

Automated Reasoning

Lecture 11: Program verification using Hoare Logic (II)

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Previously on Hoare Logic

A simple “while” language

- ▶ Sequence: a ; b
- ▶ Skip (do nothing): SKIP
- ▶ Variable assignment: X := 0
- ▶ Conditional:
IF cond THEN a ELSE b FI
- ▶ Loop: WHILE cond DO c OD

Hoare Logic

- ▶ $\{P\} \ C \ \{Q\}$
- ▶ Formal specification
- ▶ Axiomatic semantics
- ▶ Hoare Logic Rules and examples

Conditional Rule

$$\frac{\{P \wedge S\} C_1 \{Q\} \quad \{P \wedge \neg S\} C_2 \{Q\}}{\{P\} \text{ IF } S \text{ THEN } C_1 \text{ ELSE } C_2 \text{ FI } \{Q\}}$$

- Example ($\text{Max } X \ Y$):

$$\frac{\{X \geq X \wedge X \geq Y\} \text{ MAX := } X \{MAX \geq X \wedge MAX \geq Y\} \quad \{T \wedge X \geq Y\} \text{ MAX := } X \{MAX \geq X \wedge MAX \geq Y\}}{(1)}$$

$$\frac{\{Y \geq X \wedge Y \geq Y\} \text{ MAX := } Y \{MAX \geq X \wedge MAX \geq Y\} \quad \{T \wedge \neg(X \geq Y)\} \text{ MAX := } Y \{MAX \geq X \wedge MAX \geq Y\}}{(2)}$$

$$\frac{(1) \quad (2)}{\{T\} \text{ IF } X \geq Y \text{ THEN } \text{MAX := } X \text{ ELSE } \text{MAX := } Y \text{ FI } \{MAX \geq X \wedge MAX \geq Y\}} \quad (3)$$

What if?

$$\frac{\{P \wedge S\} C_1 \{Q\} \quad \{P \wedge \neg S\} C_2 \{Q\}}{\{P\} \text{ IF } S \text{ THEN } C_1 \text{ ELSE } C_2 \text{ FI } \{Q\}}$$

► Example (`Max X Y`):

$$\frac{\overline{\{X = \max(X, Y)\} \text{ MAX := X } \{MAX = \max(X, Y)\}}}{\overline{\{T \wedge X \geq Y\} \text{ MAX := X } \{MAX = \max(X, Y)\}}} \quad (4)$$

$$\frac{\overline{\{Y = \max(X, Y)\} \text{ MAX := Y } \{MAX = \max(X, Y)\}}}{\overline{\{T \wedge \neg(X \geq Y)\} \text{ MAX := Y } \{MAX = \max(X, Y)\}}} \quad (5)$$

$$\frac{(4) \quad (5)}{\{T\} \text{ IF } X \geq Y \text{ THEN MAX := X ELSE MAX := Y FI } \{MAX = \max(X, Y)\}} \quad (6)$$

Precondition Strengthening

$$\frac{P \longrightarrow P' \quad \{P'\} \subset \{Q\}}{\{P\} \subset \{Q\}}$$

- ▶ Replace a *precondition* with a stronger condition
- ▶ Example:

$$\frac{X = n \longrightarrow X + 1 = n + 1 \quad \overline{\{X + 1 = n + 1\} \ X := X + 1 \ \{X = n + 1\}}}{\{X = n\} \ X := X + 1 \ \{X = n + 1\}}$$

Postcondition Weakening

$$\frac{\{P\} \; C \; \{Q'\} \quad Q' \rightarrow Q}{\{P\} \; C \; \{Q\}}$$

- ▶ Replace a *postcondition* with a weaker condition
- ▶ Example:

$$\frac{\{X = n\} \; X := X + 1 \; \{X = n + 1\} \quad X = n + 1 \longrightarrow X > n}{\{X = n\} \; X := X + 1 \; \{X > n\}}$$

Aha!

$$\frac{P \longrightarrow P' \quad \{P'\} C \{Q\}}{\{P\} C \{Q\}}$$

- ▶ Example ($\text{Max } X \ Y$):

$$\frac{\mathbf{T} \wedge X \geq Y \longrightarrow X = \max(X, Y) \quad \{X = \max(X, Y)\} \text{ MAX} := X \{MAX = \max(X, Y)\}}{\{\mathbf{T} \wedge X \geq Y\} \text{ MAX} := X \{MAX = \max(X, Y)\}} \quad (7)$$

$$\frac{\mathbf{T} \wedge \neg(X \geq Y) \longrightarrow Y = \max(X, Y) \quad \{Y = \max(X, Y)\} \text{ MAX} := Y \{MAX = \max(X, Y)\}}{\{\mathbf{T} \wedge \neg(X \geq Y)\} \text{ MAX} := Y \{MAX = \max(X, Y)\}} \quad (8)$$

$$\frac{(7) \qquad (8)}{\{\mathbf{T}\} \text{ IF } X \geq Y \text{ THEN MAX} := X \text{ ELSE MAX} := Y \text{ FI } \{MAX = \max(X, Y)\}} \quad (9)$$

