## **Privacy - Overview**

INFR11158/11230 Usable Security and Privacy

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08/03/2024



### Overview

- Warm-up
- A taxonomy of privacy
- Privacy by design
- Contextual integrity
- Take-home

#### **Contact tracing**



# Have you used contact tracing? How's your experience?

#### What is privacy?

## **Defining privacy**

- There are many definitions
  - The right to be let alone
  - The right to control one's own data
- Many common security goals overlap with privacy ones
  - Confidentiality
  - Access control of information
  - Protection from unwanted intrusions



#### A taxonomy of privacy (by Daniel J. Solove)

#### A TAXONOMY OF PRIVACY

#### INFORMATION PROCESSING



**IDENTIFICATION** . . . X Linking of information to an

• • • individual. [Sometimes called 'singling out']

> A researcher linking medical files to the Governor of a state using only date of birth, zip code and gender.

#### **INFORMATION DISSEMINATION**

#### DISCLOSURE

Revealing truthful information about a person that impacts their security or the way others judge their character A government agency revealing an individual's address to a stalker, resulting in the individual's murder.

#### **EXPOSURE**

Revealing a person's nudity, grief, or bodily functions A store forcing a customer to remove clothing revealing a colostomy bag.

#### BREACH OF CONFIDENTIALITY

Breaking a promise to keep a person's information

A doctor revealing patient information to friends on a social media website

#### **INCREASED ACCESSIBILITY**

Amplifying the accessibility of personal information A court making proceeding searchable on the Internet without redacting personal information.

#### **APPROPRIATION**

Using an individual's identity to serve the aims and interests of another A social media site using customer's images in advertising.

#### DISTORTION

Disseminating false or misleading information about a person A creditor reporting a paid bill as unpaid to a credit bureau

#### https://www.jstor.org/stable/40041279

# What are the privacy risks of contact tracing apps given the framework?

#### Privacy by design

### **Privacy by design – definition**

Framework for building privacy proactively into new systems, proposed in 2009.Widely accepted as an international standard for good privacy engineering. GDPR also basis some of its principles on Privacy by Design.

- Proactive not Reactive; Preventative not Remedial
- Privacy as the **Default**
- Privacy Embedded into Design
- Full Functionality Positive-Sum, not Zero-Sum
- End-to-End Security Lifecycle Protection
- Visibility and Transparency
- Respect for User Privacy

#### **Privacy by design – strategies**



## **Privacy by design – Minimize**

- Definition
  - Limit as much as possible the processing of personal data.
- Tactics
  - Select only relevant people and relevant attributes for processing.
  - **Exclude** people or attributes in advance of processing it, or better delete it.
  - **Strip** away (remove) data as soon as it is no longer needed. Also, auto-delete after is it no longer needed.
  - **Destroy** data that is no longer needed. Build systems that support complete destruction of data and do not leave it in unexpected parts of the system.
- Example
  - "Google announced a revised log retention policy, saying 'we'll anonymize IP addresses on our server logs after 9 months,' instead of the previous 18-24 months."

### **Privacy by design – Separate**

- Definition
  - Separate the processing of personal data as much as possible.
- Tactics
  - **Isolate.** Collect and process data in different databases or applications.
  - **Distribute** the collection and processing over different entities. Use the equipment of the user as much as possible.
- Example
  - Tor's Onion Routing structure along with the many organizations that host exit nodes ensures that no one entity has visibility over the whole network. Data is distributed across many nodes, knowledge of who sent the data and where it is going is also strictly distributed between nodes.

### **Privacy by design – Abstract**

- Definition
  - Limit as much as possible the detail in which personal datais processed.
- Tactics
  - **Summarise** detailed attributes into more coarse-grained, general attributes. For example, use age categories instead of birthdate.
  - **Group.** Aggregate information about a group of people instead of processing data individually. Present data as averages.
  - **Perturb** data values to create an approximisation, for example,by adding random noise.
- Examples
  - Pubs are required to check that patrons are above the legal drinking age. But they don't need to know the exact birthdate of the person, just if they are above that age or not.

### **Privacy by design – Hide**

- Definition
  - Protect personal data or make it unlinkable or unobservable. Make sure it does not become public or known.
- Tactics
  - **Restrict** access to personal data. Setup a strict access-control policy.
  - **Obfuscate.** Use tools like encryption, hashs, and pseudonym's to ensure that only people with the ability to decyper can get the data.
  - **Dissociate.** Break the link between events, persons, and data.
  - Mix data into larger sets to ensure that data is not easy to re-connect.
- Examples
  - Most user studies promise to disassociate participants' names from their data: "you will be assigned a random participant number, your name will be stored seperately from the data we collect."

