

# Access Control

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INFR11158/11230 Usable Security and Privacy

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THE UNIVERSITY  
*of* EDINBURGH

# Overview

- Warm-up
- Access control basics
- Framework & advice
- Take-home



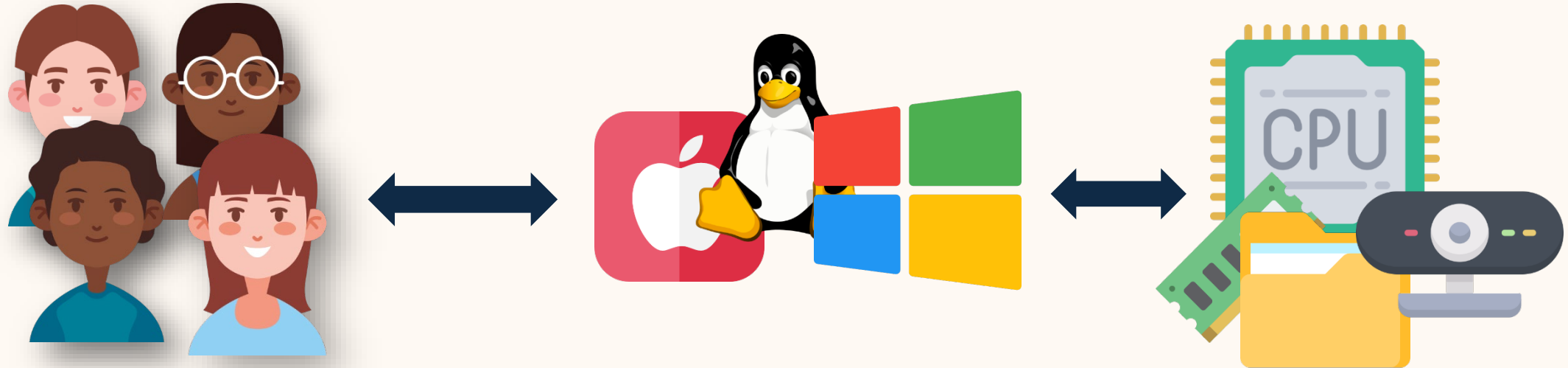
<https://www.youtube.com/watch?v=SdPvatF5UpA>

# What is Access Control?



Can I walk into all these labs?

# What is Access Control?



OS manages many different resources (memory, storage, CPU, network, other sensors, etc.)

**Control who is permitted to access and what they can do with the resources**

# Overview

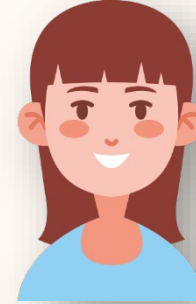
- Modelling access control protection
- Access control mechanisms and policies
- UNIX access control
- Extended reading: smart home access control policies

# Overview

- **Modelling access control protection**
- Access control mechanisms and policies
- UNIX access control
- Extended reading: smart home access control policies

# Subjects and Objects

Subjects/users



/home/jingjie

./research

./teaching

./taxfile

/etc/init.d

./sshd

./xrdp ....

/home/bob

./lectures

./projects

./gitbucket

/home/alice

./Projects

./homework

./Courses

Objects



# Access Control Matrix

Objects (files)

	a	b	c	d	e
jingjie	r,w	-	r,w, own	-	r
bob	-	-	r	r	r,w
alice	w, own	r	r	-	-
eve	r	r,w	r,w	-	r

Subjects  
(users)

Permitted  
operations

[Lampson, Graham, Denning; 1971]

Could be a very huge table to store and access!

# Access Control Matrix: Access Control List Objects (files)

Subjects  
(users)

	a	b	c	d	e
jingjie	r,w	-	r,w, own	-	r
bob	-	-	r	r	r,w
alice	w, own	r	r	-	-
eve	r	r,w	r,w	-	r

Permitted  
operations

Access  
control list  
for File a

[Lampson, Graham, Denning; 1971]

# Access Control List (ACL)

Objects (files)

a	
b	
c	
d	
e	

U1	r,w
U3	-
U4	w, own
U6	r

U1	-
U2	-
U4	r
U5	r,w

U2	-
U3	r
U4	-
U6	-

Column-wise split of access control matrix

# Access Control Matrix: Capabilities

Objects (files)

	a	b	c	d	e
jingjie	r,w	-	r,w, own	-	r
bob	-	-	r	r	r,w
alice	w, own	r	r	-	-
eve	r	r,w	r,w	-	r