Verification Conditions (VCs)

$\{T\} \text{ IF } X \geq Y \text{ THEN } \text{MAX} := X \text{ ELSE } \text{MAX} := Y \text{ FI } \{MAX = max(X, Y)\}$

- ▶ FOL VCs:

$$T \wedge X \geq Y \longrightarrow X = max(X, Y)$$

$$T \wedge \neg(X \geq Y) \longrightarrow Y = max(X, Y)$$

- ▶ Hoare Logic rules can be applied *automatically* to generate VCs
 - ▶ e.g. Isabelle's `vcg` tactic
- ▶ We need to provide proofs for the VCs / *proof obligations*
 - ▶ Reduced to FOL statements
 - ▶ From simple algebraic proofs to reasoning about inductive data types

WHILE Rule

$$\frac{\{P \wedge S\} \ C \ \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}}$$

- ▶ P is an *invariant* for C whenever S holds
- ▶ *WHILE rule*: If executing C *once* preserves the truth of P , then executing C *any number of times* also preserves the truth of P
- ▶ If P is an invariant for C when S holds then P is an invariant of the *whole* WHILE loop, i.e. a *loop invariant*

WHILE Rule

$$\frac{\{P \wedge S\} \ C \ \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}}$$

```
{Y = 1 ∧ Z = 0}
WHILE Z ≠ X DO
    Z := Z + 1 ;
    Y := Y × Z
OD
{Y = X!}
```

WHILE Rule

$$\frac{\{P \wedge S\} \ C \ \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}}$$

$\{Y = 1 \wedge Z = 0\}$
WHILE $Z \neq X$ DO
 $Z := Z + 1$;
 $Y := Y \times Z$
OD

$\{Y = X!\}$

vs.

$\{P\}$
WHILE $Z \neq X$ DO
 $Z := Z + 1$;
 $Y := Y \times Z$

OD

$\{P \wedge \neg Z \neq X\}$

- What is P?

WHILE Rule - How to find an invariant

$$\frac{\{P \wedge S\} \ C \ \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}}$$

- ▶ The invariant P should:
 - ▶ Say what *has been done so far* together with what *remains to be done*
 - ▶ Hold *at each iteration* of the loop.
 - ▶ Give the *desired result* when the loop terminates

WHILE Rule - Invariant VCs

$$\frac{\{P \wedge S\} \ C \ \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}}$$

$$\begin{aligned}\{Y = 1 \wedge Z = 0\} \text{ WHILE } Z \neq X \text{ DO } Z := Z + 1; Y := Y \times Z \text{ OD } \{Y = X!\} \\ \{P\} \text{ WHILE } Z \neq X \text{ DO } Z := Z + 1; Y := Y \times Z \text{ OD } \{P \wedge \neg Z \neq X\}\end{aligned}$$

- We need to find an invariant P such that:
 - $\{P \wedge Z \neq X\} \ Z := Z + 1; Y := Y \times Z \ \{P\}$ (WHILE rule)
 - $Y = 1 \wedge Z = 0 \longrightarrow P$ (precondition strengthening)
 - $P \wedge \neg(Z \neq X) \longrightarrow Y = X!$ (postcondition weakening)

WHILE Rule - Loop invariant for factorial

$\{P \wedge Z \neq X\} \text{ Z := Z + 1 ; Y := Y} \times \text{Z } \{P\}$

$Y = 1 \wedge Z = 0 \longrightarrow P$

$P \wedge \neg(Z \neq X) \longrightarrow Y = X!$

► ???

