langle 0| \langle 0|1\rangle + \frac{3}{4} |1\rangle \langle 1| \langle 1|1\rangle \\ &= \frac{1}{4} |0\rangle \langle 0| + \frac{3}{4} |1\rangle \langle 1|, \\ \rho_2^B &= \text{tr}_A \rho_2 \\ &= \frac{1}{4} \langle 0|0\rangle |0\rangle \langle 0| + \frac{\sqrt{3}}{4} \langle 1|0\rangle |0\rangle \langle 1| + \frac{\sqrt{3}}{4} \langle 0|1\rangle |1\rangle \langle 0| + \frac{3}{4} \langle 1|1\rangle |1\rangle \langle 1| \\ &= \frac{1}{4} |0\rangle \langle 0| + \frac{3}{4} |1\rangle \langle 1|. \end{aligned}$$

Computing the von Neumann entropies for these states, we obtain

$$\begin{aligned} S(A) = S(B) &= - \left( \frac{1}{4} \log \frac{1}{4} + \frac{3}{4} \log \frac{3}{4} \right) \\ &= - \left( -\frac{1}{2} + \left[ \frac{3}{4} \log 3 - \frac{3}{2} \right] \right) \\ &= 2 - \frac{3}{4} \log 3 \approx 0.811. \end{aligned}$$

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Diagonalising  $\rho_2$ , we find it has a single nonzero eigenvalue, and this eigenvalue is equal to 1. The von Neumann entropy of the whole state  $\rho_2$  is therefore

$$S(A, B) = -1 \log 1 = 0.$$

Entropies of the other states are in accordance with the following table.

| State    | $S(A)$                                 | $S(B)$                                 | $S(A, B)$ |
|----------|--|--|-----------|
| $\rho_1$ | 1                                      | 1                                      | 0         |
| $\rho_2$ | $2 - \frac{3}{4} \log 3 \approx 0.811$ | $2 - \frac{3}{4} \log 3 \approx 0.811$ | 0         |
| $\rho_3$ | 1                                      | 1                                      | 1         |
| $\rho_4$ | 1                                      | 1                                      | 2         |
| $\rho_5$ | 0                                      | 0                                      | 0         |

- (b) For each state, compute the conditional quantum entropy  $S(A | B) = S(A, B) - S(B)$  and the quantum mutual information  $S(A : B) = S(A) + S(B) - S(A, B)$ .

*Solution.* Inserting the previously calculated entropies into the definitions given for  $S(A | B)$  and  $S(A : B)$  gives the following table.

| State    | $S(A)$                   | $S(B)$                   | $S(A, B)$ | $S(A   B)$               | $S(A : B)$               |
|----------|--------------------------|--------------------------|-----------|--------------------------|--------------------------|
| $\rho_1$ | 1                        | 1                        | 0         | -1                       | 2                        |
| $\rho_2$ | $2 - \frac{3}{4} \log 3$ | $2 - \frac{3}{4} \log 3$ | 0         | $\frac{3}{4} \log 3 - 2$ | $4 - \frac{3}{2} \log 3$ |
| $\rho_3$ | 1                        | 1                        | 1         | 0                        | 1                        |
| $\rho_4$ | 1                        | 1                        | 2         | 1                        | 0                        |
| $\rho_5$ | 0                        | 0                        | 0         | 0                        | 0                        |

- (c) Use the definitions of the tensor product and what you know about projections and pure states in order to rewrite each of the bipartite states in a simplified form. Discuss how these relate to the results obtained in (a) and (b).