**Subjects (users)**

**Permitted operations**

**Capability list for alice**

[Lampson, Graham, Denning; 1971]

# ACL vs. Capabilities



**ACL**



**Capabilities**

# ACL vs. Capabilities

## ACL

- Each file contains lists of user ids with their permissions (column in AC matrix)
- Check user/group against ACL
- Relies on authentication
- Inefficient run-time security checking

## Capabilities

- Stores each user's capabilities (row in AC matrix)
- Check validity of capability
- Can be easily passed to other subjects (delegation)
- Hard to change a file's status globally, e.g., revocation

# Overview

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- **Access control mechanisms and policies**
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# Access Control Mechanisms and Policies

## Discretionary Access Control (DAC)

- Access granted based on **identity alone** (no respect to the sensitivity of objects).
  - Any propagation of information is allowed. (Access => Sharing)
  - Windows 98

## Mandatory Access Control (MAC)

- Access granted based on **identity and the sensitivity** of the object.
  - Sharing or any operation on the resource is restricted by security policies
  - Android (somewhat)

## Role-based Access Control (RBAC)

- Mix of DAC and MAC. Users are assigned to **groups (roles)**, and objects have labels specifying which group can do what to an object.
  - Linux



# Mandatory Access Control

- The security policy has the ultimate control. Users cannot override the policy.



