

## Randomized Algorithms Tutorial Sheet 4

1. (This is Question 6.1 in the course textbook [MU].)

Consider the following problem: we are given as input a  $k$ -CNF boolean formula with  $m$  clauses, over  $n$  boolean variables  $x_1, \dots, x_n$ , and where every clause has exactly  $k$  literals.

- (a) Give a Las Vegas algorithm that finds a truth assignment to the variables that satisfies at least  $m(1 - 2^{-k})$  clauses. Analyze the expected running time of the algorithm (and in particular show that it runs in expected polynomial time).
  - (b) Give a derandomized algorithm, using the method of conditional expectations.
2. (This is Question 6.3 in the course textbook [MU].)

Given as  $n$ -vertex undirected graph  $G = (V, E)$ , with  $V = \{1, \dots, n\}$ , consider the following randomized method for generating an independent set of vertices in  $G$ . (Recall that an *independent set*  $I \subseteq V$  is a set of vertices no two of which have an edge between them.)

For each vertex  $i \in V$ , let  $d_i \in \mathbb{N}$  denote the *degree* of vertex  $i$  (i.e., the number of edges incident on vertex  $i$ ). For any permutation  $\sigma$  of the vertices  $V$ , (in other words, for any sequentially ordered listing  $\sigma$  of the  $n$  numbers  $\{1, \dots, n\}$ ), let  $S(\sigma) \subseteq V$  be the set of vertices defined as follows:

$$S(\sigma) = \{i \in V \mid \text{every neighbor of } i \text{ in } G \text{ occurs after } i \text{ in the permutation } \sigma \}$$

- (a) Show that  $S(\sigma)$  is an independent set of  $G = (V, E)$ , for any permutation  $\sigma$  of  $V$ .
- (b) Describe a randomized algorithm for generating a u.a.r. random permutation  $\sigma$  of  $V$ . Show that the expected size of  $S(\sigma)$  for such a random permutation  $\sigma$  is given by:

$$\sum_{i=1}^n \frac{1}{d_i + 1}$$

- (c) Use this to prove that  $G$  must have an independent set of size at least  $\sum_{i=1}^n \frac{1}{d_i + 1}$ .
3. In lectures, we only covered the “symmetric” case of the Lovasz Local Lemma, where the probability of all bad events  $E_i$  is upper bounded by the *same* probability  $p$ . We did this because for many applications of the Local Lemma the symmetric case suffices.

Here is a general, asymmetric, form of the Lovasz Local Lemma (described in Section 6.9 of the textbook), which allows different upper bounds on the probability of each bad event  $E_i$ :

**Theorem.** (*Thm 6.17 in the textbook*) Let  $E_1, \dots, E_n$  be a set of events, and let  $G = (V, E)$  be a dependency graph for these events. Suppose there exists  $x_1, \dots, x_n \in (0, 1)$  such that for all  $1 \leq i \leq n$ ,

$$\Pr[E_i] \leq x_i \prod_{(i,j) \in E} (1 - x_j)$$

Then

$$\Pr\left(\bigcap_{i=1}^n \bar{E}_i\right) \geq \prod_{i=1}^n (1 - x_i) > 0. \quad \square$$

Consider a variant of the more basic (symmetric) Lovasz Local Lemma, which we covered in lectures, with the following slight modification:

- replace the condition “ $4dp \leq 1$ ” with the condition “ $e \cdot p(d+1) \leq 1$ ”.

Here  $e = 2.71828\dots$  denotes the base of the natural logarithm.

- Firstly, observe that in cases of a dependency graph with maximum out-degree  $d \geq 3$ , the condition  $e \cdot p(d+1) \leq 1$  is actually the less stringent assumption, meaning it is implied by the assumption  $4dp \leq 1$ .
- Secondly, show that the modified version of the symmetric Lovasz Local Lemma, with the condition  $e \cdot p(d+1) \leq 1$ , can be derived as a special case of the general (asymmetric) Lovasz Local Lemma (Thm 6.17) re-stated above.  
(*Hint.* You may use the following general **Fact**: for any  $y \geq 1$ ,

$$\left(1 - \frac{1}{y+1}\right)^y \geq \frac{1}{e}. \quad )$$

Kousha Etessami