*Solution.* Each of the states can be simplified in Dirac notation to be written as

$$\begin{aligned} \rho_1 &= |\Phi^+\rangle\langle\Phi^+|, \\ \rho_2 &= \left( \frac{1}{2} |00\rangle + \frac{\sqrt{3}}{2} |11\rangle \right) \left( \frac{1}{2} \langle 00| + \frac{\sqrt{3}}{2} \langle 11| \right), \\ \rho_3 &= \frac{1}{2} (|++\rangle\langle++| + |--\rangle\langle--|), \\ \rho_4 &= \frac{1}{4} (\mathbf{1} \otimes \mathbf{1}), \\ \rho_5 &= |+\rangle\langle+| \otimes |-\rangle\langle-|. \end{aligned}$$

The state  $\rho_1$  is actually one of the Bell states, which confirms that  $\rho_1$  is pure and  $S(A, B)$  is zero. Notice that the conditional entropy of  $\rho_1$  is negative. As opposed to classical probability distribution, where the conditional entropy is always positive,

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quantum states can have a negative conditional entropy, which is a clear signature of the non-classicality of the state. Observe also that the mutual information for  $\rho_4$  is equal to 2. This is impossible classically, and is related to the fact that it is possible to communicate 2 bits of classical information by transmitting only a single qubit: so-called “superdense coding”. Remark that the state  $\rho_3$  is equivalent to a perfectly correlated coin in the  $|\pm\rangle$  basis, whereas  $\rho_4$  is equivalent to two uncorrelated unbiased coins in the computational basis. Finally,  $\rho_5$  corresponds to the tensor product of two uncorrelated local pure states.

### Problem 2

- (a) Compute the secret key rate  $R$  of a QKD protocol given the probability that the sent qubits are detected is  $Q = 1/3$ , the error as a result of classical post-processing is  $\xi = 1/3$ , the penalty for using Holevo quantities is  $\Delta(n, \epsilon) = 1/10$ , and given the following von Neumann entropies:

$$S(\rho^A) = \frac{1}{3}, \quad S(\rho^B) = \frac{1}{4}, \quad S(\rho^{AB}) = \frac{1}{12}, \quad S(\rho^E) = \frac{1}{5}, \quad S(\rho^{AE}) = \frac{7}{15}.$$

*Solution.* We compute the secret key rate using the general formula

$$R = \frac{Q}{2}(\xi \cdot H(A : B) - S(A : E) - \Delta(n, \epsilon)).$$

We first compute the mutual information quantities

$$\begin{aligned} H(A : B) &= S(\rho^A) + S(\rho^B) - S(\rho^{AB}), \\ S(A : E) &= S(\rho^A) + S(\rho^E) - S(\rho^{AE}). \end{aligned}$$

In our case we obtain

$$\begin{aligned} H(A : B) &= \frac{1}{3} + \frac{1}{4} - \frac{1}{12} = \frac{1}{2}, \\ S(A : E) &= \frac{1}{3} + \frac{1}{5} - \frac{7}{15} = \frac{1}{15}. \end{aligned}$$

Therefore, we obtain

$$R = \frac{1}{2} \cdot \frac{1}{3} \left( \frac{1}{3} \cdot \frac{1}{2} - \frac{1}{15} - \frac{1}{10} \right) = 0.$$

- (b) What is the secret key rate if the QKD protocol in use is BB84 and we instead assume perfect detection, no finite-size effects, ideal classical post-processing, an average error in the  $\{|0\rangle, |1\rangle\}$  basis of  $e_b = 1/16$ , and an average error in the  $\{|+\rangle, |-\rangle\}$  basis of  $e_p = 1/8$ ?

*Solution.* We compute the secret key rate using the simplified formula for the BB84 protocol:

$$R_{BB84} = \frac{1}{2}(1 - h(e_b) - h(e_p)).$$

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We first compute the binary entropy quantities

$$\begin{aligned} h(e_b) &= -e_b \log_2(e_b) - (1 - e_b) \log_2(1 - e_b) \\ &= -\frac{1}{16} \cdot (-4) - \frac{15}{16} \log_2 \frac{15}{16} \approx 0.337, \\ h(e_p) &= -e_p \log_2 e_p - (1 - e_p) \log_2(1 - e_p) \\ &= -\frac{1}{8} \cdot (-3) - \frac{7}{8} \log_2 \frac{7}{8} \approx 0.544. \end{aligned}$$

Therefore, we obtain

$$R_{BB84} \approx \frac{1}{2}(1 - 0.337 - 0.544) = 0.060.$$

### Problem 3

Alice sends to Bob one out of two possible states, depending on the outcome of tossing a fair coin. If the outcome is heads, then Alice sends  $\rho_H = \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$ . If the outcome is tails, then Alice sends  $|1\rangle$ . Using the Holevo bound, determine an upper bound on the accessible information that Bob can obtain.