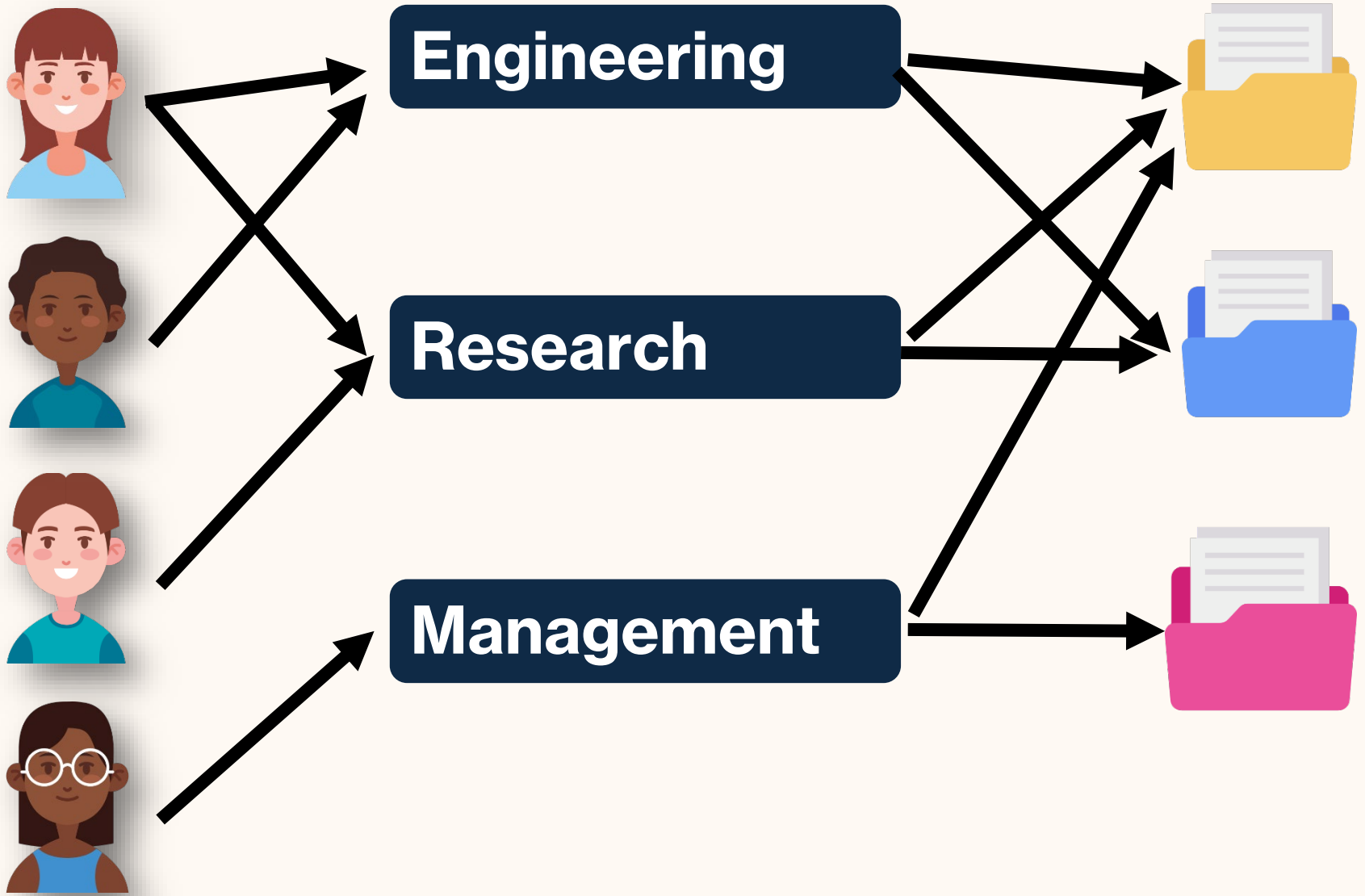
## Bell-LaPadula

- Multi-level security
- Designed for **confidentiality**

# Roles (Groups) Users

## Roles

## Resources



# Overview

- Modelling access control protection
- Access control mechanisms and policies
- **UNIX access control**
- Extended reading: smart home access control policies

# UNIX Access Control

- Unix uses **role-based access control**
  - Role => group
  - Individual (or process) => user id (uid)
- Special user ID: uid 0
  - root user
  - **permitted to do anything**
  - for any file: can read, write, change permissions, change owners

- Each file has
  - Owner
    - User
    - Group
  - ACL
    - Owner's access
    - Group's access
    - World's access

# UNIX Access Control

View file permissions

```
[jingjieli@jingjiedeMacBook-Pro CCS2019 % ls -l
total 15536
drwxr-xr-x@ 10 jingjieli  staff      320 Mar  8 16:55 CCS_Reimbursement
drwxr-xr-x@  9 jingjieli  staff      288 Mar  8 16:55 DEMO
drwxr-xr-x@ 15 jingjieli  staff      480 Mar  8 16:55 TRAVELGRANT
-rw-r--r--@  1 jingjieli  staff 7951483 Feb  4 2020 VELODY.gif
```

Access control list

Owner

Group

```
[jingjieli@jingjiedeMacBook-Pro CCS2019 % groups jingjieli
staff everyone localaccounts _appserverusr admin _appserveradm _lpadmin com.apple.sharepoint.group.1 _appstore
ticsusers com.apple.access_ftp com.apple.access_screensharing com.apple.access_ssh com.apple.access_remote_ae
```

# UNIX Access Control

```
[jingjieli@jingjiedeMacBook-Pro CCS2019 % ls -l
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```

- Basic operations
  - **Read**
  - **Write**
  - **Execute**

Owner

Group

# UNIX Access Control



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```

- Permissions set by owner (or root)
- Determining if an action is permitted:
  - if **uid == 0 (root)**: allow anything
  - else if **uid == owner**: use owner permissions
  - else if **uid in group**: use group permissions
  - else: use other permissions
- Only owner, root can change permissions
  - This privilege cannot be delegated or shared

# Exercise

```
-rw-r--r-- 1 ace staff 1087 Aug 10 15:20 LICENSE.txt
-rw-r--r-- 1 ace staff 19 Aug 10 15:57 MANIFEST.in
-r--w-r-- 1 ace dev 1106 Aug 14 13:55 README.md
drwxr-xr-x 3 ace staff 102 Aug 13 07:27 dist
drwxr-xr-x 8 ace staff 272 Aug 13 10:47 safeid
drwxrwxr-x 9 ace staff 306 Aug 13 07:26 safeid.egg
-r----- 1 ace web 40 Aug 10 15:56 setup.cfg
-rw--w-r-x 1 ace dev 1550 Aug 13 07:26 deploy.log
```

- 1 Can sscott read the file README.md?
- 2 Can ace write to setup.cfg?
- 3 Who can append to deploy.log?