WHILE Rule - Loop invariant for factorial

$$\{P \wedge Z \neq X\} \quad Z := Z + 1 ; \quad Y := Y \times Z \quad \{P\}$$

$$Y = 1 \wedge Z = 0 \longrightarrow P$$

$$P \wedge \neg(Z \neq X) \longrightarrow Y = X!$$

- $Y = Z!$
- VCs:

- $\{Y = Z! \wedge Z \neq X\} \quad Z := Z + 1 ; \quad Y := Y \times Z \quad \{Y = Z!\}$

because: $Y = Z! \wedge Z \neq X \longrightarrow Y \times (Z + 1) = (Z + 1)!$ and (10)

- $Y = 1 \wedge Z = 0 \longrightarrow Y = Z!$

because: $0! = 1$

- $Y = Z! \wedge \neg(Z \neq X) \longrightarrow Y = X!$

because: $\neg(Z \neq X) \leftrightarrow Z = X$

$$\frac{\overline{\{Y \times (Z + 1) = (Z + 1)!\}} \quad Z := Z + 1 \quad \{Y \times Z = Z.\}}{\{Y \times (Z + 1) = (Z + 1)!\}} \quad \overline{\{Y \times Z = Z.\}} \quad Y := Y \times Z \quad \{Y = Z!\}$$

(10)

WHILE Rule - Complete factorial example

$$\begin{aligned}\{Y = 1 \wedge Z = 0\} \\ \{Y = Z!\}\end{aligned}$$

WHILE $Z \neq X$ DO

$$\begin{aligned}\{Y = Z! \wedge Z \neq X\} \\ \{Y \times (Z + 1) = (Z + 1)!\}\end{aligned}$$

$Z := Z + 1$;

$$\{Y \times Z = Z!\}$$

$Y := Y \times Z$

$$\{Y = Z!\}$$

OD

$$\begin{aligned}\{Y = Z! \wedge \neg Z \neq X\} \\ \{Y = X!\}\end{aligned}$$

Another example - Multiplication!

```
I := Y;  
Z := 0;  
WHILE I ≠ 0 DO  
    Z := Z + X ;  
    I := I - 1  
OD
```

$$\{Y \geq 0\}$$

$$\{Z = X \times Y\}$$

Another example - Multiplication!

```
I := Y;  
Z := 0;  
WHILE I ≠ 0 DO  
    Z := Z + X ;  
    I := I - 1  
OD
```

$\{Y \geq 0\}$

$\{\text{Invariant?}\}$

$\{\text{Invariant?} \wedge I \neq 0\}$

$\{\text{Invariant?}\}$

$\{\text{Invariant?} \wedge \neg I \neq 0\}$

$\{Z = X \times Y\}$

Another example - Multiplication!

```
I := Y;  
Z := 0;  
  
WHILE I ≠ 0 DO  
    Z := Z + X ;  
    I := I - 1  
OD
```

$$\{Y \geq 0\}$$
$$\{Z = (Y - I) \times X\}$$
$$\{Z = (Y - I) \times X \wedge I \neq 0\}$$
$$\{Z = (Y - I) \times X\}$$
$$\{Z = (Y - I) \times X \wedge \neg I \neq 0\}$$
$$\{Z = X \times Y\}$$

Another example - Multiplication!

```
I := Y;  
Z := 0;  
WHILE I ≠ 0 DO  
    Z := Z + X ;  
    I := I - 1  
OD
```

$\{Y \geq 0\}$

$\{Z = (Y - I) \times X\}$

$\{Z = (Y - I) \times X \wedge I \neq 0\}$

$\{Z + X = (Y - (I - 1)) \times X\}$

$\{Z = (Y - (I - 1)) \times X\}$

$\{Z = (Y - I) \times X\}$

$\{Z = (Y - I) \times X \wedge \neg I \neq 0\}$

$\{Z = X \times Y\}$

Another example - Multiplication!

```
I := Y;  
Z := 0;  
WHILE I ≠ 0 DO  
    Z := Z + X ;  
    I := I - 1  
OD
```

$\{Y \geq 0\}$
 $\{0 = (I - I) \times X\}$
 $\{0 = (Y - I) \times X\}$
 $\{\mathbf{Z} = (\mathbf{Y} - \mathbf{I}) \times \mathbf{X}\}$
 $\{\mathbf{Z} = (\mathbf{Y} - \mathbf{I}) \times \mathbf{X} \wedge I \neq 0\}$
 $\{Z + X = (Y - (I - 1)) \times X\}$
 $\{Z = (Y - (I - 1)) \times X\}$
 $\{\mathbf{Z} = (\mathbf{Y} - \mathbf{I}) \times \mathbf{X}\}$
 $\{\mathbf{Z} = (\mathbf{Y} - \mathbf{I}) \times \mathbf{X} \wedge \neg I \neq 0\}$
 $\{\mathbf{Z} = \mathbf{X} \times \mathbf{Y}\}$

Isabelle

```
lemma Multipl: "VARS (z :: int) i
  {0 ≤ y}
  i := y;
  z := 0;
  WHILE i ≠ 0
    INV { z = (y - i) * x }
    DO
      z := z + x;
      i := i - 1
    OD
  {z = x * y}"
apply vcg
apply (auto simp add: algebra_simps)

proof (prove)
goal (3 subgoals):
1. ⋀z i. 0 ≤ y ⟹ 0 = (y - i) * x
2. ⋀z i. z = (y - i) * x ∧ i ≠ 0 ⟹ z + x = (y - (i - 1)) * x
3. ⋀z i. z = (y - i) * x ∧ ¬ i ≠ 0 ⟹ z = x * y
```