*Solution.* Alice prepares  $\rho_H = \frac{1}{2} |0\rangle\langle 0| + \frac{1}{2} |1\rangle\langle 1|$  with probability  $p_H = 1/2$  and  $\rho_T = |1\rangle\langle 1|$  with probability  $p_T = 1/2$ . Bob's state is then

$$\begin{aligned} \rho &= p_H \rho_H + p_T \rho_T \\ &= \frac{1}{2} |1\rangle\langle 1| + \frac{1}{4} |0\rangle\langle 0| + \frac{1}{4} |1\rangle\langle 1| \\ &= \frac{1}{4} |0\rangle\langle 0| + \frac{3}{4} |1\rangle\langle 1| \\ &= \frac{1}{4} \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix}. \end{aligned}$$

The accessible information by Bob is bounded by the Holevo quantity

$$I_{\text{acc}}(X : Y) \leq S(\rho) - p_H S(\rho_H) - p_T S(\rho_T).$$

We first determine  $S(\rho)$ . We compute the eigenvalues of  $\rho$  by solving

$$0 = \det(\rho - \lambda I) = \begin{vmatrix} \frac{1}{4} - \lambda & 0 \\ 0 & \frac{3}{4} - \lambda \end{vmatrix} = \left(\frac{1}{4} - \lambda\right) \left(\frac{3}{4} - \lambda\right).$$

Thus  $\lambda_1 = 1/4$  and  $\lambda_2 = 3/4$ . The von Neumann entropy of  $\rho$  is then

$$S(\rho) = -\lambda_1 \log_2 \lambda_1 - \lambda_2 \log_2 \lambda_2 = -\frac{1}{4} \cdot (-2) - \frac{3}{4} \log_2 \frac{3}{4} \approx 0.811.$$

For  $\rho_H$ , note that this is the maximally mixed qubit state, which gives the maximum value for the von Neumann entropy  $S(\rho_H) = \log_2 2 = 1$ . For  $\rho_T$ , since it is a pure state, we know that  $S(\rho_T) = 0$ . Finally, the Holevo bound gives us

$$I_{\text{acc}}(X : Y) \leq -\frac{3}{4} \log_2 \frac{3}{4} \approx 0.311.$$

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### Problem 4

- (a) Consider a secret bit string (random variable)  $X$  with outcomes in  $\{0, 1\}^{15}$  and a 2-universal family of hash functions  $H = \{h_i\}_i$ , where  $h_i = h(i, \cdot)$  with  $h: \mathcal{S} \times \{0, 1\}^{15} \rightarrow \{0, 1\}^3$ . Using the leftover hash lemma, determine the maximum number of allowed leaked bits  $t$  of  $X$  such that, after using privacy amplification with the family of functions  $H$ , we produce a bit string that is  $\varepsilon$ -close to uniformly distributed in statistical distance, where  $\varepsilon = 2^{-4}$ . That is, such that  $\delta[(h_i(x), i), (u, i)] \leq 2^{-4}$ .

*Solution.* Using the leftover hash lemma, we know that if we satisfy the condition

$$m \leq n - t - 2 \log_2 \frac{1}{\varepsilon},$$

then we have

$$\delta[(h_i(x), i), (u, i)] \leq \varepsilon.$$

In our case, in which  $m = 3$ ,  $n = 15$ , and  $\varepsilon = 2^{-4}$ , the condition becomes

$$t \leq n - m - 2 \log_2 \frac{1}{\varepsilon} = 15 - 3 - 2 \cdot 4 = 4.$$

- (b) Prove that the family of functions  $H = \{h_{a,b}\}_{a,b}$  is 2-universal, where  $h_{a,b}: \mathbb{Z}_p \rightarrow \mathbb{Z}_p$  for  $p$  prime and  $(a, b) \in \mathbb{Z}_p \times \mathbb{Z}_p$  is defined by