```
staff:*:29:ace,sscott,kpat,rist
web:*:31:ace,kpat,rist
dev:*:32:ace,sscott,pbriggs
```



# Overview

- Modelling access control protection
- Access control policies
- UNIX access control
- **Extended reading: smart home access control policies**

**How do we design the access control policy?**

# User-centric access control policy

- People want to be in control when setting up the policy
- People like to be asked permission
- People want to know who is accessing the assets
- People want to review and review policy

Mazurek, M.L., Klemperer, P.F., Shay, R., Takabi, H., Bauer, L. and Cranor, L.F., 2011, May. Exploring reactive access control. In *Proceedings of the SIGCHI Conference on Human Factors in Computing Systems* (pp. 2085-2094).



# **Rethinking Access Control and Authentication for the Home Internet of Things (IoT)**

*Weijia He, University of Chicago; Maximilian Golla, Ruhr-University Bochum;  
Roshni Padhi and Jordan Ofek, University of Chicago; Markus Dürmuth, Ruhr-University  
Bochum; Earlence Fernandes, University of Washington; Blase Ur, University of Chicago*

<https://www.usenix.org/conference/usenixsecurity18/presentation/he>

**This paper is included in the Proceedings of the  
27th USENIX Security Symposium.**

**August 15–17, 2018 • Baltimore, MD, USA**

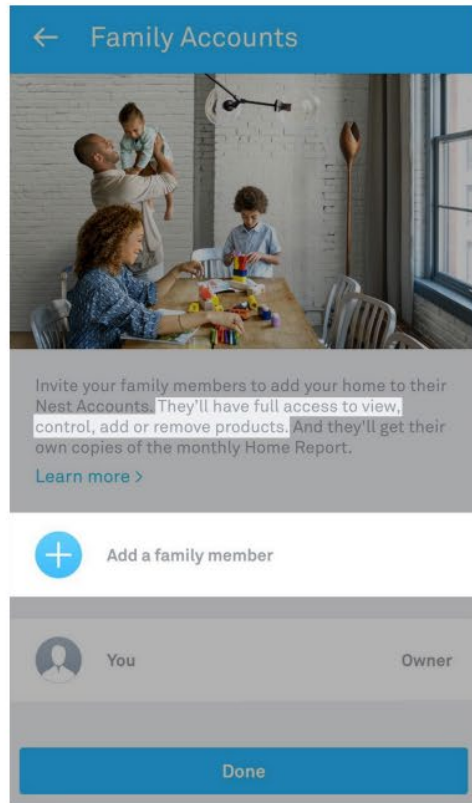