## Privacy by design – Inform

- Definition
  - Inform data subjects about the processing of their personal data in a timely and adequate manner.
- Tactics
  - **Supply** resources on the processing of personal data including, policies, processes, and risks. Provide information about *which* personal data, *how* processed, and*why* processed.
  - **Explain** clearly why data needs to be processed.
  - **Notify** users when their data is being processed, shared with third parties, or after a data leak.
- Examples
  - Apple shows an icon whenever location data is being accessed by an app.

## **Privacy by design – Control**

- Definition
  - Provide data subjects adequate control over the processing of their personal data.
- Tactics
  - Consent. Ask users for their explicit consent to data processing.
  - **Choose.** Offer users a real choice with basic functionality available to those who opt-out.
  - **Update.** Give users the means to review and update their personal data.
  - **Retract.** Allow users to retract (or delete) their personal information.
- Examples
  - Cookies allow users to consent (cookie pop-up) and delete the cookie (retract).

### **Privacy by design – Enforce**

- Definition
- Commit to processing personal data in a privacy-friendly way, and adequately enforce this.
- Tactics
  - Create. Make a Privacy Policy, and assign resources to execute
  - **Maintain.** Uphold policy and ensure all technical and org. controls. Applyto3rdparties
  - **Uphold.** Verify policy regularly and adjust implementation when necessary.
- Examples
  - Potential approach is to implement privacy management system like the plando-check-act cycle from Information Security Management Standard (ISO 27001)

### **Privacy by design – Demonstrate**

- Definition
  - Demonstrate you are processing personal data in a privacy-friendly way.
- Tactics
  - **Record.** Document all (important steps). Record decisions and motivate them.
  - **Audit.** Regularly audit and review org. processes and how personal data is processed
  - **Report.** Provide results of audits to Data Protection Authority (DPA).
- Examples
  - Certified against internationally recognised standards for privacy friendliness like TRUSTe or EuroPriSe.

# Privacy by design in development cycle



#### **Contextual integrity**

## **Contextual integrity**

- Privacy is defined by how information flows
- Information flow is appropriate when it conforms with contextual privacy norms
- A contextual norm can be described by (at least) five parameters
  - data type (what sort of information is being shared)
  - data subject (who/what the information is about)
  - sender (who/what is sharing the data)
  - recipient (who/what is getting the data)
  - transmission principle (the constraints imposed on the flow/how), e.g., with one's consent.
- New norms and flows are evaluated through their context

Malkin, N., 2022. Contextual Integrity, Explained: A More Usable Privacy Definition. *IEEE Security & Privacy*, *21*(1), pp.58-65.

### **Contextual integrity**



https://www.dli.tech.cornell.edu/post/privacy-policiesas-contextual-integrity-beyond-rules-compliance

#### Stop the Spread: A Contextual Integrity Perspective on the Appropriateness of COVID-19 Vaccination Certificates

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ABSTRACT

We present an empirical study exploring how privacy influences the acceptance of vaccination certificate (VC) deployments across different realistic usage scenarios. The study employed the privacy framework of Contextual Integrity, which has been shown to be particularly effective in capturing people's privacy expectations across different contexts. We use a vignette methodology, where we selectively manipulate salient contextual parameters to learn whether and how they affect people's attitudes towards VCs. We surveyed 890 participants from a demographically-stratified sample of the US population to gauge the acceptance and overall attitudes towards possible VC deployments to enforce vaccination mandates and the different information flows VCs might entail. Analysis of results collected as part of this study is used to derive general normative observations about different possible VC practices and to provide guidance for the possible deployments of VCs in different contexts.

#### COS CONCEPTS

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#### **1** INTRODUCTION

The prolonged and devastating COVID-19 pandemic has affected every aspect of people's lives as well as the global economy. In an attempt to curb the spread of highly contagious variants, governments around the world have contemplated or adopted vaccination mandates (VMs) and vaccination certificates (or passports) (VCs) in schools, hospitals, public transportation, and other social contexts [15, 27, 42, 43, 50, 53, 62]. COVID VMs and VCs challenge established societal norms and conventions. While vaccination mandates and certificates are not new (e.g., vaccination mandates for children attending schools, "yellow cards" for travel to or from a country with a high risk of diseases such as yellow fever [55]), the sudden and unprecedented requirement to show proof of vaccination to gain access to public venues or engage in a range of daily activities has triggered a fierce global debate on the appropriateness of COVID-19 VMs and VCs in light of established societal norms and conventions, perceived privacy harms, and civil liberty expectations [9, 34, 36, 61, 69].

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Some proponents of VMs and VCs argue for overriding these

#### **Take-home**

- (Blog) Zhao, Y., Yao, Y., Fu, J. and Zhou, N., 2023. <u>{"If} sighted people know, I should be able to {know:"} Privacy Perceptions of Bystanders with Visual Impairments around Camera-based Technology.</u> In *32nd USENIX Security Symposium (USENIX Security 23)* (pp. 4661-4678).
- (Blog) WIRED <u>'AI Girlfriends' Are a Privacy Nightmare</u>