Isabelle

```
lemma Multipl: "VARS (z :: int) i
  {0 ≤ y}
  i := y;
  z := 0;
  WHILE i ≠ 0
    INV { z = (y - i) * x }
    DO
      z := z + x;
      i := i - 1
    OD
  {z = x * y}"
apply vcg
apply [auto simp add: algebra_simps]
```

Proof state Auto update Update Search: 100%

```
proof (prove)
goal:
No subgoals!
```

Specification and correctness

$\{T\}$

I := Y;

Z := 0;

WHILE I \neq 0 DO

 Z := Z + X ;

 I := I - 1

OD

$\{Z = X \times Y\}$

$\{Y \geq 0\}$

I := Y;

Z := 0;

WHILE I \neq 0 DO

 Z := Z + X ;

 I := I - 1

OD

$\{Z = X \times Y\}$

vs.

- ▶ What is the difference?

Specification and correctness

$\{T\}$

I := Y;

Z := 0;

WHILE I \neq 0 DO

Z := Z + X ;

I := I - 1

OD

$\{Z = X \times Y\}$

$\{Y \geq 0\}$

I := Y;

Z := 0;

WHILE I \neq 0 DO

Z := Z + X ;

I := I - 1

OD

$\{Z = X \times Y\}$

vs.

- ▶ What is the difference? - *Termination!*

Hoare Logic Rules (it does!)

$$\frac{P \longrightarrow P' \quad \{P'\} C \{Q\}}{\{P\} C \{Q\}} \text{ PS}$$

$$\frac{\{P\} C \{Q'\} \quad Q' \longrightarrow Q}{\{P\} C \{Q\}} \text{ PW}$$

$$\frac{}{\{Q[E/V]\} V := E \{Q\}} \text{ ASSIGN}$$

$$\frac{}{\{P\} \text{ SKIP } \{P\}} \text{ SKIP}$$

$$\frac{\{P\} C_1 \{Q\} \quad \{Q\} C_2 \{R\}}{\{P\} C_1 ; C_2 \{R\}} \text{ SEQ}$$

$$\frac{\{P \wedge S\} C_1 \{Q\} \quad \{P \wedge \neg S\} C_2 \{Q\}}{\{P\} \text{ IF } S \text{ THEN } C_1 \text{ ELSE } C_2 \text{ FI } \{Q\}} \text{ IF}$$

$$\frac{\{P \wedge S\} C \{P\}}{\{P\} \text{ WHILE } S \text{ DO } C \text{ OD } \{P \wedge \neg S\}} \text{ WHILE}$$

Other topics

$$\{P\} \ C \ \{Q\}$$

- ▶ Weakest preconditions, strongest postconditions

Other topics

$$\{P\} \ C \ \{Q\}$$

- ▶ Meta-theory: Is Hoare logic...
 - ▶ ... *sound*? - Yes! Based on programming language semantics
(but what about more complex languages?)
 - ▶ ... *decidable*? - No! $\{T\} \ C \ \{F\}$ is the halting problem!
 - ▶ ... *complete*? - *Relatively* / only for simple languages

Other topics

$$\{P\} \ C \ \{Q\}$$

- ▶ Automatic Verification Condition Generation (VCG)
- ▶ Automatic generation/inference of loop invariants!
- ▶ More complex languages - e.g. Pointers = Separation logic
- ▶ Functional programming (recursion = induction)

Summary

- ▶ Precondition strengthening
- ▶ Postcondition weakening
- ▶ Automated generation of *Verification Conditions* (VCs)
- ▶ WHILE rule: *Loop invariants!*
 - ▶ Properties that hold during *while* loops
 - ▶ Loop invariant generation is generally *undecidable*

Recommended reading

Theory:

- ▶ Mike Gordon, *Background Reading on Hoare Logic*, <https://www.cl.cam.ac.uk/archive/mjcg/HL/Notes/Notes.pdf> (pp. 1-27, 37-48)
- ▶ Huth & Ryan, Sections 4.1-4.3 (pp. 256-292)
- ▶ Nipkow & Klein, Section 12.2.1 (pp. 191-199)

Practice:

- ▶ Isabelle's Hoare Logic library:
<http://isabelle.in.tum.de/dist/library/HOL/HOL-Hoare>
- ▶ Tutorial exercise