ISBN 978-1-939133-04-5

# Motivation

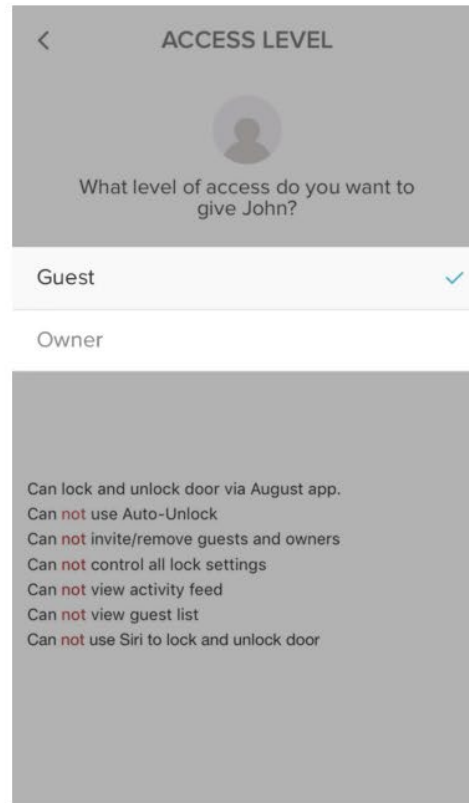
- Smart home devices, e.g., smart door lock, camera, etc., interact with our digital/physical world
- Smart home's security and privacy issues may lead to physical, financial, and mental harms
- Multiple users, who have different security and privacy considerations, reside in one smart home

# Research question

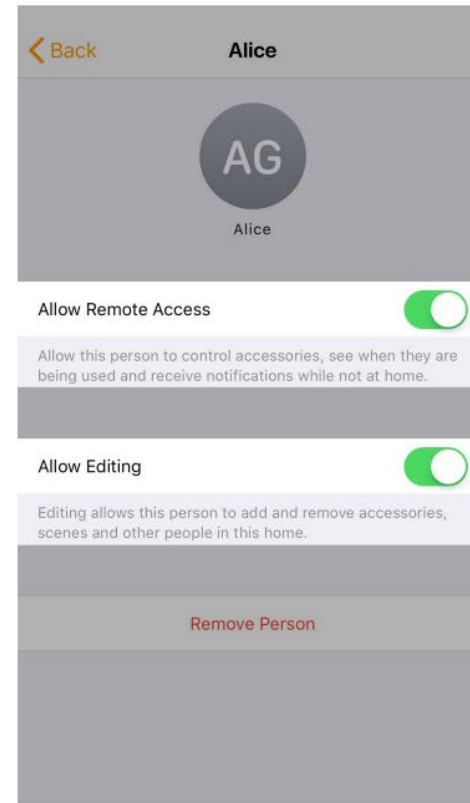
- Do desired access-control policies **differ among capabilities** of single home IoT devices?
- For which pairs of **relationships (e. g., child) and capabilities (e. g., turn on lights)** are desired access-control policies consistent across participants?
- On what **contextual factors** (e. g., location) do access-control policies depend?
- What types of authentication methods balance **convenience and security**, holding the potential to successfully balance the consequences of falsely allowing and denying access?



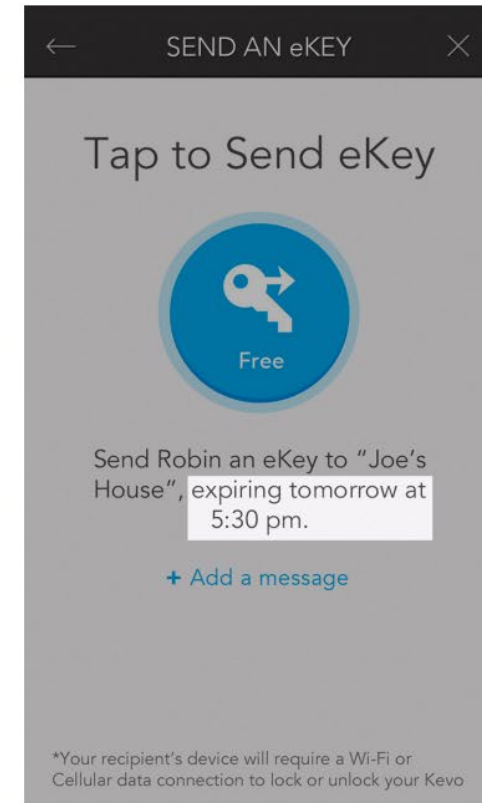
(a) Nest Learning Thermostat



(b) August Smart Lock



(c) Apple HomeKit



(d) Kwikset Kevo Smart Lock