$$h_{a,b}(x) \equiv ax + b \pmod{p}.$$

*Solution.* Consider two distinct inputs  $x_1, x_2 \in \mathbb{Z}_p$ . For any two possible outputs  $t_1, t_2 \in \mathbb{Z}_p$ , we first want to compute the probability that both

$$\begin{aligned} h_{a,b}(x_1) &\equiv t_1 \pmod{p}, \\ h_{a,b}(x_2) &\equiv t_2 \pmod{p}. \end{aligned}$$

Substituting the definition of  $h_{a,b}$ , these are equivalent to

$$\begin{aligned} ax_1 + b &\equiv t_1 \pmod{p}, \\ ax_2 + b &\equiv t_2 \pmod{p}. \end{aligned}$$

Subtracting these relations, we get

$$a(x_2 - x_1) \equiv t_2 - t_1 \pmod{p}.$$

Since  $x_2 \neq x_1$  (and so  $x_2 - x_1 \neq 0$ ) and  $p$  is prime, we know  $x_2 - x_1$  has a modular multiplicative inverse denoted  $(x_2 - x_1)^{-1}$ , and thus

$$a \equiv (t_2 - t_1)(x_2 - x_1)^{-1} \pmod{p}.$$

Using this  $a$ , we can find  $b$  by rearranging either of the initial relations. For example, using the first relation,

$$b \equiv t_1 - ax_1 \pmod{p}.$$

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We have now constructed a unique key  $(a, b)$  such that  $h_{a,b}(x_1) \equiv t_1$  and  $h_{a,b}(x_2) \equiv t_2$ . Thus, we have the probability

$$\Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [h_{a,b}(x_1) \equiv t_1 \wedge h_{a,b}(x_2) \equiv t_2] = \frac{1}{p^2}.$$

Regarding the uniformity property, we need to prove that for a fixed  $x \in \mathbb{Z}_p$  and for  $(a, b)$  sampled at random from  $\mathbb{Z}_p \times \mathbb{Z}_p$ ,

$$\Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [h_{a,b}(x) \equiv t] = \frac{1}{p}.$$

for all outputs  $t$ . Substituting the definition of  $h_{a,b}$ , this is equivalent to

$$\Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [ax + b \equiv t] = \frac{1}{p}.$$

We can see that for any possible value of  $a \in \mathbb{Z}_p$ , there exists a unique  $b$  such that  $ax + b \equiv t$ , namely  $b \equiv t - ax$ . Therefore,

$$\Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [ax + b \equiv t] = \frac{p}{p^2} = \frac{1}{p}.$$

Finally, if we combine the uniformity property with the first property above, we obtain the pairwise independence condition

$$\begin{aligned} \Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [h_{a,b}(x_1) \equiv t_1 \wedge h_{a,b}(x_2) \equiv t_2] &= \frac{1}{p^2} \\ &= \frac{1}{p} \cdot \frac{1}{p} \\ &= \Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [h_{a,b}(x_1) \equiv t_1] \cdot \Pr_{(a,b) \in \mathbb{Z}_p \times \mathbb{Z}_p} [h_{a,b}(x_2) \equiv t_2]. \end{aligned}$$

### Problem 5

Compute the secret key rate  $R_6$  for the 6-state protocol given that the quantum bit error rate (QBER) is  $D'_a = 1/8$ .

*Solution.* We use the secret key rate formula specific to the 6-state protocol:

$$R_6 = \frac{1}{3} \left[ 1 + 3 \frac{D'_a}{2} \log_2 \frac{D'_a}{2} + \left( 1 - \frac{3D'_a}{2} \right) \log_2 \left( 1 - \frac{3D'_a}{2} \right) \right].$$

Therefore, we obtain

$$R_6 = \frac{1}{3} \left[ 1 + 3 \cdot \frac{1}{16} \cdot (-4) + \frac{13}{16} \log_2 \frac{13}{16} \right] \approx 0.0022.$$