Figure 1: Current access-control-specification interfaces: The Nest Thermostat (a) only allows “all-or-nothing” specification, while the August Smart Lock (b) only offers coarse-grained access control via predefined Guest and Owner groups. In contrast, Apple’s HomeKit (c) differentiates between view and edit access level, as well as local and remote access. The Kwikset Kevo Smart Lock (d) provides time-based access control, but not other factors.

# Method

- Pre-study:
  - Find out the categories/capabilities of smart home devices, relationships between family members... for setting up the main study
  - Surveyed 31 participants via Amazon MTurk
- Main study:
  - Quantify people's preferences at scale
  - Surveyed 425 people via MTurk

The questions on this page only focus on the following person: **Your spouse**: Imagine you have a spouse. You live with them everyday and share all smart appliances in your home. You make decisions together in most cases, especially important ones.

Imagine you are the owner of a **Smart Hub**.

Should **your spouse** be able to use the following feature? [**capability**]

Always (24/7/365)  Never  Sometimes, depending on specific factors

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# Findings

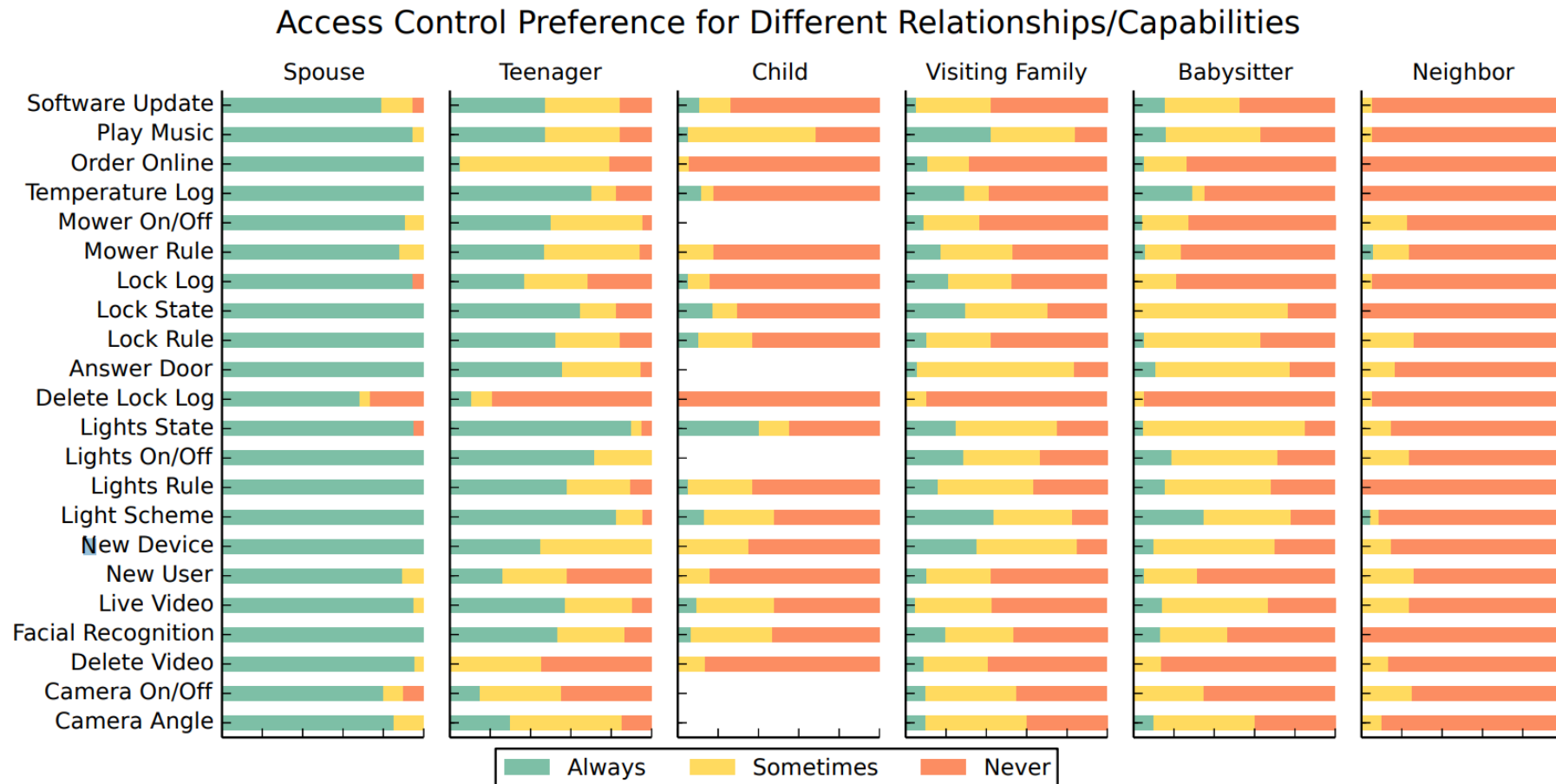


Figure 2: Participants' desired access-control policies. We introduced participants to a list of relationships (e.g., *neighbor*) and asked them to choose whether someone of that relationship should be permitted to “always,” “sometimes,” or “never” control a capability (e.g., adjust the *camera angle*) in their smart home.

**Think: find anything interesting?**

# Findings

- Access control preferences for different capabilities differ within a single device
- Some control are more context-dependent, e.g., “answering the doorbell” with/without “homeowner” present
- People’s relationships are crucial, while nuances exist, e.g., giving more permissions to babysitters than home visitors particularly for live video rather than other capabilities
- Overall preferences for restrictive policies

# Findings

Table 1: Potential default access-control policies that reflected the vast majority of participants' preferences.

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## All

- *Anyone who is currently at home should always be allowed to adjust lighting*
  - *No one should be allowed to delete log files*
- 

## Spouse

- *Spouses should always have access to all capabilities, except for deleting log files*
  - *No one except a spouse should unconditionally be allowed to access administrative features*
  - *No one except a spouse should unconditionally be allowed to make online purchases*
- 

## Children in elementary school

- *Elementary-school-age children should never be able to use capabilities without supervision*
- 

## Visitors (babysitters, neighbors, and visiting family)

- *Visitors should only be able to use any capabilities while in the house*
  - *Visitors should never be allowed to use capabilities of locks, doors, and cameras*
  - *Babysitters should only be able to adjust the lighting and temperature*
-

**Think: do the above always work?**

# Findings

- Context matters
  - Age: most influential factor
  - Location of device
  - Recent usage history
  - Time of day

# Findings

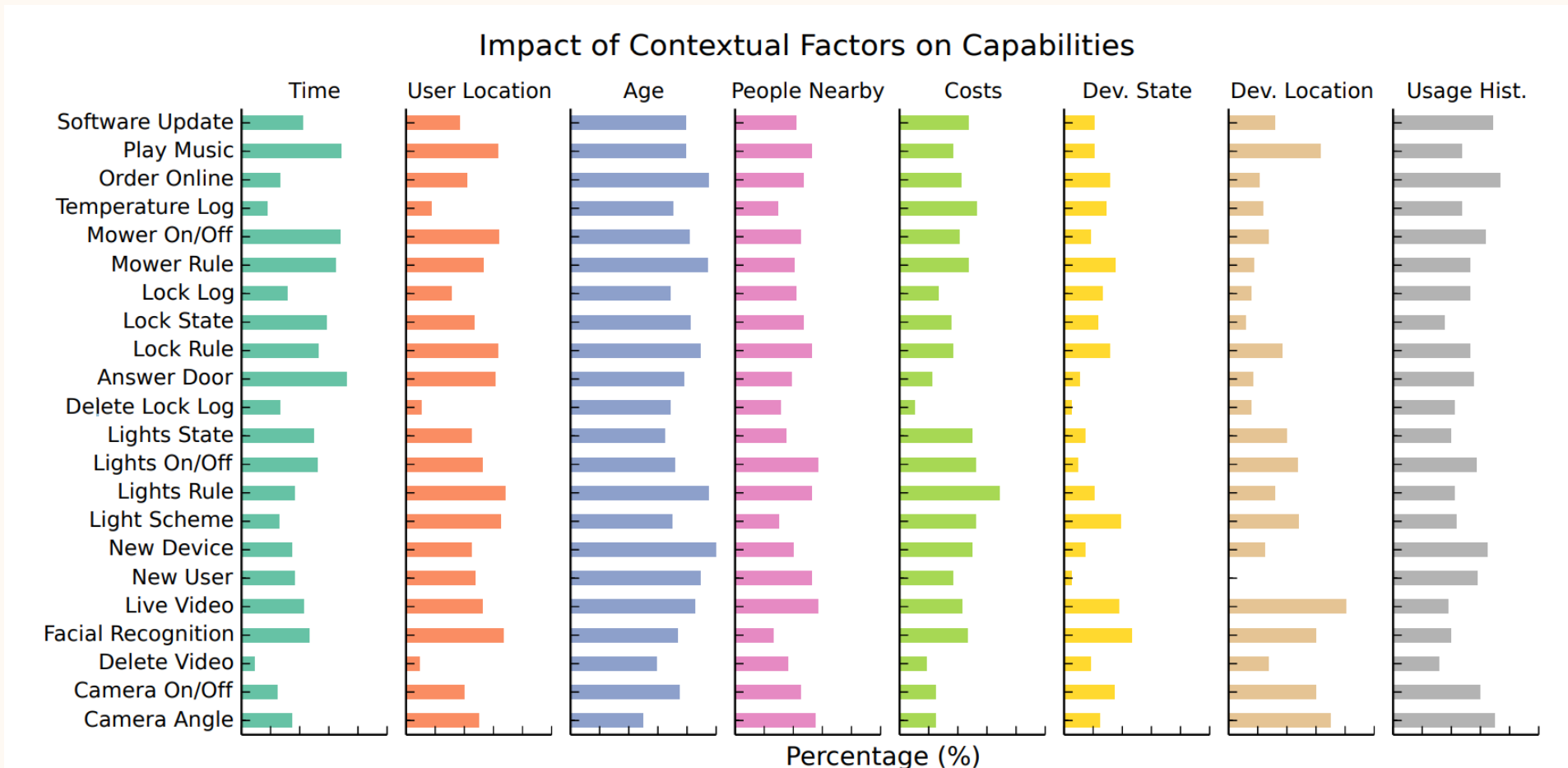


Figure 3: Contextual factors: Sometimes access must depend on the context. In the study we asked participants for such factors and identified multiple that are very influential (such as the age of the user) and learned how they contribute to the decision make process.

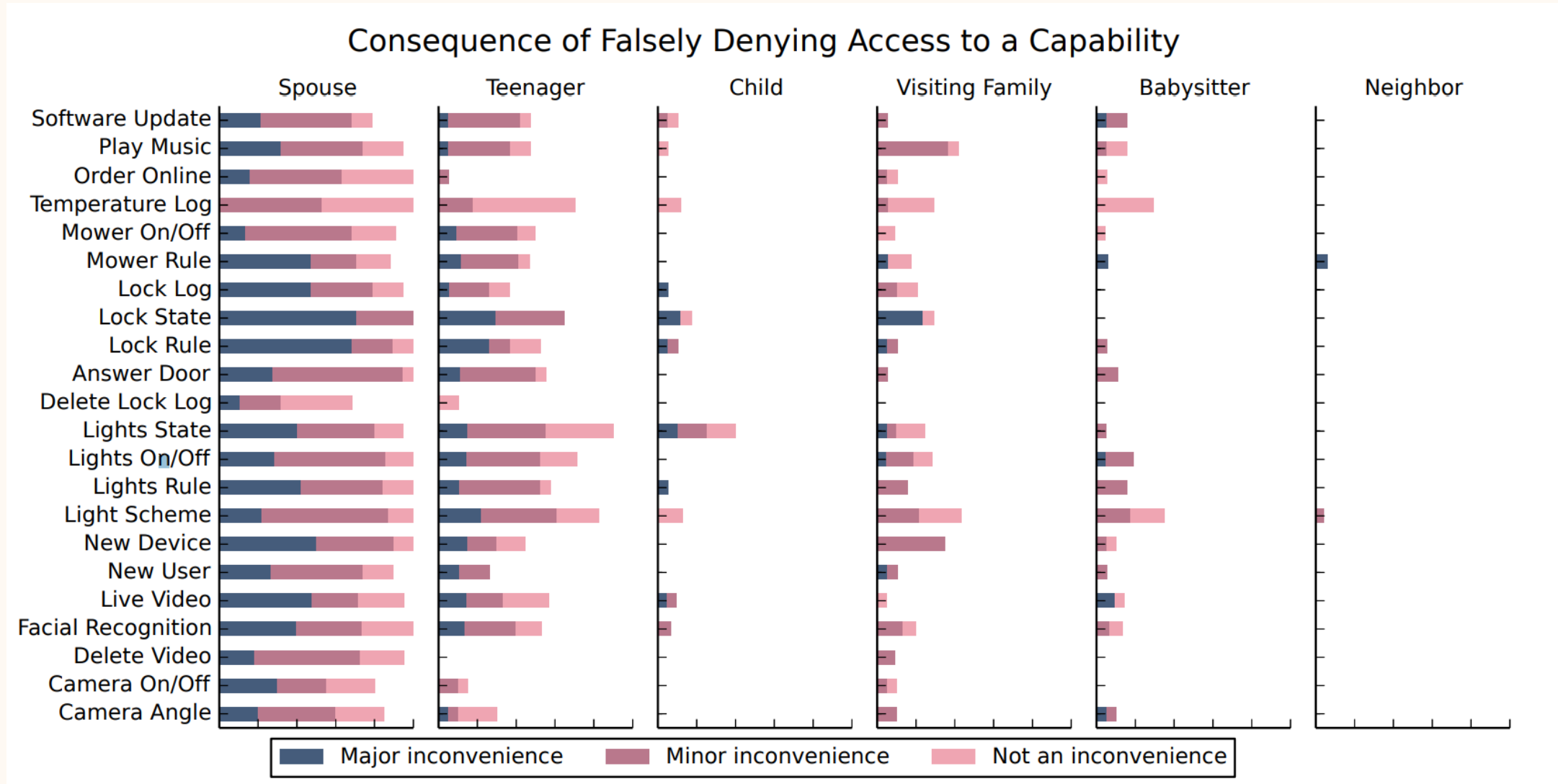
# Findings

Consequence of Falsely Allowing Access to a Capability





# Findings



# Take-home

- **(Blog)** Malkin, N., Luo, A.F., Poveda, J. and Mazurek, M.L., 2022, December. [Optimistic Access Control for the Smart Home](#). In IEEE Symposium on Security and Privacy (SP) (pp. 2112-2129), 2023
- **(Blog)** The Conversation - [Platforms supporting Ukrainian refugees must prioritise their safety – or risk exposing them to trafficking and exploitation](#)